

CIRM winter school - Discrete mathematics and logic: between mathematics and the computer science

Algebraic approach to register minimization in streaming string transducers

Yahia Idriss BENALIOUA

Nathan LHOTE & Pierre-Alain REYNIER



January 18, 2023

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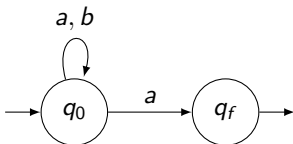
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Automaton recognizing $(a + b)^* a$

Other characterizations:

- Algebra : syntactic congruence
- Regular expressions
- Logic : MSO

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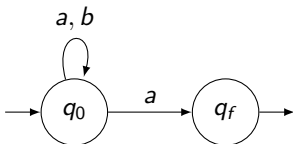
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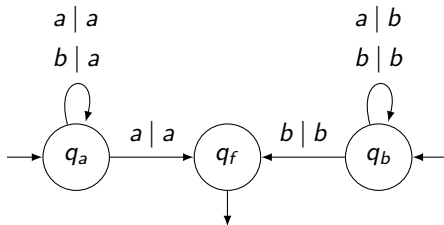
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Transducer realizing the function *last*

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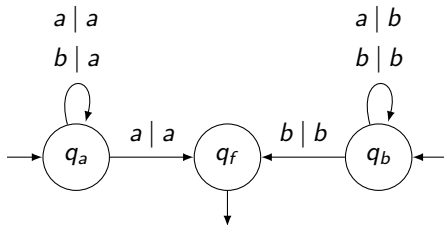
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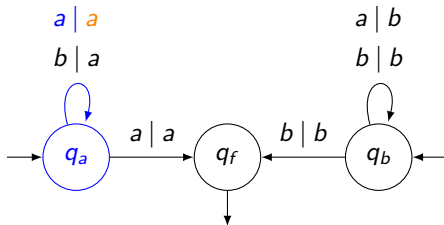
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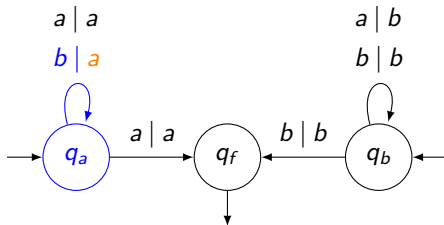
Transducer realizing the function *last*

aba



Transducer realizing the function *last*

$aba \mapsto a$



Transducer realizing the function *last*

$$aba \mapsto aa$$

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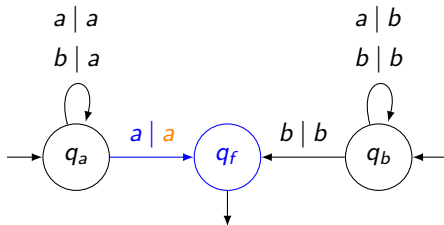
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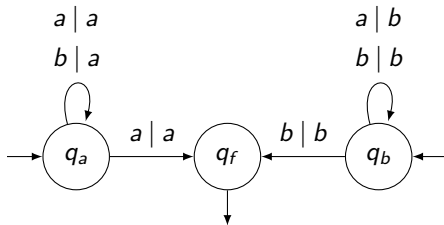
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Transducer realizing the function *last*

$$aba \mapsto aa$$



Transducer realizing the function *last*

$$\text{last}(aba) = aaa$$

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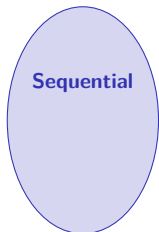
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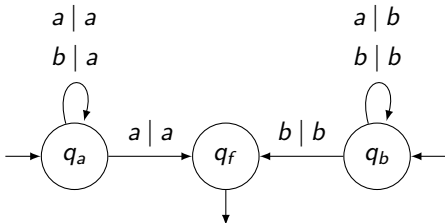
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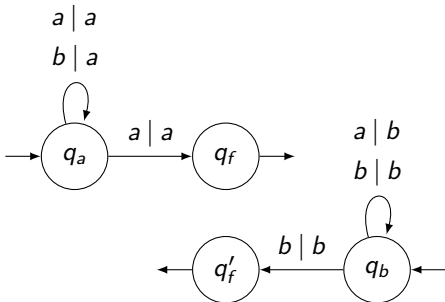
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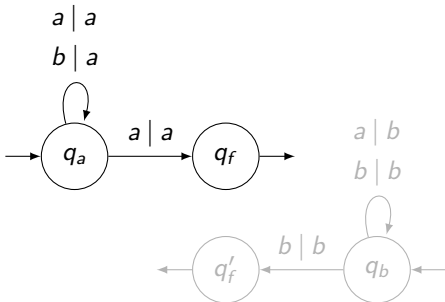
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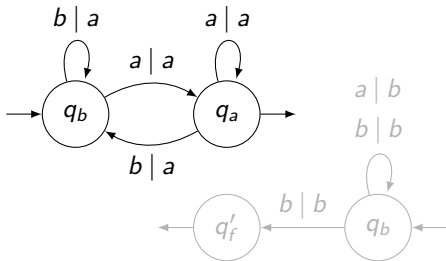
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Sequential

