

Explainable AI Interpretability of deep models

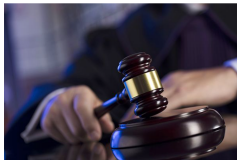
Ronan Sicre

QARMA, LIS



Interpretability is important for high stakes decisions.

Model understanding is absolutely critical in several domains -- particularly those involving *high stakes decisions*!

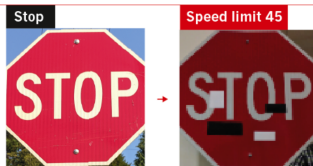


Interpretability is important for trustworthy DNNs.

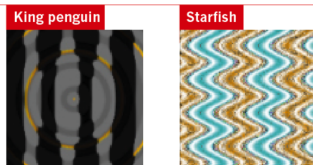
FOOLING THE AI

Deep neural networks (DNNs) are brilliant at image recognition — but they can be easily hacked.

These stickers made an artificial-intelligence system read this stop sign as 'speed limit 45'.



Scientists have evolved images that look like abstract patterns — but which DNNs see as familiar objects.



©nature

- Robustness and improvements
- Trust and understanding
- Security, legal necessity and responsibility

Dimensions of interpretability methods

Dimension 1 — Passive vs. Active Approaches

┌	Passive	Post hoc explain trained neural networks
	Active	Actively change the network architecture or training process for better interpretability

Dimension 2 — Type of Explanations (in the order of increasing explanatory power)

To explain a prediction/class by

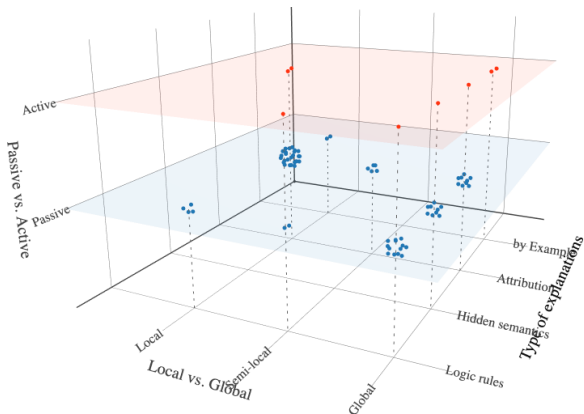
┌	Examples	Provide example(s) which may be considered similar or as prototype(s)
	Attribution	Assign credit (or blame) to the input features (e.g. feature importance, saliency masks)
	Hidden semantics	Make sense of certain hidden neurons/layers
	↓ Rules	Extract logic rules (e.g. decision trees, rule sets and other rule formats)

Dimension 3 — Local vs. Global Interpretability (in terms of the input space)

┌	Local	Explain network's <i>predictions on individual samples</i> (e.g. a saliency mask for an input image)
	Semi-local	In between, for example, explain a group of similar inputs together
	↓ Global	Explain the network <i>as a whole</i> (e.g. a set of rules/a decision tree)

[ZTLT20]

Dimensions of interpretability methods



[ZTLT20]

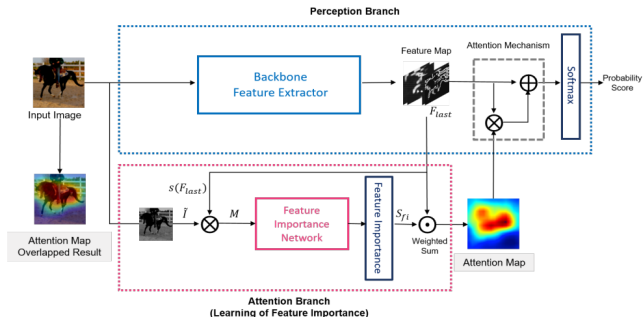
Attribution

	Local	Semi-Local	Global
Active (Transparency)	ExpO, DAPr, LFI-CAM	–	Dual-net (feature importance)
Passive (Post hoc)	LIME, MAPLE, Partial derivatives, DeconvNet, Guided backprop, Grad-CAM, Shapley values, Sensitivity analysis, Feature selector, Bias attribution	DeepLIFT, LRP, Integrated gradients, Feature selector, MAME	Feature selector, TCAV, ACE, SpRAY, MAME, DeepConsensus

