### Computational methods in category theory

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February 13, 2025

## Notions of computations

To "compute" can have different meanings depending on context

General definition: to construct something using a sequence of known operations.

- "something": numbers, mathematical objects, instances of datastructures, etc.
- "known operations": addition, colimits, while loops, etc.

In the CS meaning, different levels of computability or computational methods

- algorithm (gold standard): fully terminating procedure on all instances
- **procedure**: might terminate or not
- proof assistant: ask the user for the next step of computation, check that the steps are sound

## Computable functions

There is different models for the notion of computable functions, like the one of recursive functions.

**Recursive functions**: subclasses  $\operatorname{Rec}_k$  for  $k \ge 0$  of partial functions  $\mathbb{N}^k \to \mathbb{N}$  s.t.

- $\blacktriangleright$  constant functions  $(n_1, \dots, n_k) \mapsto c$  are in  $\operatorname{Rec}_k$
- $\blacktriangleright$  projections  $(n_1,\ldots,n_k)\mapsto n_i$  are in  ${\rm Rec}_k$
- closed by composition and recursion

But computation is not just about natural numbers. What about other structures?

We can still replace  $\mathbb{N}$  by **datastructures** (lists, maps, *etc.*) but this is just syntax for  $\mathbb{N}$ .

Can we talk about other mathematical objects with  $\mathbb{N}?$ 

### Computing on mathematical objects

Given a "universe" (a set, a category, *etc.*) of objects  $\mathcal{U}$ , an **encoding** is a subset  $E \subseteq \mathbb{N}$  together with a mapping

An object  $X \in \mathcal{U}$  is **encoded** by E when there is  $e \in E$  and an "equivalence"  $X \simeq \llbracket e \rrbracket$ .

Examples: the finite sets are encoded by  $\mathbb{N}$  through the mapping

$$n \hspace{0.1in}\mapsto \hspace{0.1in} \{0,\ldots,n-1\}$$

# Computing on mathematical objects

Once such an encoding is given, one can ask what operations can be computed with it, as recursive functions.

Examples with sets:

Disjoint union	Product
$(S,T)\mapsto S\coprod T$	$(S,T)\mapsto S\times T$
$(n,m)\mapsto n+m$	$(n,m)\mapsto nm$

Using this kind of encoding, one can wonder what is computable among mathematical structures.

## Category

Category theory: categories, functors, natural transformations, their constructions and properties.

Given a category  $\ensuremath{\mathcal{C}}$  , examples of things that we want to know:

- $\blacktriangleright$  is C complete or cocomplete?
- $\blacktriangleright$  is C closed?

Given a functor  $F: \mathcal{C} \to \mathcal{D}$ , examples of things that we want to know:

- does F preserve limits or colimits?
- ▶ is F part of an adjunction?

Can we find encodings enabling computational methods for these problems?

## Outline

Computing with f.p. categories

Computing with Set

Computing with presheaves

Encoding standard categories

Encoding functors

Method for left adjointness

A method for cartesian closure

Applications

Bonus slides

# Outline

#### Computing with f.p. categories

- Computing with Set
- Computing with presheaves
- Encoding standard categories
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## Computing with presentations

When computing with mathematical objects, the standard way to go is with **presentations**.

### Computing with presentations

Given a group G, one can express it using a **presentation**  $\langle S \mid E \rangle$ 

$$\mathbb{Z}^2 \cong \langle \{a, b\} \mid ab = ba \rangle$$
$$\mathbb{Z}/n\mathbb{Z} \cong \langle \{a\} \mid a^n = 1 \rangle$$
$$\dots$$

When such a presentation is finite, one can easily describe it to a computer

## Computing with presentations

Using this encoding or others, several algorithms were introduced, notably:

- **Todd–Coxeter algorithm**: coset enumeration
- **Schreier–Sims algorithm**: find the order of a permutation group

We can also present **morphisms** between presented groups and make computations on them.

Remember that categories, technically, are **algebraic structures** (*essentially*).

2 sorts:

 $C_0$  and  $C_1$ 

4 operations:

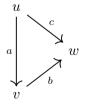
$$\mathrm{id} \colon \mathbf{C}_0 \to \mathbf{C}_1 \qquad \partial^- \colon \mathbf{C}_1 \to \mathbf{C}_0 \qquad \partial^+ \colon \mathbf{C}_1 \to \mathbf{C}_0 \qquad c \colon \mathbf{C}_1 \times_0 \mathbf{C}_1 \to \mathbf{C}_1$$

They thus admit a notion of presentation.

