

# The benefits of sequent calculus

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INSTITUT  
de MATHÉMATIQUES  
de MARSEILLE



# Forewords

This talk is about:

*sequent calculus / Curry-Howard / operational semantics*

But also : *proofs, programs, type systems, safe computation/compilation, ...*

Gives **principled answers** to problems such as:

- how to soundly compile  $\lambda\lambda\lambda$ ?
- how to prove normalization of  $\lambda\lambda\lambda$ ?
- how should control operators and  $\lambda\lambda\lambda$  interact?
- deciding the equivalence of normal forms

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# Proofs

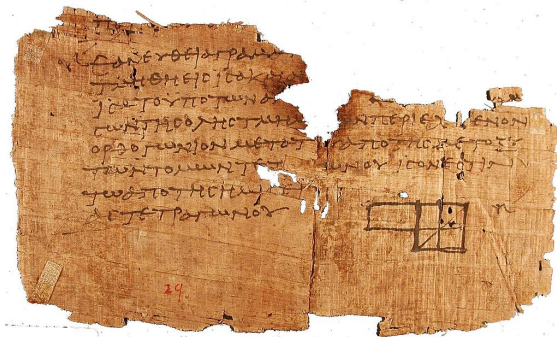
*A bit of history, fast-tracked*

# Once upon a time...

-300



*Euclide*



**Euclide's Elements**

# Once upon a time...

-300

*Euclide*

2021



```

File Edit Options Buffers Tools Coq Proof-General Holes Help
Require Import Utf8.
Set Implicit Argument.

Hypothesis Animals:Type.
Hypothesis plato : Animals.
Hypothesis IsCat : Animals -> Prop.
Hypothesis LikesFish : Animals -> Prop.

Theorem PlatoLikesFish :
  (∀ (x:Animals), IsCat x → LikesFish x)
  → IsCat plato
  → LikesFish plato.
Proof.
  intros HCat Hplato.
  apply (HCat plato).
  apply Hplato.
Qed.

Print PlatoLikesFish.

Definition myproof:=
  λ (HCat : ∀ (x:Animals), IsCat x → LikesFish x),
  λ (Hplato:IsCat plato),
  (HCat plato Hplato).

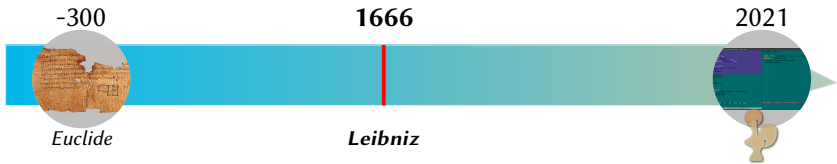
Check myproof.

Definition myproof2 A (a:A) (P1:A→Prop) (P2:A→Prop):=
  λ (t:∀x,P1 x→P2 x),
  λ (u:P1 a).

U: %> *goals* All (0,0) (Coq Goals => Abbrev)
U: %> Plato.v Top (15,21) (Coq Script(1.) +2 Holes Abbrev Dvart)

```

# Once upon a time...



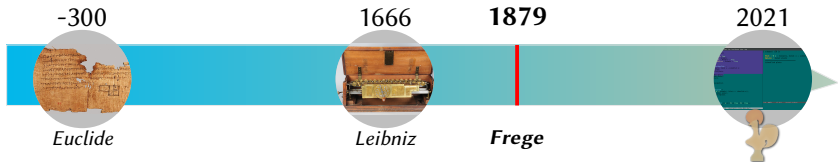
**Leibniz's  
calculus ratiocinator**

A crazy dream:

*"when there are disputes among persons, we can simply say: Let us calculate, without further ado, to see who is right."*

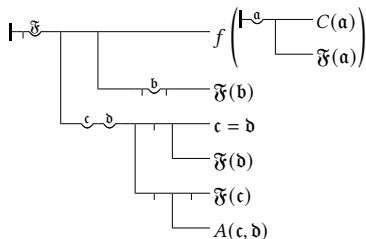


## Once upon a time...

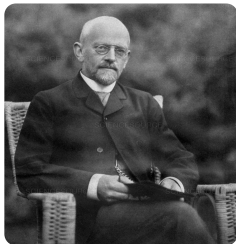
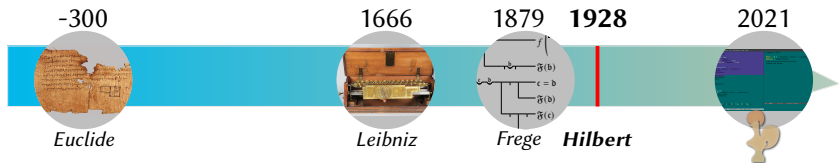
**Frege's *Begriffsschrift*:**

- formal notations
- quantifications  $\forall/\exists$
- distinction:

$x$	vs	$'x'$
<i>signified</i>		<i>signifier</i>



# Once upon a time...



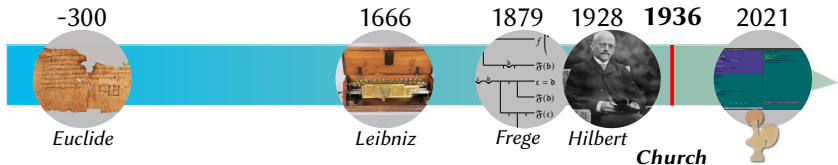
**Hilbert**

**Entscheidungsproblem** (with Ackermann):

*To decide if a formula of first-order logic is a tautology.*

↪ “**to decide**” is meant by means of a procedure

## Once upon a time...



Church

## $\lambda$ -calculus - first (negative) answer to the *Entscheidungsproblem* !

formula  $C$ , such that  $\lambda$  conv  $\pi$  and only if  $C$  has a normal form. From this the lemma follows:

**THEOREM XVIII.** *There is no recursive function of a formula  $C$ , whose value is 2 or 1 according as  $C$  has a normal form or not.*

That is, the property of a well-formed formula, that it has a normal form

# A somewhat obvious observation

## Deduction rules

$$\frac{A \in \Gamma}{\Gamma \vdash A} \text{ (Ax)}$$

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \Rightarrow B} \text{ (}\Rightarrow_I\text{)}$$

$$\frac{\Gamma \vdash A \Rightarrow B \quad \Gamma \vdash A}{\Gamma \vdash B} \text{ (}\Rightarrow_E\text{)}$$

## Typing rules

$$\frac{(x : A) \in \Gamma}{\Gamma \vdash x : A} \text{ (Ax)}$$

$$\frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x. t : A \rightarrow B} \text{ (}\rightarrow_I\text{)}$$

$$\frac{\Gamma \vdash t : A \rightarrow B \quad \Gamma \vdash u : A}{\Gamma \vdash t u : B} \text{ (}\rightarrow_E\text{)}$$

# Sequent, you said?

## Sequent:

Hypotheses  $A_1, \dots, A_n \vdash B$  Conclusion

## Remark:



“à la Gentzen”

is almost



“à la Prawitz”

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“à la Gentzen”

“à la Prawitz”

... a.k.a. **natural deduction**

## Gentzen's sequent calculus (1934)

## Sequent:

Hypotheses  $A_1, \dots, A_n \vdash B_1, \dots, B_p$  Conclusions

## Identity rules

*connect hypotheses/conclusions*

$$\frac{\Gamma \vdash A, \Delta \quad \Gamma, A \vdash \Delta}{\Gamma \vdash \Delta} \text{ (Cut)}$$

$$\frac{}{A \vdash A} \text{ (Ax)}$$

## Structural rules

*weaken, contract, permute*

$$\frac{\Gamma \vdash \Delta}{\Gamma \vdash A, \Delta} \text{ (w}_r\text{)}$$

$$\frac{\Gamma \vdash A, A, \Delta}{\Gamma \vdash A, \Delta} \text{ (c}_r\text{)}$$

$$\frac{\Gamma \vdash \sigma(\Delta)}{\Gamma \vdash \Delta} \text{ (}\sigma_r\text{)} \quad \dots$$

## Logical rules

*left/right introduction of connectives*

$$\frac{\Gamma, A \vdash B, \Delta}{\Gamma \vdash A \Rightarrow B, \Delta} \text{ (}\Rightarrow_r\text{)}$$

$$\frac{\Gamma \vdash A, \Delta \quad \Gamma, B \vdash \Delta}{\Gamma, A \Rightarrow B \vdash \Delta} \text{ (}\Rightarrow_l\text{)}$$

$$\frac{\Gamma \vdash A, \Delta \quad \Gamma \vdash B, \Delta}{\Gamma \vdash A \wedge B, \Delta} \text{ (}\wedge_r\text{)}$$

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# Gentzen's sequent calculus (1934)

## Sequent:

Hypotheses  $A_1, \dots, A_n \vdash B_1, \dots, B_p$  Conclusions

## Proof-theoretic properties:

- cut elimination
- last rule
- subformula
- classical logic built-in
- ...