[ZTLT20]

Transparency

- Interpretability regularizer: ExpO [PASC⁺19], DAPr [WJL19], LFI-CAM [LPOK21]



- Learning 'optimal' feature with network: Dual-net [WC20]

Post-hoc interpretation

Model agnostic attribution

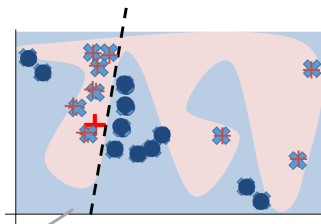
- **LIME** [RSG16]
- **Shapley** [SK10, AOG19]
- **Sensitivity analysis**: perturbation [PDS18, CCGD19, PPG20]
-

Saliency map

- **Gradient-based and backpropagation methods**:
Gradient [AGGK18, SDBR15, BSH⁺10], Guidedbackprop [SDBR15],
Grad-CAM [SCD⁺17]...
- **Discrete Gradient**: LRP [BBM⁺15, LTB⁺13, AMMS17],
DeepLIFT [SGK17], intergrated Grad [STY17]
- **Adversarial perturbation based**: perceptual ball [ELR21]
-

LIME: Sparse Linear Explanation

1. Sample points around x_i
2. Use model to predict labels for each sample
3. Weigh samples according to distance to x_i
4. Learn simple model on weighted samples
5. Use simple model to explain



[RSG16]

LIME: examples



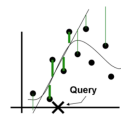
Original Image
 $P(\text{labrador}) = 0.21$

LIME is quite customizable:

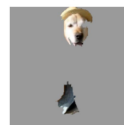
- How to perturb?
- Distance/similarity?
- How *local* you want it to be?
- How to express explanation

Perturbed Instances	$P(\text{Labrador})$
	0.92
	0.001
	0.34

Maybe a fault?



Locally weighted regression

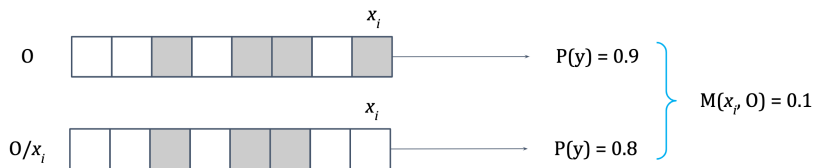


Explanation

[RSG16]

Shapley

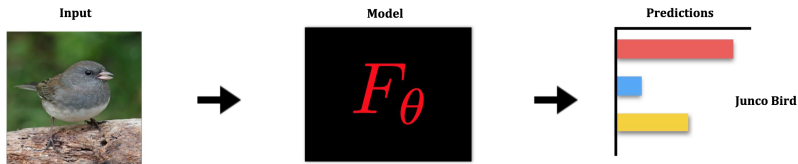
Marginal contribution of each feature towards the prediction, averaged over all possible permutations.



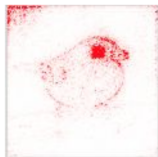
Fairly attributes the prediction to all the features.

[SK10, AOG19]

Saliency Map Overview

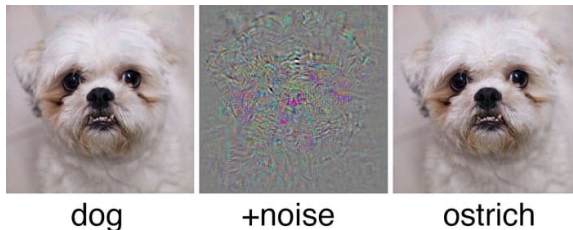


What parts of the input are most relevant for the model's prediction: **'Junco Bird'**?