Example: one can consider a category C with

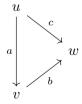
 $\blacktriangleright$  objects u, v, w

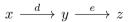
**b** generating arrows  $a \colon u \to v$ ,  $b \colon v \to w$  and  $c \colon u \to v$ 



Also a category  $\boldsymbol{D}$  with

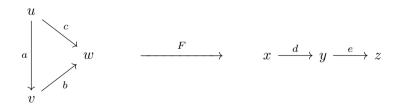
- b objects x, y, z
- **>** generating arrows  $d \colon x \to y$  and  $e \colon y \to z$





Then one can consider the functor  $\boldsymbol{F}$  such that

$$\begin{array}{ll} F(u)=x & F(v)=y & F(w)=z \\ F(a)=d & F(b)=e & F(c)=d*e \end{array}$$



Such data can be given to a computer.

```
A := category {
  obj := \{u, v, w\},
  arr := \{a : u \Rightarrow v, b : v \Rightarrow w, c : u \Rightarrow w\}
}
B := category {
  obj := \{x, y, z\},\
  arr := {d : x \Rightarrow y, e : y \Rightarrow z}
}
F := functor A => B {
  u \rightarrow x, v \rightarrow y, w \rightarrow z,
  a -> d. b -> e. c -> d * e
}
```

Such encoding allows considering the computability of several construction or property on **finitely presented categories**.

But the categories described this way feels very artificial.

What about real categories like Set, Grp, etc. and associated functors.

Still, some successes were obtained with this encoding:

- solution for the word problem on morphisms based on rewriting
- **computation of Left Kan extensions** (some at least) [Carmody, Walters]
  - generalisation of Todd–Coxeter algorithm for groups

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#### Computing with Set

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The category Set of sets and functions is a standard example of categories.

The situation of Set is already different from an f.p. category

- its classes of objects and morphisms is not finite (not even sets!)
- it is morally only defined up to equivalence of category

Let's see what we can compute *inside* Set.

## Encoding finite sets

Finite sets can easily be encoded as follows.

```
Type of integers: type nat = Zero | Succ of nat
```

```
Type of elements: type el = El of nat
```

```
Type of sets: type set = el list
```

- but: need to filter lists with duplicates
- more efficient: use Set Module to create Sets of el

Mapping:

 $[\texttt{El 1}; \texttt{El 5}; \texttt{El 7}; \texttt{El 42}] \qquad \mapsto \qquad \{1, 5, 7, 42\}$ 

### Encoding functions between sets

Functions between finite sets can be encoded as follows.

Type of functions (1st try): type sfun = el -> el

- difficult to define and inspect
- more generally, not efficient

```
Type of functions (2nd try): type sfun = (el * el) list
```

- easier to define and inspect
- still a bit inefficient
- better: use Map Module to create Maps of (el,el)-bindings

Assuming sets S, S' encoded by [El 1;El 2] and [El 4;El 5; El 6], we have a mapping:

$$[(\texttt{El 1, El 6}); (\texttt{El 2, El 4})] \qquad \mapsto \qquad f = S \cong \{1, 2\} \xrightarrow{f'} \{4, 5, 6\} \cong S' \\ \text{ with } f' \text{ defined by } f'(1) = 6 \text{ and } f'(2) = 4$$

Simple computability observations:

From an encoding of a finite set S, the identity function  $id_S$  is encodable

From encodings of functions  $S \xrightarrow{f} S' \xrightarrow{f'} S''$ , the composite  $f' \circ f$  is computable

$$(s,s') \in f, (s',s'') \in f' \quad \rightsquigarrow \quad (s,s'') \in ff'$$

An oriented (multi-)graph is a diagram

$$A \xrightarrow[t]{s} N$$

in Set.

An oriented graph G induces a free category  $G^*$  of **paths** between nodes.

## Encoding graphs

Finite graphs  $A \xrightarrow[t]{s} N$  can be encoded quite easily: type graph = { nodes : set ; arrows : set ; src : sfun ; tgt : sfun }

## Encoding diagrams

A diagram (on a graph) in Set is a functor  $d: G^* \to Set$ , for some graph G.

Given an encoding of G = (N, A), diagrams on  $G^*$  with finite sets as images can be encoded:

where

### Computing colimits

Recall that a colimit Q on a (general) diagram  $d \colon C \to \mathcal{D}$  can be expressed as

$$\coprod_{f:\ i \to j \in C} d(i) \xrightarrow{[\iota_i]_{f:\ i \to j}} \coprod_{i \in C} d(i) \xrightarrow{[\iota_j \circ f]_{f:\ i \to j}} \coprod_{i \in C} d(i) \xrightarrow{q} Q$$

When  $C=G^*,$  we can replace the left coproduct by  $\coprod_{f\colon i\to j\in G} d(i)$ 

### Computing colimits

In Set, finite coproducts

$$S = \prod_{i \in I} S_i$$

of encoded sets  $S_i$  are easy to compute, together with the coprojections  $S_i \rightarrow S$ .

Moreover, coequalisers in Set can be described as

$$S \xrightarrow{f} T \xrightarrow{q} T_{/\sim}$$

where  $\sim$  generated by  $f(s) \sim g(s)$  for every  $s \in S$ .

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When S,T and f,g are encoded, we are able to compute  $T_{/\sim}$  and q using a <code>UNION-FIND</code> algorithm in (almost) linear time.

### Computing colimits

Thus, we can compute colimits over diagrams

 $d\colon G^*\to \mathbf{Set}$ 

where G is finite and d has images in finite sets.

