

Pebble weighted automata and transitive closure logics

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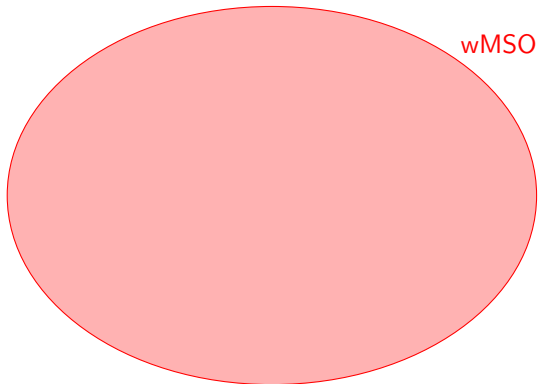
Motivations

Sequential setting: automata on (finite) words.

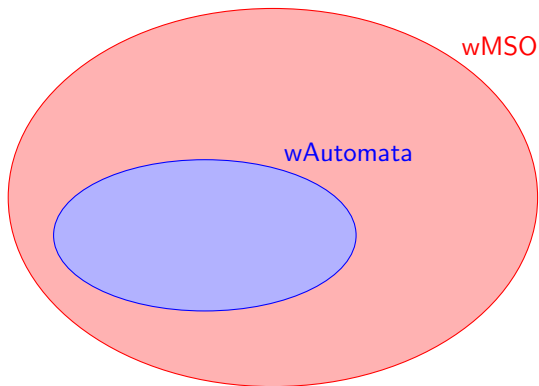
- ▶ **Weighted automata**: quantitative extension of classical automata.
 - ▶ Classical: **decide** whether a given word is accepted or not,
 - ▶ Weighted: **compute** a value in a semiring from input word.
- ▶ **Weighted logics**: a formula evaluated on a word produces a **value**.
 - ▶ How often does a Boolean property hold?
 - ▶ Is the number of nodes selected by a request at least 10?
- ▶ In this talk, we focus on **expressiveness**. Boolean setting:

Automata = FO+TC = MSO = EMSO = ...

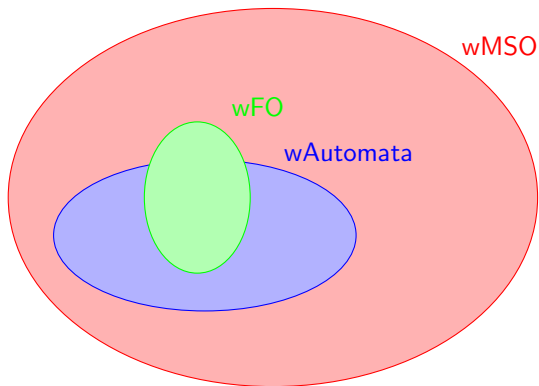
Expressivity in weighted setting



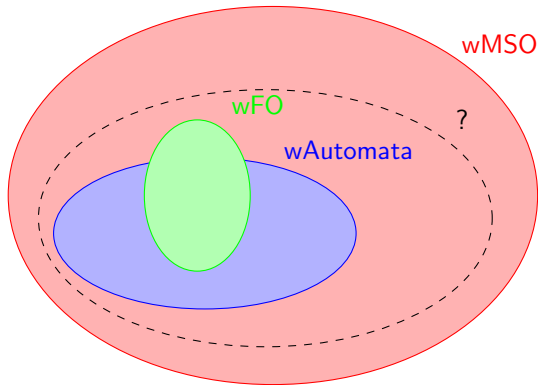
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- ▶ Weight of a run: **product of all transition weights** in the semiring.

$$\text{weight}(p_0 \xrightarrow{k_1 a_1} p_1 \xrightarrow{k_2 a_2} \dots \xrightarrow{k_n a_n} p_n) = k_1 k_2 \dots k_n$$

- ▶ Weight of a word: **sum of all weights of runs** on this word.

$$[[\mathcal{A}]](w) = \sum_{\rho \text{ run of } \mathcal{A} \text{ on } w} \text{weight}(\rho)$$

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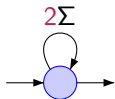
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Example: Semirings