- Feature Attribution
- 'Saliency Map'
- Heatmap

Perceptual ball



Adversarial Perturbation

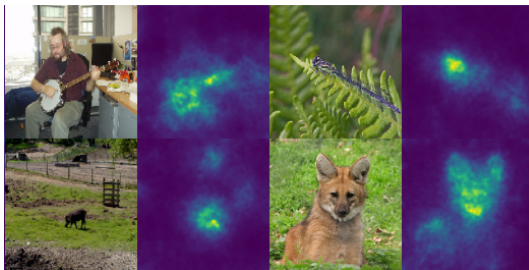
- Misclassification $c(f(\mathbf{x} + \mathbf{r})) \neq l_g$
- Small Distortion Norm ($\|\mathbf{r}\|_2$ or $\|\mathbf{r}\|_\infty$)

[ELR21]

Perceptual ball

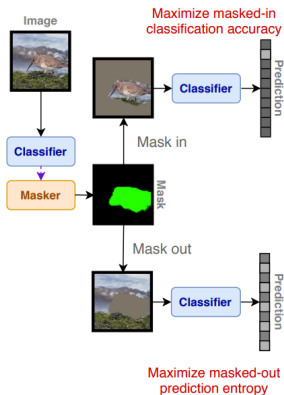
Generate adversarial perturbation

- Misclassification: $\ell(f(\mathbf{x} + \mathbf{r}), l_g) = f_{l_g}(\mathbf{x} + \mathbf{r}) - \max_{l \neq l_g} f_l(\mathbf{x} + \mathbf{r})$
- Small distortion: $\sum_i \|f^i(\mathbf{x} + \mathbf{r}) - f^i(\mathbf{x})\|_2 + \|\mathbf{r}\|_2$



[ELR21]

Masking-based saliency map method

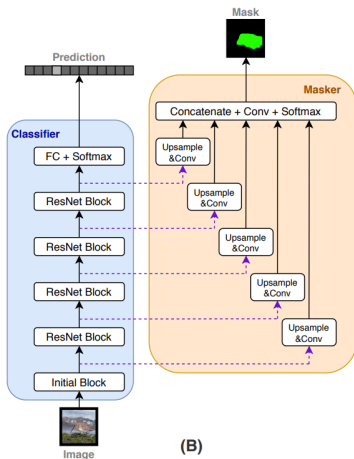


Loss function

- $L_{out}(f_{l_g}(\mathbf{x} \odot (1 - \mathbf{m})))$
- $L_{in}(f_{l_g}(\mathbf{x} \odot \mathbf{m}))$
- $R(\mathbf{m})$

[PPG20]

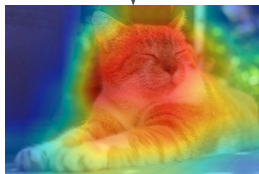
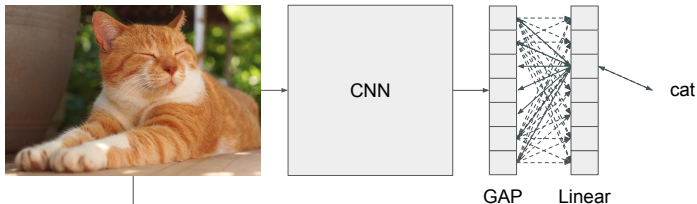
Masking-based saliency map method



[PPG20]

Class activation maps

Class Activation Maps



$$a_c^k = \sum_k y^c \frac{1}{Z} \sum_i \sum_j A_{i,j}^k$$

General Equation

(Zhou, Khosla, Lapedriza, Oliva, & Torralba, 2016)