Moreover, given a category I, together with a bijective-on-objects epimorphism

 $e\colon G^*\to I$ 

where G is a finite graph, the colimit on a diagram  $d \colon I \to \mathbf{Set}$  is the same as the one for  $d \circ e$ .

Conclusion: we can compute **finite colimits** of **finite sets** over categories I with finite sets of objects and of generating morphisms.

## Computing factorisations

Given an encoded diagram  $d\colon G^*\to \mathbf{Set},$  a cocone

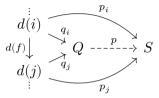
$$(p_i\colon d(i)\to S)_{i\in G}$$

where S is finite, can be encoded.

vertex encodes S
coprojs encodes the p<sub>i</sub>'s

### Computing factorisations

Given the colimit  $(q_i \colon d(i) \to Q)$  where  $Q = (\coprod d(i))_{/\sim}$ , the factorisation



of the cocone  $(p_i\colon d(i)\to S)_{i\in G}$  can be computed.

Indeed, each element of Q is the image of some  $x \in d(i)$ , so that the mappings of p can be computed as:

1) 
$$P := empty$$

- 2) for each i, for each  $x\in d(i),$  add  $(q_i(x),p_i(x))$  to P
- 3) return P

which gives the encoding of p as sfun.

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Our computable framework can be easily extended to presheaves.

## Encoding presheaves

Given a finitely presented category C, finite presheaves X on C can be encoded by

```
type presheaf = {
   obj_map : el -> set ;
   arr_map : el -> sfun
}
```

where

> obj\_map encodes Ob(X): Ob(C<sup>op</sup>) → Ob(Set)
 > arr\_map encodes the mapping Morph(X): Morph(C<sup>op</sup>) → Morph(Set) (only for the generating morphisms of C)

Alternatively, with more efficient EMaps, that is Maps of el:

```
type presheaf = {
   obj_map : set EMap.t ;
   arr_map : set EMap.t
}
```

## Encoding morphisms

Given two encoded presheaves  $X, Y \in \widehat{C}$ , the morphisms  $m \colon X \to Y$  (that is, the natural transformations  $m \colon X \Rightarrow Y \colon C^{\mathrm{op}} \to \mathbf{Set}$ ) can be encoded:

```
type ps_morph = {
   psm_arr_map : el -> sfun
}
```

Alternatively, with Maps:

```
type ps_morph = {
    psm_arr_map : sfun EMap.t
}
```

# Encoding/Computing other things

What we did for **Set** naturally extends to  $\widehat{C}$ .

- computing composition of morphisms
- encoding cocones
- computing colimits
- computing factorisation for cocones

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Presheaf categories encompass already several examples, like Set, Grph, etc.

But we still miss some important examples: Mnd, Grp, etc.

Idea: constrain the objects of presheaf categories, in order to be more expressive

Consider the theory of monoids:

1 sort:

#### M

2 generating operations:

$$e \colon 1 \to \mathbf{M} \qquad \qquad c \colon \mathbf{M}^2 \to \mathbf{M}$$

How to monoids as presheaves?



Μ

Start with sorts as objects.

#### 1 M $M^2$

Add objects for the domains of the operations.

$$e \colon 1 \to \mathbf{M} \qquad \qquad c \colon \mathbf{M}^2 \to \mathbf{M}$$

#### $\mathbf{1} \stackrel{e}{\longrightarrow} \mathbf{M} \stackrel{c}{\longleftarrow} \mathbf{M}^{\mathbf{2}}$

Add the arrows for these operations.

$$\mathbf{1} \stackrel{e}{\longrightarrow} \mathbf{M} \overleftarrow{\begin{array}{l} \begin{array}{c} \pi_L \\ \hline \\ \pi_B \end{array}} \mathbf{M}^2$$

Add arrows for the cone projections.

$$\mathbf{1} \xleftarrow{e} \mathbf{M} \xrightarrow{\pi_L \atop = c \xrightarrow{\pi_R}} \mathbf{M}^2$$

Reverse all arrows.

$$\mathbf{1} \xleftarrow{e} \mathbf{M} \xrightarrow[\pi_R]{\pi_L} \mathbf{M}^2$$

A monoid is then a particular **presheaf** on the above category C, *i.e.*, a functor

 $X \colon C^{\mathrm{op}} \to \mathbf{Set}$ 

$$\mathbf{1} \xleftarrow{e} \mathbf{M} \xrightarrow[\pi_R]{\pi_L} \mathbf{M}^2$$

A monoid is then a particular **presheaf** on the above category C, *i.e.*, a functor

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They are the ones such that

 $\blacktriangleright$   $X(\mathbf{1})$  is a terminal set

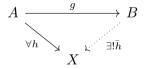
 $\blacktriangleright~(X({\bf M^2}),X(\pi_L),X(\pi_R))$  is the product of  $X({\bf M})$  and  $X({\bf M})$ 

**>** the equations of monoids must hold: X(c)(X(e)(x), y) = y, etc.

These conditions can be expressed through orthogonality conditions.