# Gentzen's sequent calculus (1934)

## Sequent:

Hypotheses

$$A_1, \dots, A_n \vdash B_1, \dots, B_p$$

Conclusions

Identity rules

*connect hypotheses/conclusions*

*Symmetry*

## Logical rules

*left/right introduction of connectives*

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**What about the computational content?**

# The $\lambda$ -calculus (1/3)

## Syntax:

$$t, u ::= x \quad | \quad \lambda x. t \quad | \quad t u$$

(variables)             $x \mapsto f(x)$              $f^2$

## Reduction

$$(\lambda x. t) u \longrightarrow_{\beta} t[u/x]$$

+ contextual closure:

$$C[t] \longrightarrow_{\beta} C[t'] \quad (\text{if } t \longrightarrow_{\beta} t')$$

## Examples:

$$(\lambda x. x) t \longrightarrow_{\beta} t$$

$$(\lambda x. \lambda y. y x) \bar{2} t \longrightarrow_{\beta} (\lambda y. y \bar{2}) t \longrightarrow_{\beta} t \bar{2}$$

$$\omega = (\lambda x. x x) (\lambda x. x x) \longrightarrow_{\beta} (\lambda x. x x) (\lambda x. x x) \longrightarrow_{\beta} \dots$$

$$(\lambda x. \lambda y. y) \omega \bar{2} \longrightarrow_{\beta} ?$$

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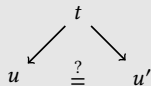
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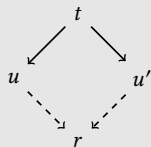
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# The $\lambda$ -calculus (2/3)

## Determinism:



## Confluence:



## Normalization:

$$t \longrightarrow t' \longrightarrow t'' \overset{?}{\dashrightarrow} V \dashrightarrow$$

# The $\lambda$ -calculus (3/3)

## Evaluation strategy - How to evaluate $(\lambda x.t) u$ ?

- **call-by-name:**

substitute  $x$  by  $u$  to give  $t[u/x]$ ;

- **call-by-value:**

first reduce  $u$ , (try to) reach a value  $V$ ,  
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$(\lambda x.t)u$

$P(x, y) = 2x^2 + x + 1$  / how to compute  $P(2 + 3, y)$ ,  $P(x, 2 + 3)$ ?

## Abstract machine - How to implement the reduction?

(PUSH)  $t u \star \pi > t \star u \cdot \pi$

(GRAB)  $\lambda x.t \star u \cdot \pi > t[u/x] \star \pi$

**Thm.** The KAM implements the *weak head call-by-name reduction*.

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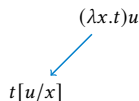
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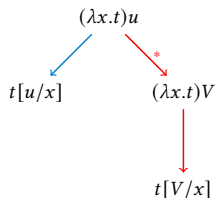
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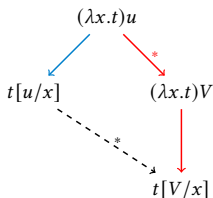
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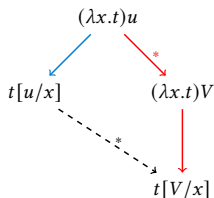
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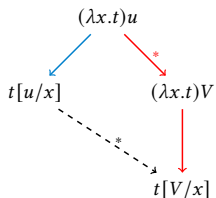
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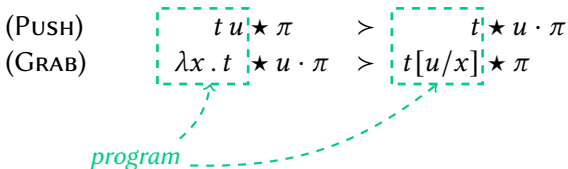
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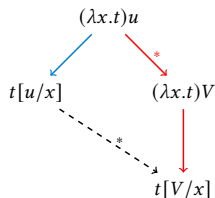
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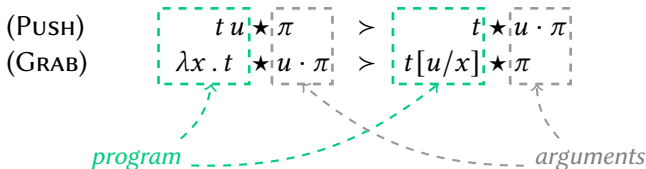
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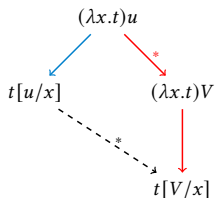
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substitute  $x$  by  $u$  to give  $t[u/x]$ ;
- **call-by-value:**  
first reduce  $u$ , (try to) reach a value  $V$ ,  
then substitute to give  $t[V/x]$



$P(x, y) = 2x^2 + x + 1$  / how to compute  $P(2 + 3, y)$ ,  $P(x, 2 + 3)$ ?