$last_{|(a+b)^* a}$

Sequential functions

Deterministic underlying automaton

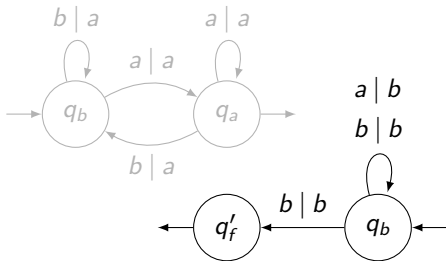


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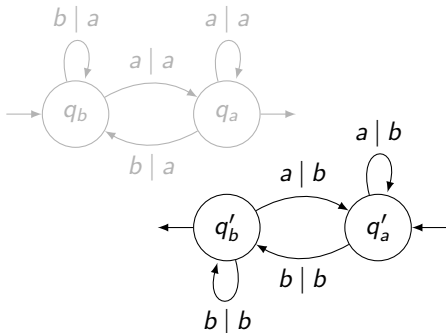
Sequential

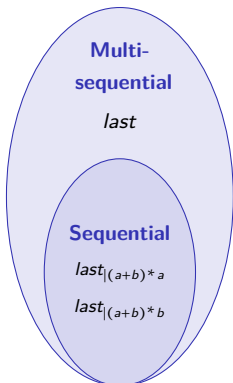
$last_{|(a+b)^* a}$

$last_{|(a+b)^* b}$

Sequential functions

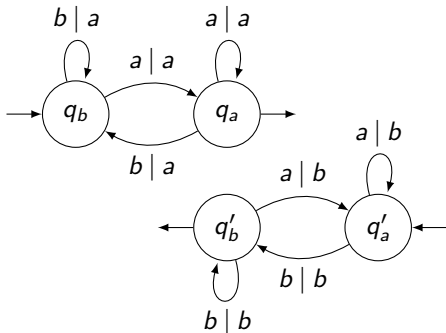
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Multi-sequential functions

Finite union of sequential transducers



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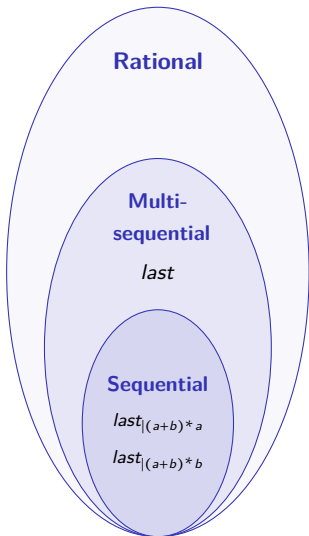
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Rational functions
Any finite-state transducer

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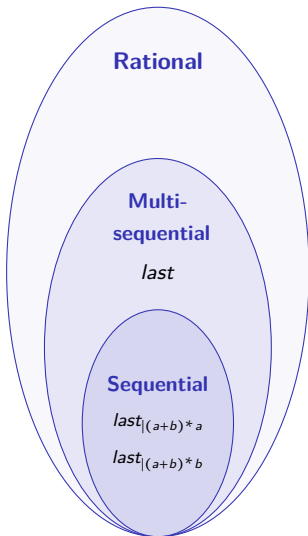
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Rational functions

Any finite-state transducer

$last^*$:

$$w_1 \# w_2 \# \dots \# w_n$$

\Downarrow

$$last(w_1) \# last(w_2) \# \dots \# last(w_n)$$

any $n \in \mathbb{N}^*$

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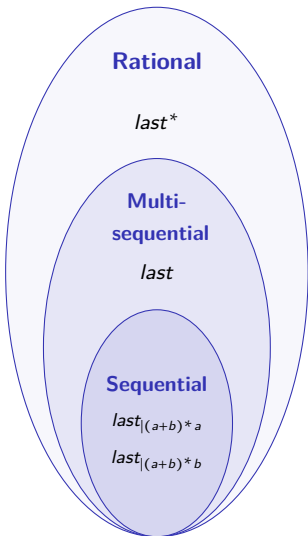
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Rational functions

Any finite-state transducer

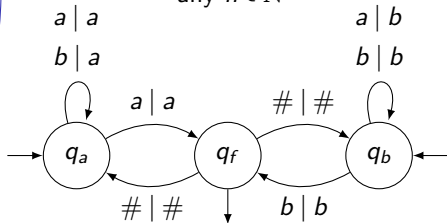
$last^*$:

$w_1 \# w_2 \# \dots \# w_n$

\Downarrow

$last(w_1) \# last(w_2) \# \dots \# last(w_n)$

any $n \in \mathbb{N}^*$



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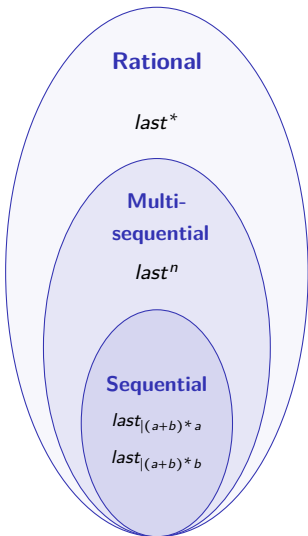
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Rational functions

Any finite-state transducer

$last^n :$

$w_1 \# w_2 \# \dots \# w_n$

\Downarrow

$last(w_1) \# last(w_2) \# \dots \# last(w_n)$

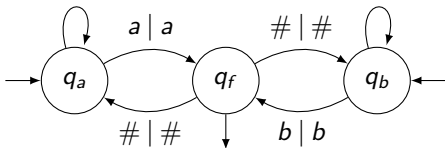
fixed $n \in \mathbb{N}^*$

$a | a$

$b | a$

$a | b$

$b | b$



Streaming String Transducers¹ (SST)

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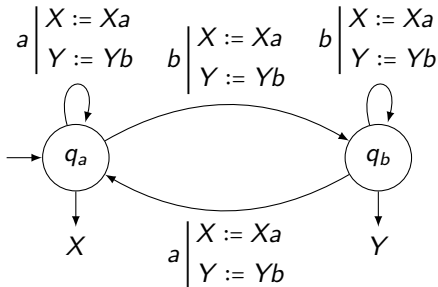
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SST realizing *last*

¹Alur, R., & Cerný, P. (2011). Streaming transducers for algorithmic verification of single-pass list-processing programs. *POPL 2011*.