Let  $\mathcal{C}$  be a category,  $g \colon A \to B$  and  $X \in \mathcal{C}$ .

X is **orthogonal** to g when, for all  $h: A \to X$ , there is a unique  $\bar{h}: B \to X$  such that  $h = \bar{h} \circ g$ .



Let  $O^{\mathcal{C}} \subseteq \mathcal{C}_1$  be a chosen set of **orthogonality morphisms**.

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 $\mathcal{C}^{\perp}: \text{ full subcategory of objects of } \mathcal{C} \text{ orthogonal to the arrows of } O^{\mathcal{C}}.$ 

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There is then a canonical inclusion functor

 $J\colon \mathcal{C}^{\perp} \to \mathcal{C}.$ 

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.

#### Proposition (Adámek, Rosický)

If  $\mathcal{C}$  is loc. fin. presentable, the canonical inclusion functor  $J \colon \mathcal{C}^{\perp} \to \mathcal{C}$  has a left adjoint L:

$$\begin{array}{c} \xrightarrow{L} \\ \mathcal{C} \\ \xrightarrow{\bot} \\ \xrightarrow{J} \end{array} \mathcal{C}^{\bot}$$

The restrictions on presheaves can be expressed as orthogonality conditions.

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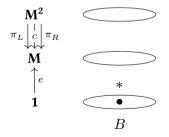
Example for monoids:

$$\mathbf{1} \xleftarrow{e} \mathbf{M} \xrightarrow{\pi_R} \mathbf{M}^2$$

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Let B be the presheaf freely generated from one element \* in  $B(\mathbf{1})$ .

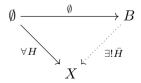


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Example for monoids: 
$$\mathbf{1} \xleftarrow{e} \mathbf{M} \xrightarrow{\pi_R} \mathbf{M}^2$$

Let B be the presheaf freely generated from one element \* in  $B(\mathbf{1})$ .

Let X in  $\widehat{C}$ . Then,  $X(\mathbf{1})$  is a terminal set when X is orthogonal to  $\emptyset \to B$ 



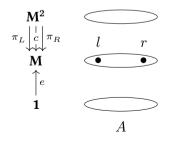
Indeed,  $\widehat{C}(B,X) \cong X(\mathbf{1})$ , so that the condition says  $X(\mathbf{1}) \cong \{*\}$ .

The restrictions on presheaves can be expressed as orthogonality conditions.

Let

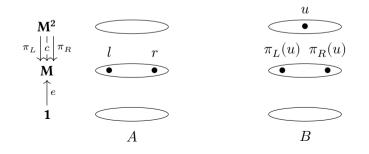
The restrictions on presheaves can be expressed as orthogonality conditions.

Let  $\blacktriangleright A \in \widehat{C}$  freely gen. from two element l, r in  $B(\mathbf{M})$ 



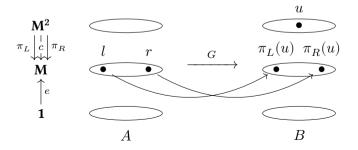
The restrictions on presheaves can be expressed as orthogonality conditions.

Let  $A \in \widehat{C}$  freely gen. from two element l, r in  $B(\mathbf{M})$  $B \in \widehat{C}$  freely gen. from an element  $u \in B(\mathbf{M}^2)$ 



The restrictions on presheaves can be expressed as orthogonality conditions.

Let A  $\in \widehat{C}$  freely gen. from two element l, r in  $B(\mathbf{M})$ B  $\in \widehat{C}$  freely gen. from an element  $u \in B(\mathbf{M}^2)$ G:  $A \to B$  such that  $G(l) = \pi_L(u)$  and  $G(r) = \pi_B(u)$ .



The restrictions on presheaves can be expressed as orthogonality conditions.

Let  
A 
$$\in \widehat{C}$$
 freely gen. from two element  $l, r$  in  $B(\mathbf{M})$   
B  $\in \widehat{C}$  freely gen. from an element  $u \in B(\mathbf{M}^2)$   
G:  $A \to B$  such that  $G(l) = \pi_L(u)$  and  $G(r) = \pi_R(u)$ .

 $(X(\mathbf{M}^2), X(\pi_L), X(\pi_R))$  is a product iif X is orthogonal to  $G \colon A \to B$ .

Indeed,  $\widehat{C}(A, X) \cong X(\mathbf{M}) \times X(\mathbf{M})$  and  $\widehat{C}(B, X) \cong X(\mathbf{M}^2)$ .

The restrictions on presheaves can be expressed as orthogonality conditions.

The equations of monoids can also be expressed as orthogonality conditions.

$$A^{L} \xrightarrow{G^{L}} B^{L} \qquad A^{R} \xrightarrow{G^{R}} B^{R} \qquad A^{A} \xrightarrow{G^{A}} B^{A}$$

Thus,  $\mathbf{Mon} \simeq \widehat{C}^{\perp}$  for a set  $O^C \subseteq \widehat{C}_1$  of orthogonality morphisms.

$$C = \mathbf{1} \xleftarrow{e} \mathbf{M} \xrightarrow{\pi_L} \mathbf{M}^2$$

## Expressivity

With this representation, we can describe all locally finitely presentable categories.