**Abstract machine** - *How to implement the reduction?*

$$\text{(PUSH)} \quad t u \star \pi \quad > \quad t \star u \cdot \pi$$

$$\text{(GRAB)} \quad \lambda x . t \star u \cdot \pi \quad > \quad t[u/x] \star \pi$$

**Thm.** The KAM implements the *weak head call-by-name reduction*.

# Curien-Herbelin's duality of computation

Griffin (1990): classical logic  $\cong$  control operator

(PUSH)	$t u \star \pi$	$\triangleright_1$	$t \star u \cdot \pi$
(GRAB)	$\lambda x. t \star u \cdot \pi$	$\triangleright_1$	$t[u/x] \star \pi$
(SAVE)	$\mathbf{cc} \star t \cdot \pi$	$\triangleright_1$	$t \star \mathbf{k}_\pi \cdot \pi$
(RESTORE)	$\mathbf{k}_\pi \star t \cdot \pi'$	$\triangleright_1$	$t \star \pi$

Starting observation:

Computational duality:



*Sequent calculus  $\cong$  abstract machine-like calculus*

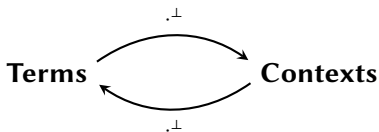
# Curien-Herbelin's duality of computation

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## Starting observation:

calculus and  $\lambda\mu$ -calculus. Our starting point was the observation that the call-by-value discipline manipulates input much in the same way as (the classical extension of)  $\lambda$ -calculus manipulates output. Computing  $MN$  in call-by-

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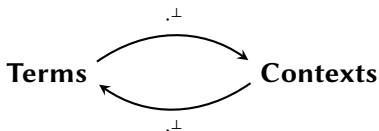
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## Computational duality:



*Sequent calculus*  $\cong$  *abstract machine-like calculus*

# Abstract machine

## Reduction

$$\begin{array}{l} \langle t \ u \ \| \ e \rangle \triangleright_{\text{abs}} \langle t \ \| \ u \cdot e \rangle \\ \langle \lambda x. t \ \| \ u \cdot e \rangle \triangleright_{\text{abs}} \langle t \ [u/x] \ \| \ e \rangle \end{array}$$

## Syntax

	$c ::= \langle t \ \  \ e \rangle$	commands	
terms	$t, u ::=$	$e, f ::=$	contexts
variable	$x, y, z$	$\bullet$	empty
application	$t \ u$	$t \cdot e$	application stack
$\lambda$ -abstraction	$\lambda x. t$		

# Introducing $\mu$

$$\langle t u \parallel e \rangle \triangleright_{\text{abs}} \langle t \parallel u \cdot e \rangle$$

This reduction **defines**  $(t u)$ :

*It is the term that, when put against  $| e \rangle$ , reduces to  $\langle t \parallel u \cdot e \rangle$ .*

**Idea:** introduce a more primitive syntax

$$\langle \mu \alpha. c \parallel e \rangle \triangleright_{\mu} c [e/\alpha]$$

$$t u \triangleq \mu \alpha. \langle t \parallel u \cdot \alpha \rangle$$

*(actually the intuitionistic version  $\mu \star. c$  is enough)*



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*(actually the intuitionistic version  $\mu \star. c$  is enough)*

# Introducing $\tilde{\mu}$

## A regular syntax?

$$c ::= \langle t \parallel e \rangle$$

$$t, u ::=$$

$$\begin{array}{l} | x, y \\ | \lambda x. t \\ | \mu \alpha. c \end{array}$$

$$e, f ::=$$

$$\begin{array}{l} | \alpha, \beta \\ | t \cdot e \\ | ? \end{array}$$

Reminder:

calculus and  $\lambda\mu$ -calculus. Our starting point was the observation that the call-by-value discipline manipulates input much in the same way as (the classical extension of)  $\lambda$ -calculus manipulates output. Computing  $MN$  in call-by-

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$$| t \cdot e$$

$$| ?$$

Same idea, in the **dual situation**:

$$\langle (\lambda x. t) u \parallel e \rangle \triangleright_{\text{abs}} \langle \text{let } x = t \text{ in } u \parallel e \rangle \triangleright_{\text{abs}} \langle t \parallel \text{“let } x = \square \text{ in } \langle u \parallel e \rangle\text{”} \rangle$$

$$\langle t \parallel \tilde{\mu} x. c \rangle \triangleright_{\tilde{\mu}} c [t/x]$$

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$$c ::= \langle t \parallel e \rangle$$

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$$\langle t \parallel \tilde{\mu} x. c \rangle \triangleright_{\tilde{\mu}} c [t/x]$$

# Curien-Herbelin's $\lambda\mu\tilde{\mu}$ -calculus

## Syntax:

$$\begin{array}{lll} t, u ::= & c ::= \langle t \parallel e \rangle & e, f ::= \\ | x, y & & | \alpha, \beta \\ | \lambda x. t & & | t \cdot e \\ | \mu\alpha. c & & | \tilde{\mu}x. c \end{array}$$

## Reduction:

$$\begin{array}{l} \langle \lambda x. t \parallel u \cdot e \rangle \rightarrow \langle u \parallel \tilde{\mu}x. \langle t \parallel e \rangle \rangle \\ \langle t \parallel \tilde{\mu}x. c \rangle \rightarrow c[t/x] \\ \langle \mu\alpha. c \parallel e \rangle \rightarrow c[e/\alpha] \end{array}$$