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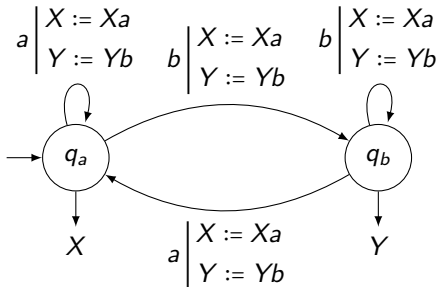
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SST realizing *last*

$$\left\{ \begin{array}{l} X = \epsilon \\ Y = \epsilon \end{array} \right. \quad aba \mapsto$$

¹Alur, R., & Cerný, P. (2011). Streaming transducers for algorithmic verification of single-pass list-processing programs. *POPL 2011*.

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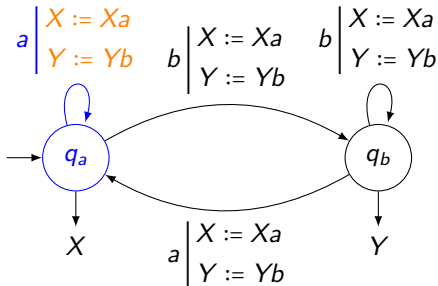
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SST realizing *last*

$$\begin{cases} X = a \\ Y = b \end{cases} \quad aba \mapsto$$

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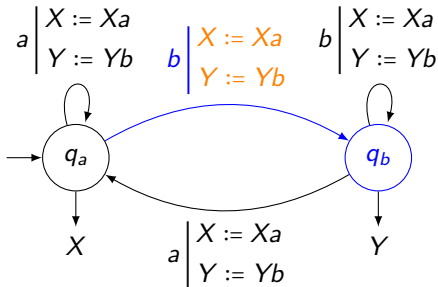
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SST realizing *last*

$$\begin{cases} X = aa \\ Y = bb \end{cases} \quad aba \mapsto$$

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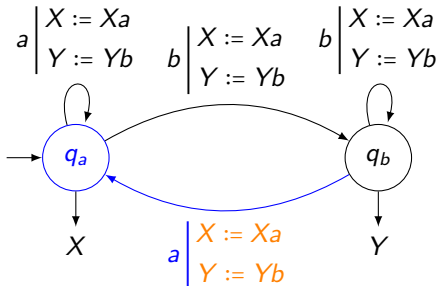
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SST realizing *last*

$$\begin{cases} X = aa\mathbf{a} \\ Y = bb\mathbf{b} \end{cases} \quad aba \mapsto$$

¹Alur, R., & Cerný, P. (2011). Streaming transducers for algorithmic verification of single-pass list-processing programs. *POPL 2011*.

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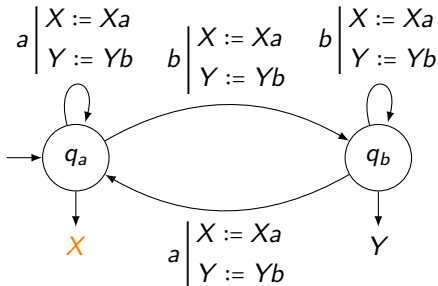
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SST realizing *last*

$$\begin{cases} X = aaa \\ Y = bbb \end{cases} \quad aba \mapsto aaa$$

¹Alur, R., & Cerný, P. (2011). Streaming transducers for algorithmic verification of single-pass list-processing programs. *POPL 2011*.

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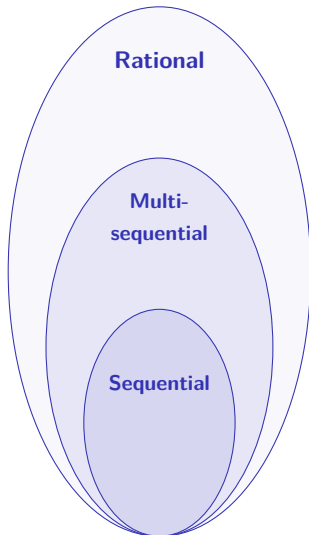
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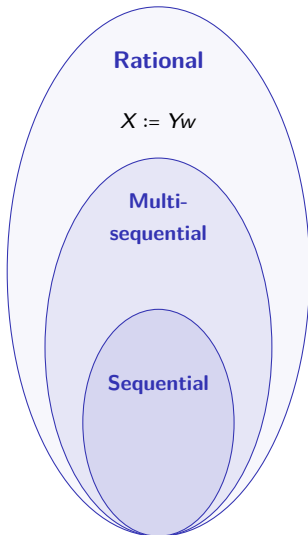
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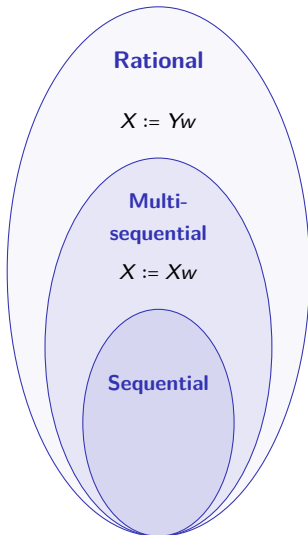
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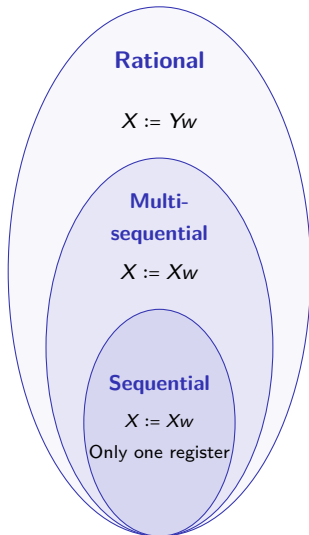
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Input : A rational function f realized by an SST \mathcal{T} , an integer k

Question : $\exists?$ an SST \mathcal{T}' , with k registers, that is equivalent to \mathcal{T} (i.e. realizing f).