Proposition

Every loc. fin. pres. category  $\mathcal C$  can be described as

$$\mathcal{C}\simeq \widehat{C}^{\perp}$$

for some  $C \in \mathbf{Cat}$  and  $O^C \subseteq (\widehat{C})_1$ .

L.f.p. categories are very common: Set, Mnd, Cat, etc. ...

# Encoding

```
(Sufficiently finite) l.f.p. can be encoded:
type path = Id of el | Cons of (el * path)
type fp_category = {
  objects : set;
  arrows : set:
  equations : (path * path) list
}
type lfp_category = {
  base_cat : fp_category ;
  ortho_maps : ps_morph list
}
```

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- Encoding standard categories

#### Encoding functors

- Method for left adjointness
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$$\mathcal{F}\colon \ \mathcal{C} \ \rightarrow \ \mathcal{D}$$

Goal: describe (some) functors between two l.f.p. categories  $\mathcal{C}$  and  $\mathcal{D}$ .

We will need to filter some out.

$$\mathcal{F}'\colon \quad \widehat{C}^{\perp} \quad \to \quad \widehat{D}^{\perp}$$

First, we use the characterization:  $\mathcal{C} \simeq \widehat{C}^{\perp}$  and  $\mathcal{D} \simeq \widehat{D}^{\perp}$ .

$$\mathcal{F}''\colon \quad \widehat{C} \quad \to \quad \widehat{D}^{\perp}$$

Then, let's actually define a functor  $\mathcal{F}''$  on a larger domain.

In good cases,  $\mathcal{F}'$  can then be recovered by precomposition with  $J \colon \widehat{C}^{\perp} \to \widehat{C}$ .

$$\mathcal{F}''': \widehat{C} \to \widehat{D}$$

Also, let's actually define a functor  $\mathcal{F}'''$  on a larger codomain.

In good cases,  $\mathcal{F}''$  can be recovered by post-composition with  $(-)^{\perp}$ .

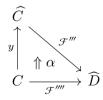
$$\mathcal{F}'''': \quad C \quad \to \quad \widehat{D}$$

Then, let's actually only define  $\mathcal{F}'' \circ y$  where y is the Yoneda embedding

 $y \colon c \mapsto \operatorname{Hom}(-,c)$ 

$$\mathcal{F}'''': C \rightarrow \widehat{D}$$

If  $\mathcal{F}'''$  is nice enough, it can be recovered using a left Kan extension:



$$\mathcal{F}'''': \quad C \quad \to \quad \widehat{D}$$

Under some finiteness hypothesis on C, D and  $\mathcal{F}''''$ , the latter can be described computationally.

Conversely: one can start with a functor, called Kan model,

 $F{:}\ C\to \widehat{D}$ 

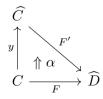
and recover a functor  $\mathcal{C} \to \mathcal{D}$ .

Kan model

## $F {:} C \to \widehat{D}$

$$F'\colon \widehat{C}\to \widehat{D}$$

computed with a left Kan extension



$$\tilde{F}\colon \widehat{C}\to \widehat{D}^\perp$$

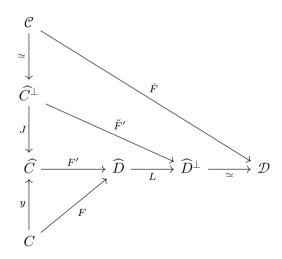
with 
$$\tilde{F} = L \circ F'$$

$$\tilde{F}'\colon \widehat{C}^{\perp}\to \widehat{D}^{\perp}$$

with 
$$\tilde{F}' = \tilde{F} \circ J$$

$$\bar{F}\colon \mathcal{C}\to \mathcal{D}$$
 with  $\bar{F}=\mathcal{C}\simeq \widehat{C}^\perp \xrightarrow{\tilde{F}'} \widehat{D}^\perp\simeq \mathcal{D}$ 

Summary:

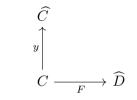


Assuming encodings for  $\mathcal{C}$  and  $\mathcal{D}$ , Kan models  $C \to \widehat{D}$  with finite images can be encoded.

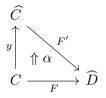
```
type kan_model = {
    km_obj_map = el -> presheaf ;
    km_arr_map = el -> ps_morph
}
```

What is actually a Kan extension doing?

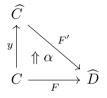
Some intuition with a particular case but essential for the following.



Given  $F \colon C \to \widehat{D}$  and  $y \colon C \to \widehat{C}$  the Yoneda embedding,



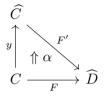
a left Kan extension of F along y is a pair  $(F', \alpha)$  which is universal in some sense.



Concretely:

$$F'(X) = \int^{c \in C} F(c) \otimes X(c)$$

Idea: for each  $x \in X(c)$ , there is one copy of F(c) in F'(X), adequately glued to other copies.