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 t, u ::= & c ::= \langle t \parallel e \rangle & e, f ::= \\
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 \langle t \parallel \tilde{\mu} x. c \rangle \rightarrow c[t/x] \\
 \langle \mu \alpha. c \parallel e \rangle \rightarrow c[e/\alpha]
 \end{array}$$

## Critical pair:

$$\begin{array}{ccc}
 & \langle \mu \alpha. c \parallel \tilde{\mu} x. c' \rangle & \\
 \swarrow & & \searrow \\
 c[\tilde{\mu} x. c' / \alpha] & & c'[\mu \alpha. c / x]
 \end{array}$$

# Curien-Herbelin's $\lambda\mu\tilde{\mu}$ -calculus

## Syntax:

$t, u ::=$	$c ::= \langle t \parallel e \rangle$	$e, f ::=$	
Values {	$x, y$	$\alpha, \beta$	} Co-values
$\lambda x. t$	$t \cdot e$		
$\mu\alpha. c$	$\tilde{\mu}x. c$		

## Reduction:

$$\begin{aligned} \langle \lambda x. t \parallel u \cdot e \rangle &\rightarrow \langle u \parallel \tilde{\mu}x. \langle t \parallel e \rangle \rangle \\ \langle t \parallel \tilde{\mu}x. c \rangle &\rightarrow c[t/x] && t \in \mathcal{V} \\ \langle \mu\alpha. c \parallel e \rangle &\rightarrow c[e/\alpha] && e \in \mathcal{E} \end{aligned}$$

## Critical pair:

$$\begin{array}{ccc} & \text{CbV} & \langle \mu\alpha. c \parallel \tilde{\mu}x. c' \rangle & \text{CbN} \\ & \swarrow & & \searrow \\ c[\tilde{\mu}x. c' / \alpha] & & & c'[\mu\alpha. c / x] \end{array}$$

# Curien-Herbelin's $\lambda\mu\tilde{\mu}$ -calculus

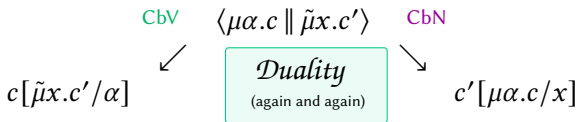
## Syntax:

	$t, u ::=$	$c ::= \langle t \parallel e \rangle$	$e, f ::=$	
Values	{	$x, y$   $\lambda x.t$   $\mu\alpha.c$	$\alpha, \beta$   $t \cdot e$   $\tilde{\mu}x.c$	} Co-values

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Curien-Herbelin's  $\lambda\mu\tilde{\mu}$ -calculus

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$$\begin{array}{l}
 t, u ::= \\
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 c ::= \langle t \parallel e \rangle \\
 \\
 e, f ::= \\
 \left. \begin{array}{l} | \alpha, \beta \\ | t \cdot e \\ | \tilde{\mu}x. c \end{array} \right\} \text{Co-values}
 \end{array}$$

## Typing rules:

$$\frac{\Gamma \vdash t : A \mid \Delta \quad \Gamma \mid e : A \vdash \Delta}{\langle t \parallel e \rangle : (\Gamma \vdash \Delta)}$$

$$\frac{(x : A) \in \Gamma}{\Gamma \vdash x : A \mid \Delta}$$

$$\frac{\Gamma, x : A \vdash t : B \mid \Delta}{\Gamma \vdash \lambda x. t : A \rightarrow B \mid \Delta}$$

$$\frac{c : (\Gamma \vdash \Delta, \alpha : A)}{\Gamma \vdash \mu\alpha. c : A \mid \Delta}$$

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 \\
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 \\
 \frac{A \in \Delta}{\Gamma \mid A \vdash \Delta} \qquad \frac{\Gamma \vdash A \mid \Delta \quad \Gamma \mid B \vdash \Delta}{\Gamma \mid A \rightarrow B \vdash \Delta} \qquad \frac{\Gamma, A \vdash \Delta}{\Gamma \mid A \vdash \Delta}
 \end{array}$$

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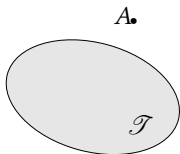
$$\frac{c : (\Gamma, x : A \vdash \Delta)}{\Gamma \mid \tilde{\mu}x. c : A \vdash \Delta}$$

***“Why should I care?”***

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Because sequent calculus is well-behaved! 😊