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Question : $\exists?$ an SST \mathcal{T}' , with k registers, that is equivalent to \mathcal{T} (i.e. realizing f).

For rational functions :

- Daviaud, L., Reynier, P., & Talbot, J. (2016). A generalised twinning property for minimisation of cost register automata. *LICS 2016*

For multi-sequential functions :

- Daviaud, L., Jecker, I., Reynier, P., & Villevalois, D. (2017). Degree of sequentiality of weighted automata. *FOSSACS 2017*

Let Σ be a finite alphabet and $L \subseteq \Sigma^*$ a language.

Definition

An equivalence relation \sim over Σ^* is a right *congruence* if

$$\forall u, v \in \Sigma^* \quad \forall \sigma \in \Sigma \quad u \sim v \Rightarrow u\sigma \sim v\sigma$$

Definition

(right) *syntactic congruence* of L :

$$u \sim_L v \Leftrightarrow \forall w \in \Sigma^*, uw \in L \Leftrightarrow vw \in L$$

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Let Σ be a finite alphabet and $L \subseteq \Sigma^*$ a language.

Definition

(right) *syntactic congruence* of L :

$$u \sim_L v \Leftrightarrow \forall w \in \Sigma^*, uw \in L \Leftrightarrow vw \in L$$

Theorem (Myhill-Nerode)

L is a regular language iff \sim_L has a finite index (i.e. $|\Sigma^* / \sim_L| < \infty$)

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Let Σ be a finite alphabet and $L \subseteq \Sigma^*$ a language.

Definition

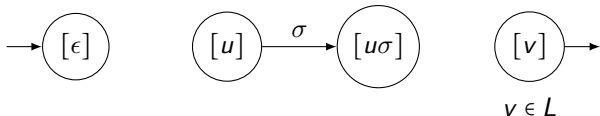
(right) *syntactic congruence* of L :

$$u \sim_L v \Leftrightarrow \forall w \in \Sigma^*, uw \in L \Leftrightarrow vw \in L$$

Theorem (Myhill-Nerode)

L is a regular language iff \sim_L has a finite index (i.e. $|\Sigma^* / \sim_L| < \infty$)

- ▶ Allows to define the minimal automaton of L



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- ▶ Allows to define the minimal automaton of L
- ▶ Allows to decide if a language belongs to a subclass (ex: L is definable in first-order logic iff \sim_L is aperiodic)
- ▶ Used in learning algorithms (Angluin, 1987)
- ▶ ...

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Let Σ be a finite alphabet and $f : \Sigma^* \rightarrow \Sigma^*$ be a function.

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Syntactic congruence of f :

$$U \sim_f V \Leftrightarrow \left\{ \right.$$

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Let Σ be a finite alphabet and $f : \Sigma^* \rightarrow \Sigma^*$ be a function.

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Syntactic congruence of f :

$$U \sim_f V \Leftrightarrow \begin{cases} U \sim_{\text{dom}(f)} V \end{cases}$$

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where $\widehat{f}(u) = \bigwedge f(uw)$ and \bigwedge is the longest common prefix

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Theorem (Choffrut¹)

f is a sequential function iff \sim_f has a finite index

¹Choffrut, C. (1977). Une caractérisation des fonctions séquentielles et des fonctions sous-séquentielles en tant que relations rationnelles. *Theor. Comput. Sci.*

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f is a sequential function iff \sim_f has a finite index

- ▶ Allows to define the minimal sequential transducer of f

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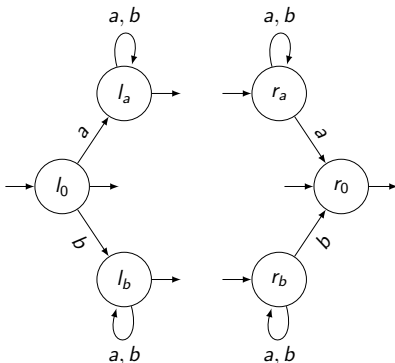
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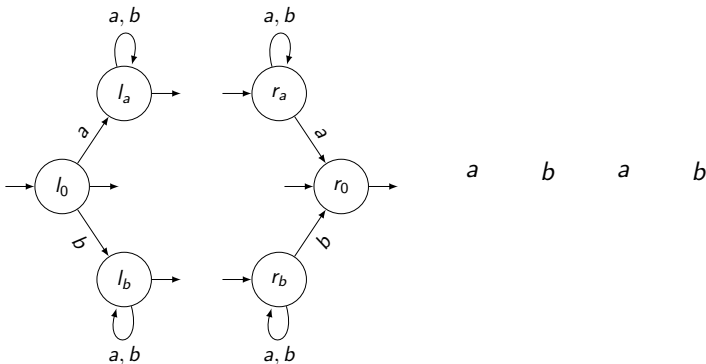


$$\omega(l_0, \sigma, r_\tau) = \omega(l_\tau, \sigma, r_0) = \tau$$

$$\omega(l, \sigma, r) = \sigma \text{ for any other } (l, \sigma, r)$$

Bimachine realizing swap
($\text{swap}(\sigma w \tau) = \tau w \sigma$)