Even more concretely:

$$\coprod_{f:\ c \to c'} F(c) \otimes X(c') \xrightarrow{[\iota_{c'} \circ F(f) \otimes X(c')]_f} \coprod_c F(c) \otimes X(c) \dashrightarrow \int^{c \in C} F(c) \otimes X(c) = F'(X)$$

which can be computed when F is encoded and X is finite!

Taking

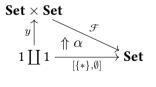
• Set 
$$\simeq \hat{1}^{\perp}$$
 with  $O^{\text{Set}} = \emptyset$   
• Set  $\times$  Set  $\simeq \widehat{1 \coprod 1}^{\perp}$  with  $O^{\text{Set} \times \text{Set}} = \emptyset$ 

#### Taking

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• Set  $\times$  Set  $\simeq \widehat{1 \coprod 1}^{\perp}$  with  $O^{\text{Set} \times \text{Set}} = \emptyset$ 

the functor

$$\begin{array}{ccc} \mathcal{F}\colon & (X,Y)\in \mathbf{Set}\times \mathbf{Set} & \mapsto & X\in \mathbf{Set}\\ \text{can be described by } \tilde{F}\colon 1\coprod 1\to \hat{1} \text{ where } \tilde{F}(0_L)=\{*\} \text{ and } \tilde{F}(0_R)=\emptyset. \end{array}$$



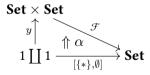
#### Taking

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С

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 $\mathsf{Idea:} \text{ in } \mathbf{Set} \times \mathbf{Set}, \ \mathbf{0}_L \rightsquigarrow (\{*\}, \emptyset), \ \mathbf{0}_R \rightsquigarrow (\emptyset, \{*\})$ 

Taking

$$C = \mathbf{1} \xleftarrow{e} \mathbf{M} \xrightarrow{\pi_L \atop -c \xrightarrow{\pi_R}} \mathbf{M}^2$$

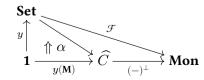
# Taking Set $\simeq \hat{1}^{\perp}$ with $O^{\text{Set}} = \emptyset$ Mon $\simeq \widehat{C}^{\perp}$ with $O^{\text{Mon}} = \{G^T, G^P, G^L, G^R, G^A\}$ and

$$C = \mathbf{1} \xleftarrow{e} \mathbf{M} \xrightarrow{\frac{\pi_L}{c}} \mathbf{M}^2$$

the free monoid functor

 $\mathcal{F} \colon \qquad S \in \mathbf{Set} \qquad \mapsto \qquad S^* \in \mathbf{Mon}$ 

can be described by  $\tilde{F}\colon \mathbf{1}\to \widehat{C}$  where  $\tilde{F}(0)=y(\mathbf{M}).$ 



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Idea:

▶ in Set,  $0 \rightsquigarrow \{*\}$ 

**b** in Mon,  $y(\mathbf{M})$  corresponds to the free monoid  $\{*\}^*$ 

# Outline

- Computing with f.p. categories
- Computing with Set
- Computing with presheaves
- Encoding standard categories
- **Encoding functors**
- Method for left adjointness
- A method for cartesian closure
- Applications
- Bonus slides

### Problem

Given a functor

 $\mathcal{F}\colon \mathcal{C}\to \mathcal{D}$ 

described by a functor

$$\tilde{F}\colon C\to \widehat{D}$$

how can we check that  $\mathcal F$  is a left adjoint?

#### Proposition (Adámek, Rosický)

A functor  $\mathcal{F}: \mathcal{C} \to \mathcal{D}$  between loc. fin. pres. cat. is a left adjoint if and only if it preserves all small colimits.

So: when is  $\mathcal{F}$  preserving all small colimits?

#### Adjointness criterion

Assuming  $\mathcal{C}\simeq \widehat{C}^{\perp}$  and  $\mathcal{D}\simeq \widehat{D}^{\perp}$ , and a Kan model  $F\colon C\to \widehat{D}$ ,

#### Theorem

If the functor  $\tilde{F}: \widehat{C} \to \widehat{D}^{\perp}$  sends the elements of  $O^C$  to isomorphisms, then  $\overline{F}: \mathcal{C} \to \mathcal{D}$  preserves all colimits (and thus is a left adjoint).

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When  $\mathcal{C}, \mathcal{D}$  and F are encoded, checking the above property can be **mechanised**, if not **automatically computed**.

Indeed, checking that a morphism  $G \colon A \to B \in \widehat{D}$  is sent to an isomorphism by L can be done by **playing a game**.

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## Product functors

Product functors can be given as inputs to the criterion:

Proposition Given  $\mathcal{C} \simeq \widehat{C}^{\perp}$  and  $B \in \mathcal{C}$ , the functor

 $X\mapsto X\times B$ 

can be expressed by the Kan model  $F: C \to \widehat{C}, c \mapsto A \times y(c).$ 

Indeed, working directly with  $X, B \in \widehat{C}$ , we have

$$X\times B\cong (\int^c \mathbf{y}(c)\otimes X(c))\times B\cong \int^c (\mathbf{y}(c)\times B)\otimes X(c)$$

To show that a category  $\mathcal C$  is cartesian closed, it is enough to show that all the functors  $-\times B$  are left adjoint.