# Extending Curry-Howard



New axiom

~

Programming instruction

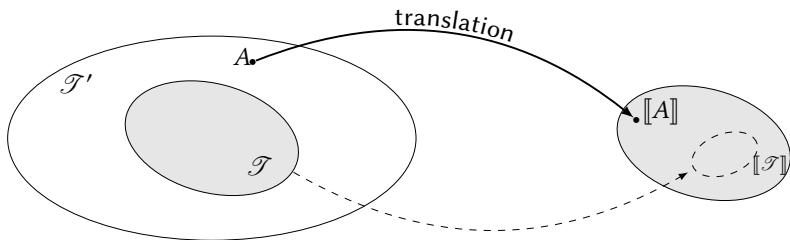


Logical translation

~

Program translation

# Extending Curry-Howard



New axiom

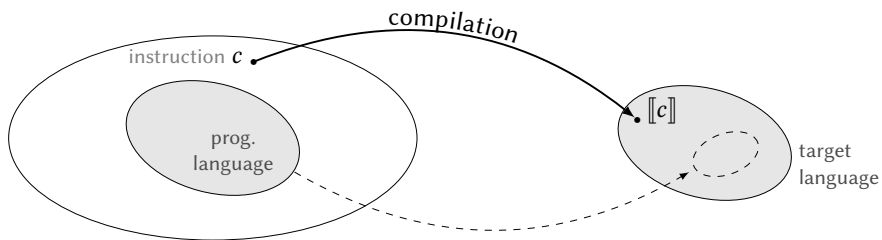
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Logical translation

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# Extending Curry-Howard



New axiom  $\sim$  Programming instruction

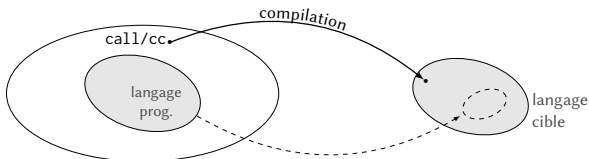


Logical translation  $\sim$  Program translation



# Extending Curry-Howard

Classical logic = Intuitionistic logic +  $A \vee \neg A$



New axiom

$$A \vee \neg A$$

Excluded middle



Logical translation

$$A \mapsto \neg\neg A$$

Gödel negative translation

Programming instruction

$$\text{catch / throw}$$

Backtracking



Program translation

$$2 \mapsto \text{fun } k \rightarrow k(2)$$

Continuation-passing style translation

*Non constructive reasoning*

*Side effects*

# Sequent calculus as IR

You just defined a wonderful calculus, and you are wondering:

## Problem

*How to define a continuation-passing style translation?*

### CPS translation:

$\llbracket \cdot \rrbracket : source \rightarrow \lambda^{something}$

- preserving reduction
- preserving typing
- the type  $\llbracket \perp \rrbracket$  is not inhabited

Typically:  $\begin{aligned} \llbracket V \rrbracket &\triangleq \lambda k. k V \\ \llbracket t \rrbracket &\triangleq \lambda k. ? \end{aligned}$

### Benefits:

If  $\lambda^{something}$  is sound and normalizing:

- 1 If  $\llbracket t \rrbracket$  normalizes, then  $t$  normalizes
- 2 If  $t$  is typed, then  $t$  normalizes
- 3 There is no term  $\vdash t : \perp$

# Sequent calculus as IR

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## Problem

*How to define a continuation-passing style translation?*

## Solution

Use sequent calculus!

## Slogan:

*A sequent calculus is a defunctionalization of CPS representations.*

↪ as such it defines a good intermediate representation for compilation

Method: Danvy's semantics artifacts

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**Method:** Danvy's semantics artifacts

## Semantics artifacts in action

Call-by-name  $\lambda\mu\tilde{\mu}$ -calculus:Terms  $t ::= V \mid \mu\alpha.c$ Values  $V ::= x \mid \lambda x.t$ Contexts  $e ::= E \mid \tilde{\mu}x.c$ Co-values  $E ::= \alpha \mid t \cdot e$ Commands  $c ::= \langle t \parallel e \rangle$ 

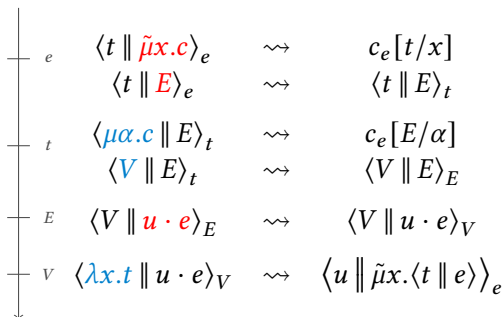
## Reduction rules:

$$\begin{array}{lcl}
 \langle t \parallel \tilde{\mu}x.c \rangle & \rightarrow & c[t/x] \\
 \langle \mu\alpha.c \parallel E \rangle & \rightarrow & c[E/\alpha] \\
 \langle \lambda x.t \parallel u \cdot e \rangle & \rightarrow & \langle u \parallel \tilde{\mu}x.\langle t \parallel e \rangle \rangle
 \end{array}$$