Rational functions : Bimachines

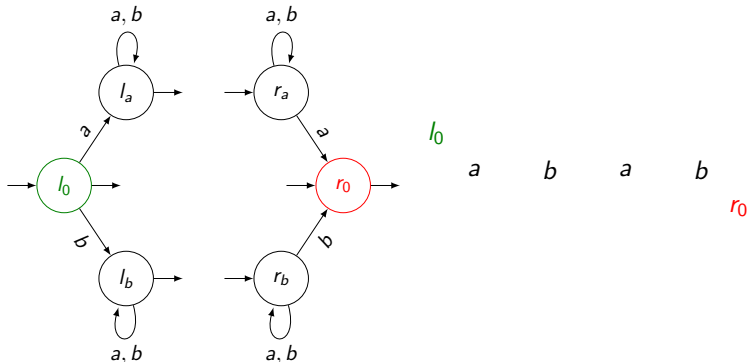


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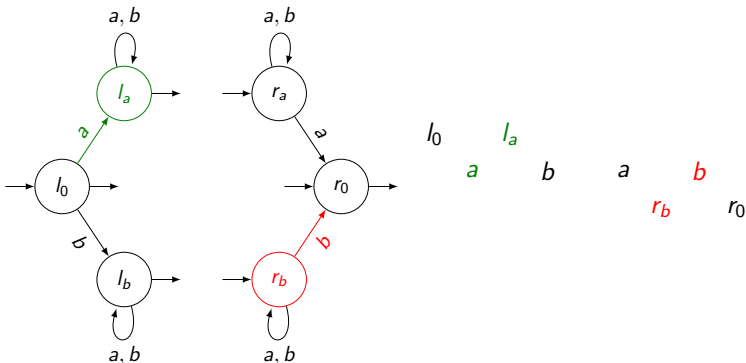


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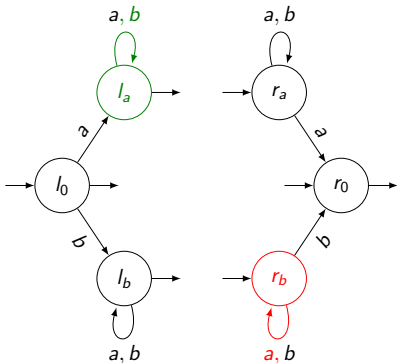


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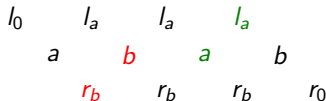
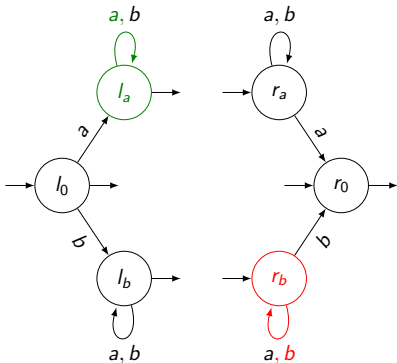
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l_0 l_a l_a
 a b
 r_b r_b r_0
 a b

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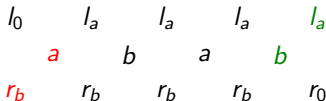
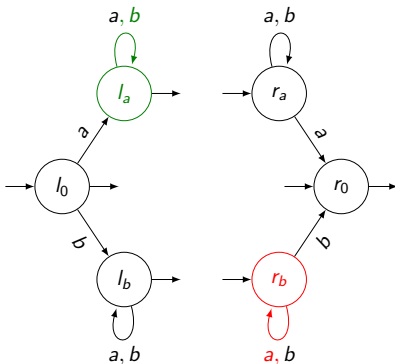
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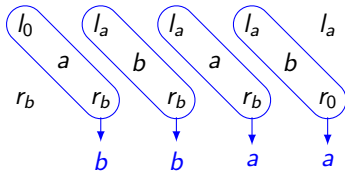
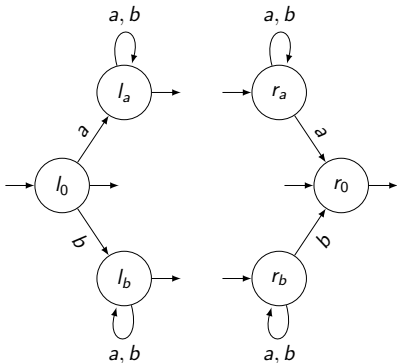
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Let Σ be a finite alphabet and $f : \Sigma^* \rightarrow \Sigma^*$ be a function.

Definition

Left syntactic congruence of f :

$$U \sim_f V \Leftrightarrow \left\{ \begin{array}{l} \\ \\ \\ \end{array} \right.$$

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Let Σ be a finite alphabet and $f : \Sigma^* \rightarrow \Sigma^*$ be a function.