We can use our criterion to show that  $- \times B$  is a left adjoint for a specific B.

Problem: infinite number of instances to check!

#### Cartesian closure

But, as presheaves

$$(-) \times B \cong (-) \times \int^{c} \mathbf{y}(c) \otimes B(c)$$
  
 $\cong \int^{c} ((-) \times \mathbf{y}(c)) \otimes B(c)$ 

Taking into account reflection,

Theorem Given  $\mathcal{C} \simeq \widehat{C}^{\perp}$ , if the functors

 $L((-)\times \mathbf{y}(c))$ 

are left adjoint for every  $c \in C$ , then  $\mathcal{C}$  is cartesian closed.

Moreover,  $L((-) \times y(c))$  is modeled by the Kan model  $d \mapsto y(d) \times y(c)$ , so our I.a. criterion applies.

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#### Applications

Bonus slides

Consider the functor

$$\begin{array}{cccc} \mathcal{F}\colon & \mathbf{Set}\times\mathbf{Set} & \to & \mathbf{Set} \\ & & (X,Y) & \mapsto & X\times Y \end{array}$$

It is not a left adjoint. Let's see where the criterion fails.

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```
First, let's get a description for \mathcal{F}:

Set \simeq \hat{1}

Set \times Set \simeq \widehat{1 \coprod 1}
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**Set** 
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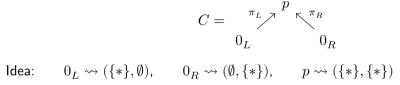
But,  $\mathcal{F}$  cannot be expressed by  $\tilde{F} \colon \mathbf{1} \coprod \mathbf{1} \to \hat{\mathbf{1}}$ .

Indeed,

$$\begin{array}{l} \bullet \quad 0_L \rightsquigarrow (\{*\}, \emptyset), \qquad 0_R \rightsquigarrow (\emptyset, \{*\}) \\ \bullet \quad (\{*\}, \emptyset) \text{ and } (\emptyset, \{*\}) \text{ are mapped to } \emptyset \text{ by } \mathcal{F}. \\ \bullet \quad \text{but } \tilde{F} = \emptyset \text{ describes the functor } (X, Y) \mapsto \emptyset. \end{array}$$

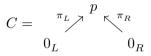
Another try: we add a (useless) product in the description of Set  $\times$  Set Set  $\simeq \hat{1}$ Set  $\times$  Set  $\simeq \widehat{C}^{\perp}$ 

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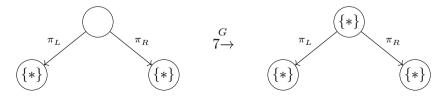


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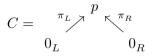
and where we require orthogonality to  $G \colon A \to B$ :



i.e., given  $X \in \widehat{C}^{\perp}$ , X(p) must be the product of  $X(0_L)$  and  $X(0_R)$ .

Another try: we add a (useless) product in the description of Set  $\times$  Set Set  $\simeq \hat{1}$ Set  $\times$  Set  $\simeq \widehat{C}^{\perp}$ 

where



Now, we can describe  $\mathcal{F}\colon (X,Y)\mapsto X\times Y$  with

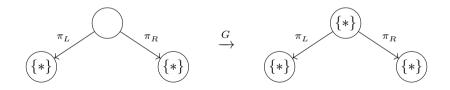
$$\begin{array}{ccccc} \tilde{F} \colon & C & \to & \hat{\mathbf{1}} \\ & 0_L & \mapsto & \emptyset \\ & 0_R & \mapsto & \emptyset \\ & p & \mapsto & \{*\} \end{array}$$

 $\mathcal{F}\colon (X,Y)\mapsto X\times Y \text{ is not a left adjoint (coproducts are not preserved), so the criterion should not be satisfied.}$ 

We thus check that  $(-)^{\perp} \circ \overline{F} \colon \widehat{C} \to \widehat{D}^{\perp}$  does not map  $G \colon A \to B$  to an isomorphism.

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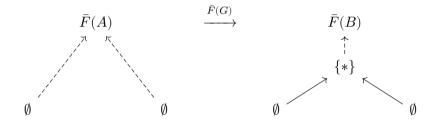
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$$\emptyset \qquad \xrightarrow{\bar{F}(G)} \qquad \{*\}$$

Let's show that this functor is a left adjoint:

$$\begin{array}{rrrr} \mathcal{F}\colon \ \mathbf{Cat} & \to & \mathbf{Set} \\ & D & \mapsto & D_0 \end{array}$$

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Consider the functor  $\tilde{F}\colon C\to \mathbf{Set}$  where

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Proposition

The functor  $\mathcal{F}$  is presented by  $\tilde{F}$ .