- | | |
|---|----------------|
| ▶ $\mathbb{B} = (\{0, 1\}, \vee, \wedge, 0, 1)$ | Boolean. |
| ▶ $\mathbb{P} = (\mathbb{R}^+, +, \times, 0, 1)$ | Probabilistic. |
| ▶ $\mathbb{N} = (\mathbb{N}, +, \times, 0, 1)$ | Natural. |
| ▶ $\mathbb{T} = (\mathbb{N} \cup \{\infty\}, \min, +, \infty, 0)$ | Tropical. |

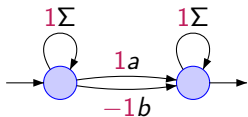
Examples of weighted automata

- ▶ Alphabet Σ , on $(\mathbb{N}, +, \times, 0, 1)$



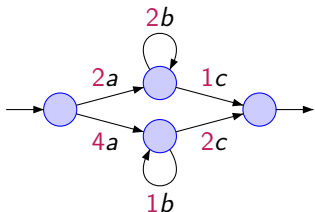
$$\llbracket \mathcal{A} \rrbracket(u) = 2^{|u|} \quad (\text{deterministic})$$

- ▶ Alphabet $\Sigma = \{a, b\}$, on $(\mathbb{Z}, +, \times, 0, 1)$



$$\llbracket \mathcal{A} \rrbracket(u) = |u|_a - |u|_b$$

- ▶ Alphabet $\{a, b, c\}$, on $(\mathbb{N} \cup \{\infty\}, \min, +, \infty, 0)$



$$\llbracket \mathcal{A} \rrbracket(ab^n c) = \begin{cases} 3 + 2n & \text{if } n \leq 3 \\ 6 + n & \text{if } n \geq 4 \end{cases}$$

Weighted automata cannot compute large weights

Lemma

$\mathcal{A} = (Q, \mu)$ weighted automaton on \mathbb{N} . There exists M such that

$$\llbracket \mathcal{A} \rrbracket(u) = O(M^{|u|}).$$

- ▶ There are $O(|Q|^{|u|})$ runs on u ,
- ▶ Each of which of weight exponential in $|u| = n$: $k_1 \cdots k_n \leq (\max k_i)^n$.

Weighted MSO

Definition: Syntax of wMSO

$\varphi ::= k \mid P_a(x) \mid x \leq y \mid x \in X \mid \neg\varphi \mid \varphi \vee \varphi \mid \varphi \wedge \varphi \mid \exists x \varphi \mid \forall x \varphi \mid \exists X \varphi \mid \forall X \varphi$

where $k \in K$, $a \in \Sigma$, x, y are first-order variables, X is a set variable.

Definition: Semantics

- ▶ A formula φ without free variables defines a mapping $\llbracket \varphi \rrbracket : \Sigma^+ \rightarrow K$.
- ▶ First order variables are interpreted as positions in the word.
- ▶ $P_a(x)$ means “position x carries an a ”.
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- ▶ $x \leq y$ means “position x is before position y ”.
- ▶ $\llbracket \varphi_1 \vee \varphi_2 \rrbracket = \llbracket \varphi_1 \rrbracket + \llbracket \varphi_2 \rrbracket$ and $\llbracket \varphi_1 \wedge \varphi_2 \rrbracket = \llbracket \varphi_1 \rrbracket \times \llbracket \varphi_2 \rrbracket$.
- ▶ $\exists x \varphi$ interpreted as a **sum** over all positions.
- ▶ $\forall x \varphi$ interpreted as a **product** over all positions.

wMSO: examples

- ▶ $\llbracket \exists x P_a(x) \rrbracket = |u|_a$
- ▶ $\llbracket \exists x P_a(x) \vee \exists x [-1 \wedge P_b(x)] \rrbracket = |u|_a - |u|_b$
- ▶ $\llbracket \forall y 2 \rrbracket(u) = 2^{|u|}$.

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- ▶ $\llbracket \forall y 2 \rrbracket (u) = 2^{|u|}$ recognizable
- ▶ $\llbracket \forall x \forall y 2 \rrbracket (u) = 2^{|u|^2}$ not recognizable
- ▶ \implies Recognizable are **not stable** under **universal quantification**.