Class activation maps

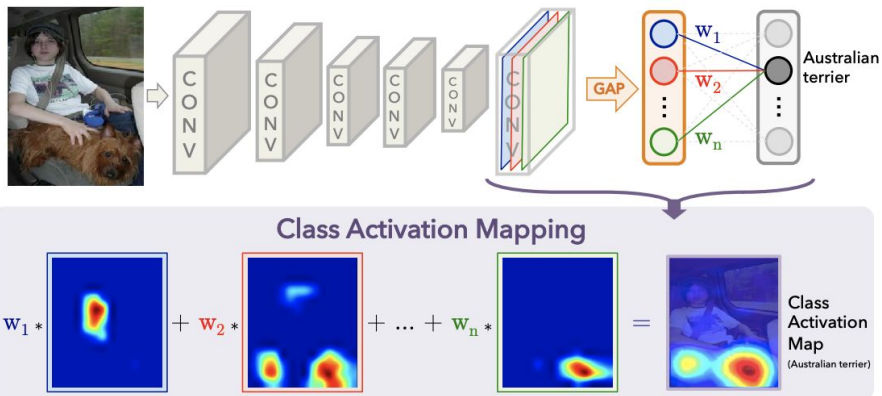


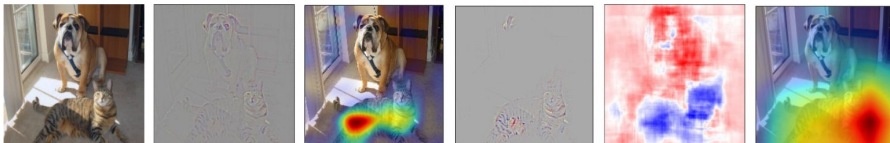
Figure 2. Class Activation Mapping: the predicted class score is mapped back to the previous convolutional layer to generate the class activation maps (CAMs). The CAM highlights the class-specific discriminative regions.

Class activation maps

Grad-CAM

- A generalization of CAM
 - Now the weighting coefficient is obtained from the gradients flowing backwards from the classification layer. (Rumelhart, Hinton, & Williams, 1986) (Springenberg, Dosovitskiy, Brox, & Riedmiller, 2014)
 - Some networks don't have a simple classifier: i.e. VGG, thus having a CAM representation is not easy to achieve.

$$a_k^c = \frac{1}{Z} \sum_i \sum_j \frac{\delta y^c}{\delta A_{ij}^k}$$



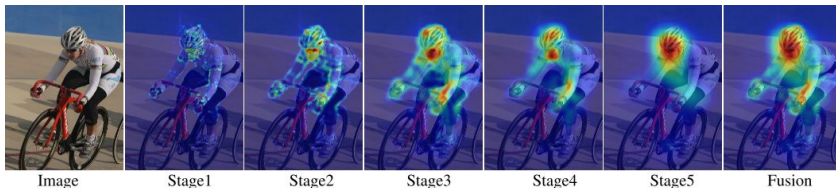
Selvaraju et al., 2016

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Class activation maps

Layer-CAM

- Answer to the question of Grad-CAM answers on non semantic layers
 - Now we don't take into consideration the last convolution before the classifier-
 - One convolution per layer can be taken into consideration.
 - A representation of the pyramidal structure of the network is built.



Jiang, Zhang, Hou, Cheng, & Wei, 2021

Class activation maps

Grad-CAM++

- Use of a combination of the positive partial derivatives of the last convolutional layer's feature maps w.r.t. an specific class score as weights.
 - Improved localization and sharper activation maps.
 - Better robustness towards more objects on the image

$$a_k^c = \sum_i \sum_j w_{ij}^{kc} \circ \text{ReLU}\left(\frac{\delta Y^c}{\delta A_{ij}^k}\right) \longrightarrow w_{ij}^{kc} = \frac{\frac{\delta^2 Y^c}{(\delta A_{ij}^k)^2}}{2 \frac{\delta^2 y^c}{(\delta A_{ij}^k)^2} \sum_a \sum_b A_{ab}^k \left[\frac{\delta^3 Y^c}{(\delta A_{ij}^k)^3} \right]}$$



Chattopadhyay, Sarkar, Howlader, &
Balasubramanian, 2017

Resources and tools

Resources for free!:

- A Survey on Neural Network Interpretability
- Tutorial on Explaining ML Predictions: State-of-the-art, Challenges, and Opportunities - NeurIPS 2020 YT
- Tutorial on Interpretable Machine Learning - CVPR 2020

Some tools:

- Pytorch CAM-based interpretability methods
- Colah's blog
- Comparison CAM, SHAP, LIME
- TorchRay

Thank you!



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