## Semantics artifacts in action

Terms  $t ::= V \mid \mu\alpha.c$ Values  $V ::= x \mid \lambda x.t$ Contexts  $e ::= E \mid \tilde{\mu}x.c$ Co-values  $E ::= \alpha \mid t \cdot e$ Commands  $c ::= \langle t \parallel e \rangle$ 

## Small steps



## Semantics artifacts in action

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## Small steps

## CPS

	e	$\langle t \parallel \tilde{\mu}x.c \rangle_e$	$\rightsquigarrow$	$c_e[t/x]$	$[\tilde{\mu}x.c]_e t \triangleq (\lambda x. [c]_c) t$
		$\langle t \parallel E \rangle_e$	$\rightsquigarrow$	$\langle t \parallel E \rangle_t$	$[E]_e t \triangleq t [E]_E$
	t	$\langle \mu\alpha.c \parallel E \rangle_t$	$\rightsquigarrow$	$c_e[E/\alpha]$	$[\mu\alpha.c]_t E \triangleq (\lambda\alpha. [c]_c) E$
		$\langle V \parallel E \rangle_t$	$\rightsquigarrow$	$\langle V \parallel E \rangle_E$	$[V]_t E \triangleq E [V]_V$
	E	$\langle V \parallel u \cdot e \rangle_E$	$\rightsquigarrow$	$\langle V \parallel u \cdot e \rangle_V$	$[u \cdot e]_E V \triangleq V [u]_t [e]_e$
		$\langle \lambda x.t \parallel u \cdot e \rangle_V$	$\rightsquigarrow$	$\langle u \parallel \tilde{\mu}x. \langle t \parallel e \rangle \rangle_e$	$[\lambda x.t]_V u e \triangleq (\lambda x. e [t]_t) u$

## Preservation

$$c \rightsquigarrow c' \quad \Rightarrow \quad [c]_c \xrightarrow{\beta} [c']_c$$

## Semantics artifacts in action

Terms  $t ::= V \mid \mu\alpha.c$ Values  $V ::= x \mid \lambda x.t$ Contexts  $e ::= E \mid \tilde{\mu}x.c$ Co-values  $E ::= \alpha \mid t \cdot e$ Commands  $c ::= \langle t \parallel e \rangle$ 

## CPS

## Types translation

$e$	$\llbracket \tilde{\mu}x.c \rrbracket_e t \triangleq (\lambda x. \llbracket c \rrbracket_c) t$
	$\llbracket E \rrbracket_e t \triangleq t \llbracket E \rrbracket_E$
$t$	$\llbracket \mu\alpha.c \rrbracket_t E \triangleq (\lambda \alpha. \llbracket c \rrbracket_c) E$
	$\llbracket V \rrbracket_t E \triangleq E \llbracket V \rrbracket_V$
$E$	$\llbracket u \cdot e \rrbracket_E V \triangleq V \llbracket u \rrbracket_t \llbracket e \rrbracket_e$
$V$	$\llbracket \lambda x.t \rrbracket_V u e \triangleq (\lambda x. e \llbracket t \rrbracket_t) u$

$$\llbracket A \rrbracket_e \triangleq \llbracket A \rrbracket_t \rightarrow \perp$$

$$\llbracket A \rrbracket_t \triangleq \llbracket A \rrbracket_E \rightarrow \perp$$

$$\llbracket A \rrbracket_E \triangleq \llbracket A \rrbracket_V \rightarrow \perp$$

$$\llbracket A \rightarrow B \rrbracket_V \triangleq \llbracket A \rrbracket_t \rightarrow \llbracket B \rrbracket_e \rightarrow \perp$$

## Preservation

$$\Gamma \vdash t : A \mid \Delta \quad \Rightarrow \quad \llbracket \Gamma \rrbracket_t, \llbracket \Delta \rrbracket_E \vdash \llbracket t \rrbracket_t : \llbracket A \rrbracket_t$$



# Semantics artifacts in action

## Normalization

Typed commands of the call-by-name  $\lambda\mu\tilde{\mu}$ -calculus normalize.

## Inhabitation

There is no simply-typed  $\lambda$ -term  $t$  such that  $\vdash t : \llbracket \perp \rrbracket_t$ .

## Soundness

There is no proof  $t$  such that  $\vdash t : \perp \mid$ .

## Normalization proofs

You just defined a wonderful calculus, but the CPS method is too complex:

### Problem

*How can I prove normalization?*

### Solution

Use sequent calculus + Krivine realizability!