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Left syntactic congruence of f :

$$u \sim_f v \Leftrightarrow \begin{cases} \forall w \in \Sigma^*, wu \in \text{dom}(f) \Leftrightarrow vw \in \text{dom}(f) \\ \sup_{w \in \text{dom}(f)u^{-1}} d_p(f(wu), f(vw)) < \infty \end{cases}$$

where $d_p(u, v) = |u| + |v| - 2|lcp(u, v)|$ is the prefix distance

Rational functions : Bimachines

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Theorem (Reutenauer and Schützenberger¹)

If f is a rational function then \sim_f has a finite index

¹Reutenauer, C., & Schützenberger, M. P. (1991). Minimization of rational word functions. *SIAM J. Comput.*

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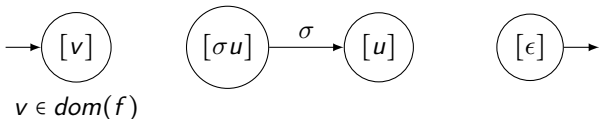
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Theorem (Reutenauer and Schützenberger)

If f is a rational function then \sim_f has a finite index

- ▶ Allows to define the minimal right automaton of f



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Let Σ be a finite alphabet and $f : \Sigma^* \rightarrow \Sigma^*$ be a function.

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Right syntactic congruence of f :

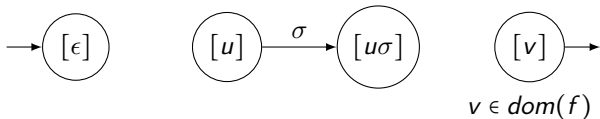
$$u \sim_f v \Leftrightarrow \begin{cases} \forall w \in \Sigma^*, uw \in \text{dom}(f) \Leftrightarrow vw \in \text{dom}(f) \\ \sup_{w \in u^{-1}\text{dom}(f)} d_s(f(uw), f(vw)) < \infty \end{cases}$$

where $d_s(u, v) = |u| + |v| - 2|lcs(u, v)|$ is the suffix distance

Theorem (Reutenauer and Schützenberger)

If f is a rational function then \sim_f has a finite index

- ▶ Allows to define the minimal left automaton of f



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Based on finding patterns in the transducer realizing the function

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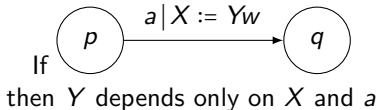
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Based on finding patterns in the transducer realizing the function
Objective : Solving the register minimization problem for rational functions using their algebraic characterization

If $X := Yw$ we say that the register Y **flows** to X

Independent flows



Bimachine \simeq SST with independent flows

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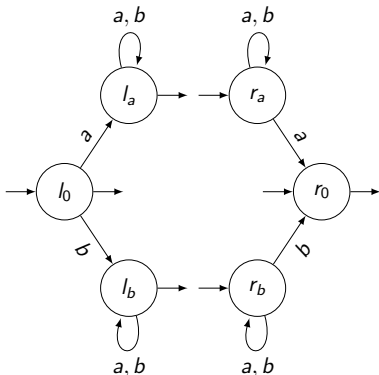
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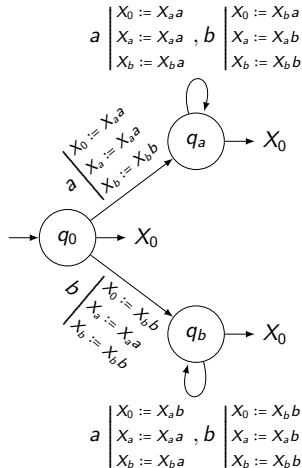


$$\omega(l_0, \sigma, r_\tau) = \omega(l_\tau, \sigma, r_0) = \tau$$

$$\omega(l, \sigma, r) = \sigma \text{ for any other } (l, \sigma, r)$$

Bimachine

\simeq



$$a \left\{ \begin{array}{l} X_0 := X_a b \\ X_a := X_a a \\ X_b := X_b a \end{array} \right. , b \left\{ \begin{array}{l} X_0 := X_b b \\ X_a := X_a b \\ X_b := X_b b \end{array} \right.$$

SST

Bimachine \simeq SST with independent flows

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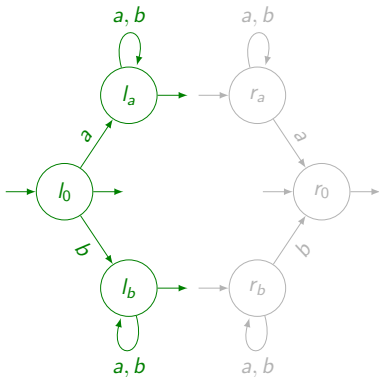
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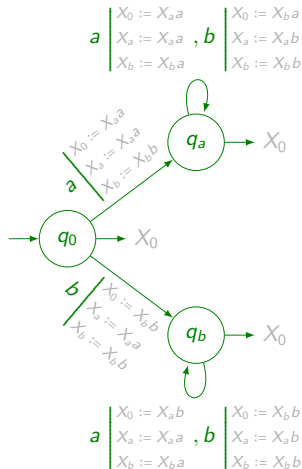


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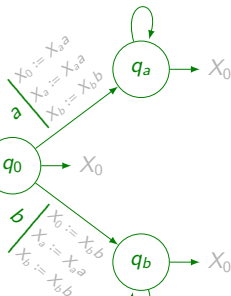
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Bimachine

\simeq



$$a \left\{ \begin{array}{l} X_0 := X_a a \\ X_a := X_a a \\ X_b := X_b a \end{array} \right. , b \left\{ \begin{array}{l} X_0 := X_b a \\ X_a := X_a b \\ X_b := X_b b \end{array} \right.$$



$$a \left\{ \begin{array}{l} X_0 := X_a b \\ X_a := X_a a \\ X_b := X_b a \end{array} \right. , b \left\{ \begin{array}{l} X_0 := X_b b \\ X_a := X_a b \\ X_b := X_b b \end{array} \right.$$