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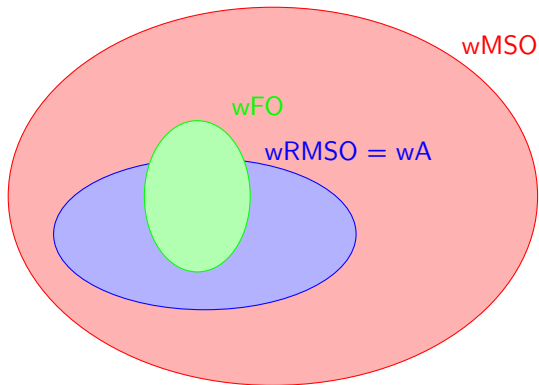
[DG'05] defined wRMSO, a fragment of wMSO (no second order universal quantifications, and first order universal quantifications restricted over *simple formulas*)

Theorem (Droste & Gastin'05)

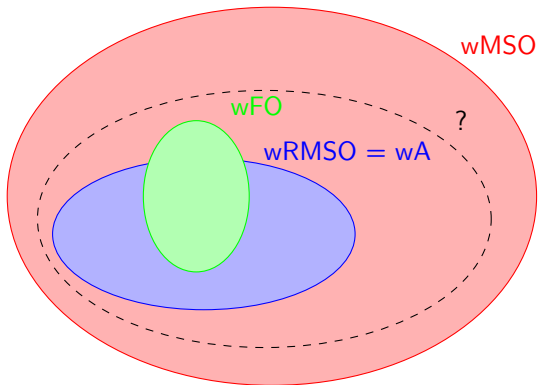
Weighted automata = wRMSO

(effective translation).

Another way of thinking ?



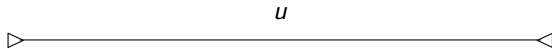
Another way of thinking ?



- ▶ Extension of weighted automata to obtain a bigger class of power series : in particular closed by first order quantifications
- ▶ Express the new model in an extension of weighted first order logic

Pebble weighted automata

- ▶ Automaton with 2-way mechanism and pebbles $\{1, \dots, r\}$.



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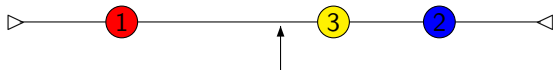
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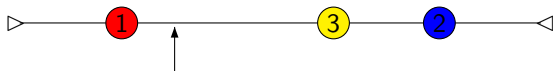
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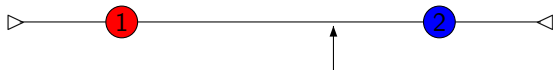
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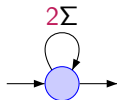
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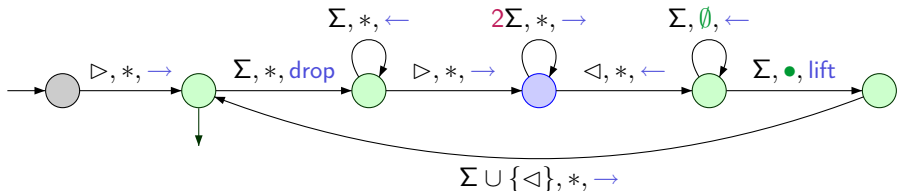
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- ▶ **Note**. For Boolean word automata, this does not add expressive power.



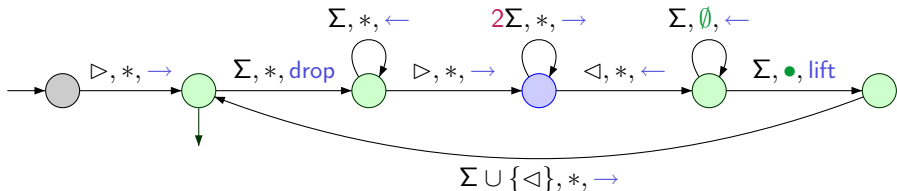
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- ▶ Computes $2^{|\mathcal{U}|^2}$: pebbles **add expressive power**.

Pebble weighted automata are stable under wFO

Definition: Weighted First-order logic

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Lemma

Pebble weighted automata are stable under wFO constructs.

Proof idea for \forall : consider a p -pebble automaton \mathcal{A} over Σ_x , we want to compute $\forall x \mathcal{A}(x)$. Add first pebble interpreted as free variable.

Drop pebble 1 successively on each position.