### Slogan:

*A sequent calculus specifies the interactions of terms and contexts.*

↔ as you will see, this helps a lot the definition of a realizability interpretation

**Method:** Danvy's semantics artifacts, again

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# Realizability *à la* Krivine

## Intuition

- falsity value  $\|A\|$ : **contexts**, **opponent** to  $A$
- truth value  $|A|$ : **terms**, **player** of  $A$
- pole  $\perp$ : **commands**, **referee**

$$\langle t \parallel e \rangle > c_0 > \dots > c_n \in \perp?$$

$\rightsquigarrow \perp \subset \Lambda \star \Pi$  closed by anti-reduction

Truth value defined by **orthogonality** :

$$|A| = \|A\|^\perp = \{t \in \Lambda : \forall e \in \|A\|, \langle t \parallel e \rangle \in \perp\}$$

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# Semantic artifacts, bis

Terms  $t ::= V \mid \mu\alpha.c$

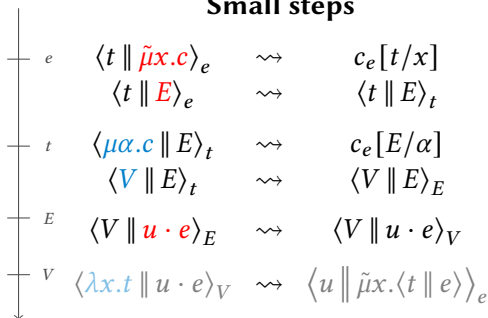
Values  $V ::= x \mid \lambda x.t$

Contexts  $e ::= E \mid \tilde{\mu}x.c$

Co-values  $E ::= \alpha \mid t \cdot e$

Commands  $c ::= \langle t \parallel e \rangle$

## Small steps





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$e$   $\langle t \parallel \tilde{\mu}x.c \rangle_e \rightsquigarrow c_e[t/x]$   
 $\langle t \parallel E \rangle_e \rightsquigarrow \langle t \parallel E \rangle_t$

$t$   $\langle \mu\alpha.c \parallel E \rangle_t \rightsquigarrow c_e[E/\alpha]$   
 $\langle V \parallel E \rangle_t \rightsquigarrow \langle V \parallel E \rangle_E$

$E$   $\langle V \parallel u \cdot e \rangle_E \rightsquigarrow \langle V \parallel u \cdot e \rangle_V$

$V$   $\langle \lambda x.t \parallel u \cdot e \rangle_V \rightsquigarrow \langle u \parallel \tilde{\mu}x.\langle t \parallel e \rangle \rangle_e$

## Realizability

$\|A\|_e \triangleq |A|_t^\perp$

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$\|A \rightarrow B\|_E \triangleq \{u \cdot e : u \in |A|_t \wedge e \in \|B\|_e\}$

## Adequacy

1  $\cdot \vdash t : A \mid \cdot \Rightarrow t \in |A|_t$

2  $\cdot \mid e : A \vdash \cdot \Rightarrow e \in \|A\|_e$

3  $c : (\cdot \vdash \cdot) \Rightarrow c \in \perp$

# Consequences

## Normalizing commands

$\perp\!\!\!\perp \triangleq \{c : c \text{ normalizes}\}$  defines a valid pole.

*Proof.* If  $c \rightarrow c'$  and  $c'$  normalizes, so does  $c$ . □

## Normalization

For any command  $c$ , if  $c : \Gamma \vdash \Delta$ , then  $c$  normalizes.

*Proof.* By adequacy, any typed command  $c$  belongs to the pole  $\perp\!\!\!\perp$ . □

## Soundness

There is no proof  $t$  such that  $\vdash t : \perp \mid$ .

*Proof.* Otherwise,  $t \in |\perp|_t = \Pi\!\!\!\perp$  for any pole, absurd ( $\perp \triangleq \emptyset$ ). □

# Polarized sequent calculus

You added sums to your favorite  $\lambda$ -calculus, it broke all your proofs:

## Problem

*What can I do?*

## Solution

Use sequent calculus + polarities!

Negative polarity	Every expression is a value (CBN)
Positive polarity	Every context is a covalue (CBV)

## Slogan:

*Polarized  $\lambda\mu\tilde{\mu}$  is a good, regular syntax for programs.*

↔ a.k.a. system L, a great syntax for call-by-push-value

**Method:** see Munch-Maccagnoni & Scherer's paper (LICS'15)

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## Take away

### Sequent calculus:

- is more *regular* than natural deduction
- corresponds to *abstract-machine-like* calculi (e.g.  $\lambda\mu\tilde{\mu}$ -calculus)
- provides great insights on *operational semantics*

### A flexible tool:

- can be decomposed with connectives of *linear logic*
- can be *polarized* (Munch-Maccagnoni's system L)
- supports *effectful* constructors
- ...

If you don't use it already,

*What are you waiting for?*

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- *A Constructive Proof of Dependent Choice in Classical Arithmetic via Memoization*. M. (2019)