SST

Bimachine \simeq SST with independent flows

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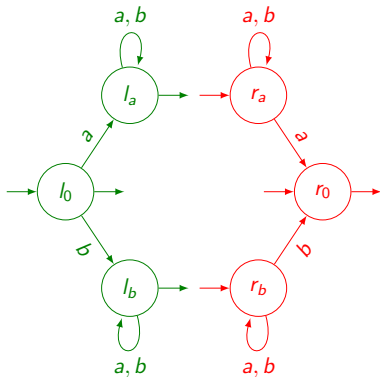
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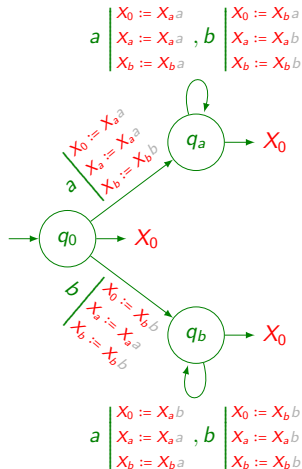


$$\omega(l_0, \sigma, r_\tau) = \omega(l_\tau, \sigma, r_0) = \tau$$

$$\omega(l, \sigma, r) = \sigma \text{ for any other } (l, \sigma, r)$$

Bimachine

\simeq



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Bimachine \simeq SST with independent flows

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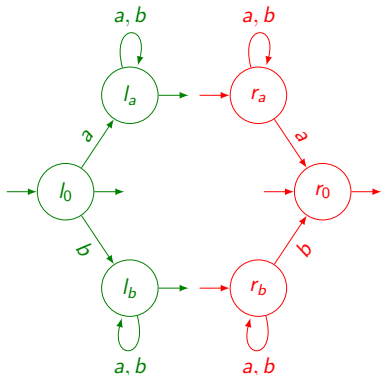
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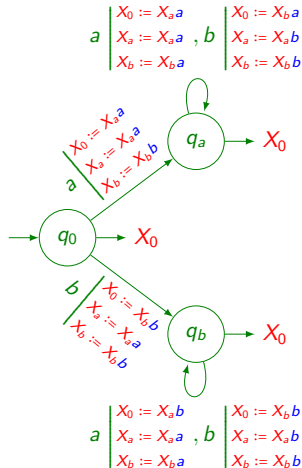


$$\omega(l_0, \sigma, r_\tau) = \omega(l_\tau, \sigma, r_0) = \tau$$

$$\omega(l, \sigma, r) = \sigma \text{ for any other } (l, \sigma, r)$$

Bimachine

\simeq



$$a \begin{cases} X_0 := X_{ba} \\ X_a := X_{aa} \\ X_b := X_{ba} \end{cases}, b \begin{cases} X_0 := X_{ab} \\ X_a := X_{aa} \\ X_b := X_{ba} \end{cases}$$

$$a \begin{cases} X_0 := X_{ab} \\ X_a := X_{aa} \\ X_b := X_{ba} \end{cases}, b \begin{cases} X_0 := X_{ba} \\ X_a := X_{aa} \\ X_b := X_{ba} \end{cases}$$

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Filiot, E., Gauwin, O., & Lhote, N. (2019). Logical and algebraic characterizations of rational transductions. *Log. Methods Comput. Sci.*

- Bimachines can be minimized in PTIME
- Left / right minimization \rightarrow Tradeoff between the size of the left and the right automaton

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- Bimachines can be minimized in PTIME
- Left / right minimization \rightarrow Tradeoff between the size of the left and the right automaton

Corollary

For SST with independent flows :

- \Rightarrow Minimal number of registers = Number of states of the minimal right automaton
- \Rightarrow Tradeoff between the size of the underlying automaton and the number of registers

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l_0
 a b a b
 r_0

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a b a b

r_b r_0

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$$\begin{array}{ccccccc}
 l_0 & & l_a & & l_a & & \\
 & a & & b & & a & & b \\
 & & & & r_b & & r_b & & r_0
 \end{array}$$

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$$\begin{array}{ccccccc}
 l_0 & & l_a & & l_a & & l_a \\
 & a & & b & & a & & b \\
 & & r_b & & r_b & & r_b & & r_0
 \end{array}$$

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$$\begin{array}{ccccccccc}
 l_0 & & l_a & & l_a & & l_a & & l_a \\
 & & a & & b & & a & & b \\
 r_b & & r_b & & r_b & & r_b & & r_0
 \end{array}$$

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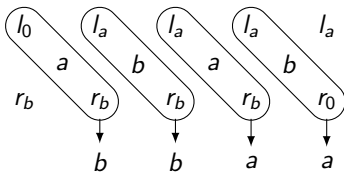
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a b a b

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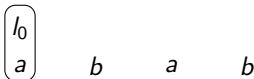
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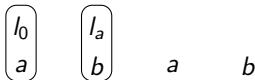
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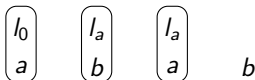
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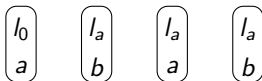
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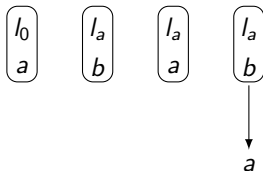
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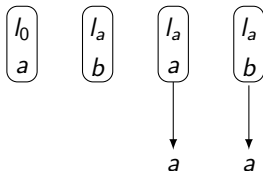
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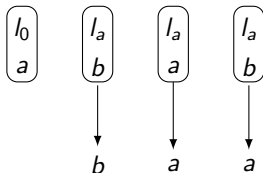
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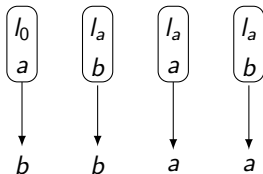
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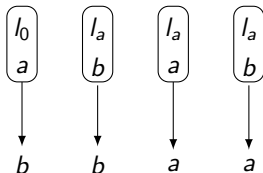
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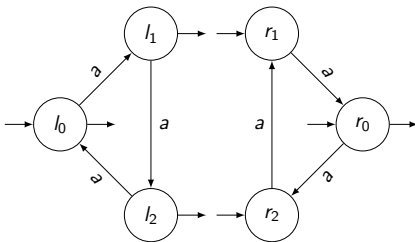
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$$a^n \mapsto \begin{cases} a^n & \text{if } n \equiv 0 \pmod{3} \\ b^n & \text{otherwise} \end{cases}$$