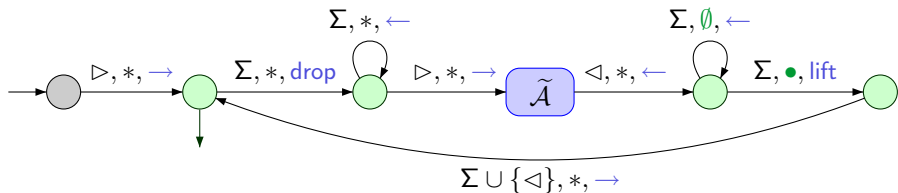
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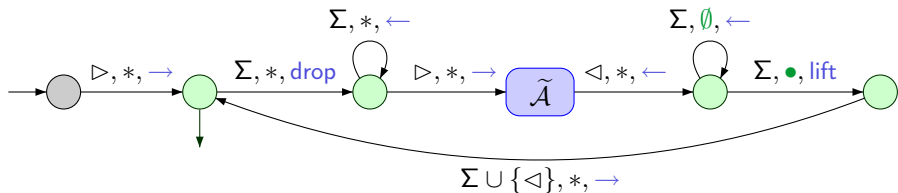
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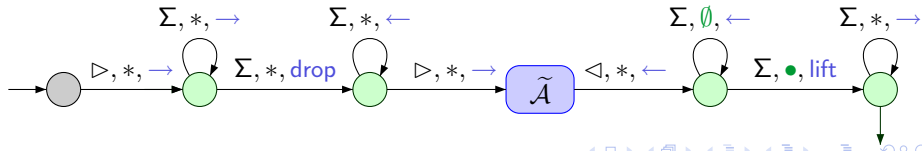
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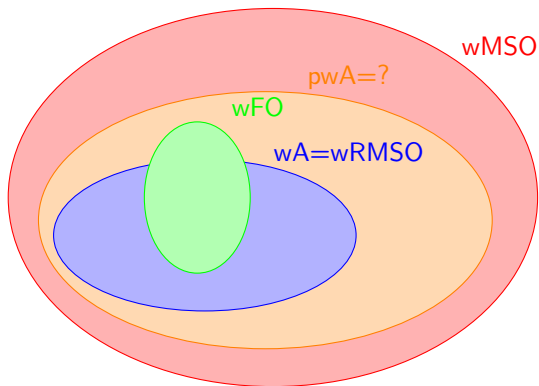
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For \exists : nondeterministically drop pebble 1.



Pebble weighted Automata vs. wFO

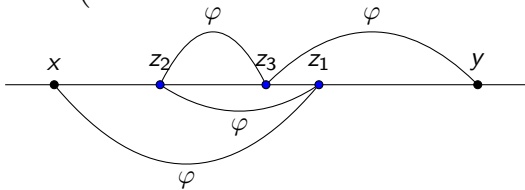


Transitive closure logics

- ▶ For φ with at least two first order free variables, define

$$\varphi^1(x, y) = \varphi(x, y)$$

$$\varphi^n(x, y) = \exists z_0 \cdots \exists z_n (x = z_0 \wedge z_n = y \wedge \text{diff}(z_0, \dots, z_n) \wedge [\bigwedge_{1 \leq \ell \leq n} \varphi(z_{\ell-1}, z_\ell)]).$$

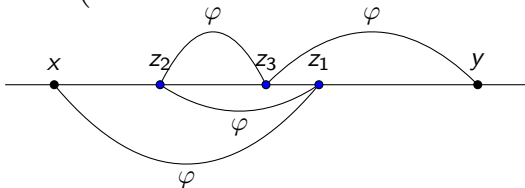


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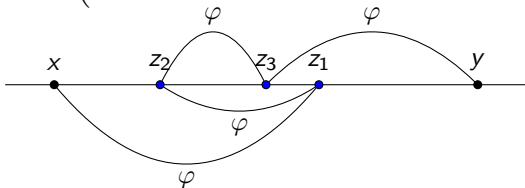
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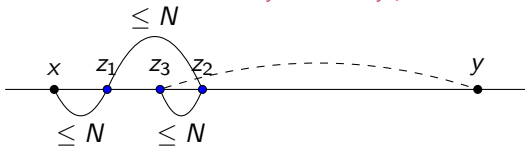
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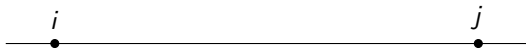


- ▶ The transitive closure operator is defined by $\text{TC}_{xy}\varphi = \bigvee_{n \geq 1} \varphi^n$.
- ▶ Bounded transitive closure : $N\text{-TC}_{xy}\varphi = \text{TC}_{xy}(x - N \leq y \leq x + N \wedge \varphi)$



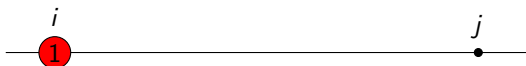
Bounded transitive closure and pebble automata

- ▶ Express $N\text{-TC}_{xy}\varphi$ with 2 additional pebbles: p -pebble automaton \mathcal{A} on Σ_{xy} recognizing $\llbracket \varphi \rrbracket$ and a word $(w, x \rightarrow i, y \rightarrow j)$



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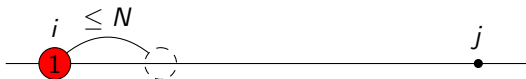
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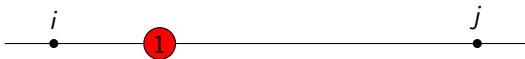
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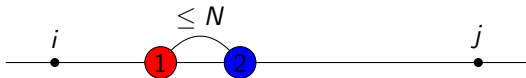
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 2. Choose nondeterministically a position at distance $\leq N$ and drops **pebble 2**
 3. \mathcal{B} simulates \mathcal{A} on w where x and y are mapped to the positions of pebbles
 4. \mathcal{B} lifts **pebble 2** and **pebble 1**, and drop again **pebble 1**.
- ▶ Question: how to extend this result to **unbounded** steps ?

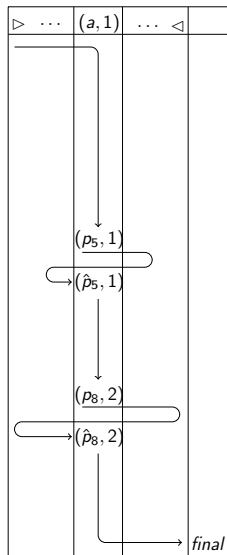
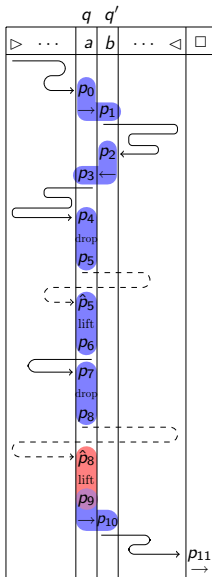
Expressiveness

Theorem (Bollig, Gastin, M., Zeitoun)

wFO + TC with **bounded steps** = weighted pebble automata.

- ▶ Proof of \subseteq done by the previous slides
- ▶ Proof of \supseteq generalizes the translation 2-way \rightarrow 1-way automata.
- ▶ Uses an intermediate automaton model (nested automata): one-way automata than can do several runs by marking some positions to keep informations

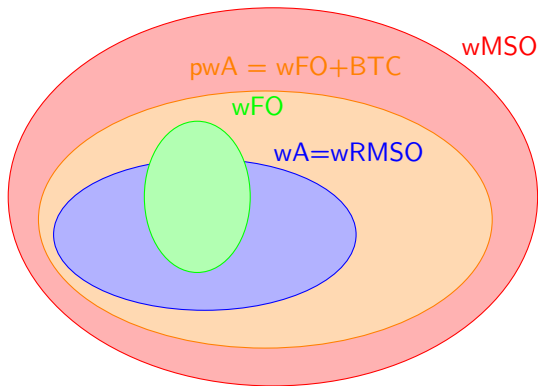
Flavor of the proof of \sqsubseteq : 1 pebble \rightarrow 1 nested



Summary and some short-term questions

Summary:

- ▶ Pebbles add expressive power in weighted extensions of automata
- ▶ Natural logical equivalence of pebble jumps and transitive closure steps



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Perspectives:

1. Algorithms. (SAT is decidable for positive semiring.)
2. Relax bounded assumption.
3. Weak pebbles vs. strong pebbles.
4. Extension of weighted pebbles automata to others structures : trees (and query language XPath...), infinite words