Minimization of asynchronous bimachines (ongoing work)

$$a^n \mapsto \begin{cases} a^n & \text{if } n \equiv 0 \pmod{3} \\ b^n & \text{otherwise} \end{cases}$$



ω	l_0	l_1	l_2
r_0	b	b	a
r_1	b	a	b
r_2	a	b	b

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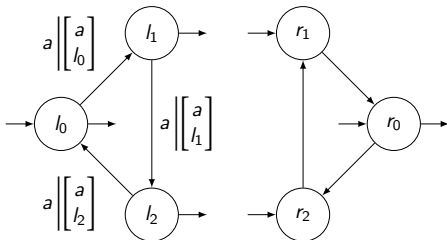
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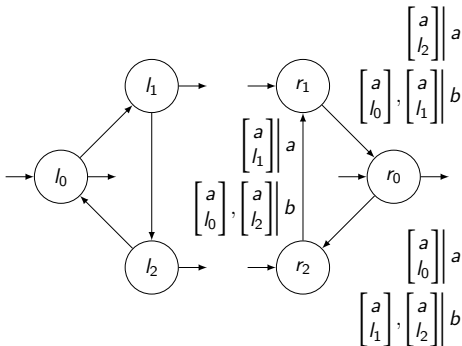
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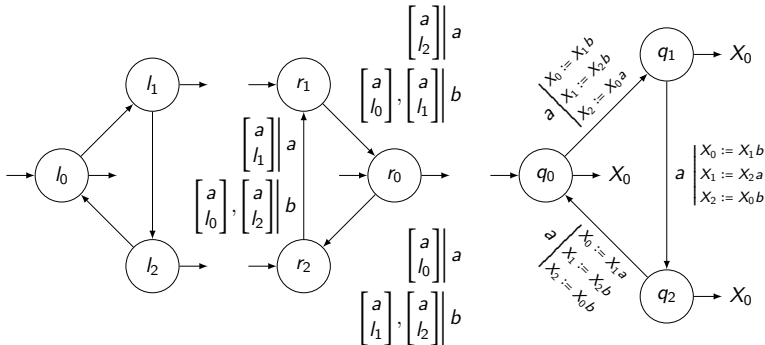
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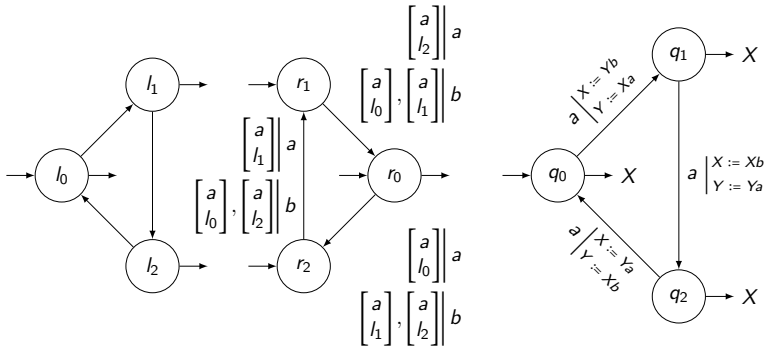
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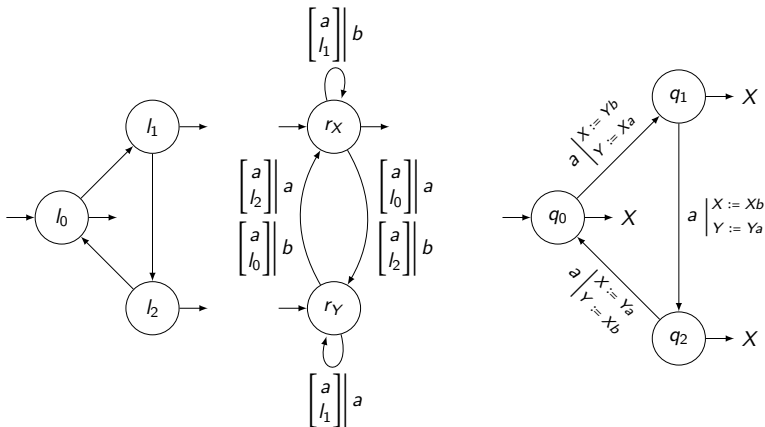
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Problem

Input : A partial sequential function f , an integer k

Question : $\exists?$ a sequential transducer, with k states, realizing an extension of f .

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- NP-complete for letter-to-letter transducers

Pfleeger, C. P. (1973). State reduction in incompletely specified finite-state machines. *IEEE Trans. Computers*

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Principal results:

- Bimachine \simeq SST with independent flows \rightarrow register minimization for this class
- Asynchronous bimachine \simeq SST

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Principal results:

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Perspectives:

- Minimization of asynchronous bimachines
- Links with other problems

Thank you for your attention