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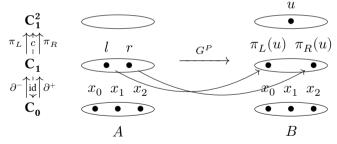
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Let's compute whether  $O^C=\{G^P,G^L,G^R,G^A\}$  is sent to isomorphisms by  $\bar{F}\colon \widehat{C}\to {\bf Set}$ 

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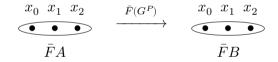
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Proposition

The functor  $\mathcal{F}$  is a left adjoint.

### Example of product

We can use the criterion to show that  $2 \times (-) \colon Cat \to Cat$  is a left adjoint where  $Cat \simeq \widehat{C}^{\perp}$  with

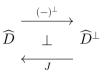
$$C = \mathbf{C_0} \xrightarrow[\overline{d^+}]{\leftarrow i \overline{d}} \mathbf{C_1} \xrightarrow[\overline{a}]{\tau_R} \mathbf{C_1}$$

Indeed, by computation, we check that every orthogonality morphism is sent to an isomorphism.

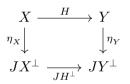
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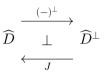
Recall the adjunction



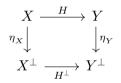
Given  $H: X \to Y$ , we have



Recall the adjunction

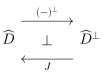


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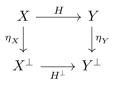


How to compute whether  $H^{\perp}$  is an isomorphism?

Recall the adjunction



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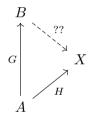
First: given  $X \in \widehat{D}$ , what is  $\eta_X \colon X \to X^{\perp}$ ?

Idea: if X is not orthogonal,  $\eta_X$  is adding and merging the elements as required.

Let  $G \colon A \to B \in O^D$  be an orthogonality morphism.

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If some liftings are missing, as in

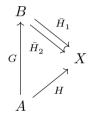


we correct that using a pushout:

$$\begin{array}{c} B \dashrightarrow X' \\ G \\ \uparrow & \uparrow \\ A \xrightarrow{} H X \end{array}$$

Let  $G \colon A \to B \in O^D$  be an orthogonality morphism.

If some liftings are non-unique, as in



we correct that using a coequalizer:

$$B \xrightarrow{\bar{H}_1}_{\bar{H}_2} X \dashrightarrow X'$$

 $\eta_X$  is then the transfinite composition

$$X=X_0 \longrightarrow X_1 \longrightarrow X_2 \longrightarrow \cdots \longrightarrow X^{\perp}$$

Given  $H \colon X \to Y \in \widehat{D}$ , how can we check that  $H^{\perp} \colon X^{\perp} \to Y^{\perp}$  is an isomorphism?

Idea: progressively apply the moves of the reflection procedure until an isomorphism is obtained.



$$H\colon X\to Y\in\widehat{D}$$

Four possible moves

$$H\colon X\to Y\in \widehat{D}$$

Four possible moves

▶ add elements to X using a pushout with  $G \in O^D$ 

 $H'\colon X'\to Y$ 

$$H\colon X\to Y\in \widehat{D}$$

Four possible moves

▶ add elements to X using a pushout with  $G \in O^D$ 

▶ merge elements in X using a coequalizer of liftings of  $G \in O^D$ 

 $H'\colon X'\to Y$ 

$$H\colon X\to Y\in\widehat{D}$$

Four possible moves

- ▶ add elements to X using a pushout with  $G \in O^D$
- ▶ merge elements in X using a coequalizer of liftings of  $G \in O^D$
- $\blacktriangleright$  add elements to Y using a pushout with  $G \in O^D$

 $H'\colon X\to Y'$ 

$$H\colon X\to Y\in\widehat{D}$$

Four possible moves

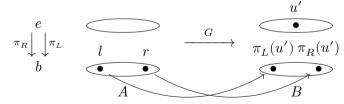
- ▶ add elements to X using a pushout with  $G \in O^D$
- $\blacktriangleright$  merge elements in X using a coequalizer of liftings of  $G \in O^D$
- ▶ add elements to Y using a pushout with  $G \in O^D$
- ▶ merge elements in Y using a coequalizer of liftings of  $G \in O^D$

$$H'\colon X\to Y'$$

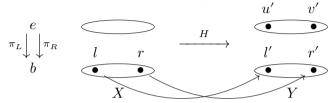
Consider the category D where

$$D = \pi_l \bigcap_{i=1}^{e} \pi_r$$

and with  $O^D = \{G \colon A \to B\} \subseteq \widehat{D}$  with

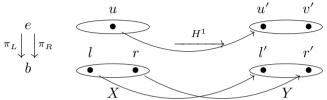


Show that  $H \colon X \to Y \in \widehat{D}$  is sent to an isomorphism:



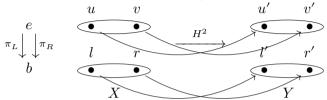
with  $l'=\pi_l(u')=\pi_l(v')$  and  $r'=\pi_r(u')=\pi_r(v')$ 

Show that  $H: X \to Y \in \widehat{D}$  is sent to an isomorphism:



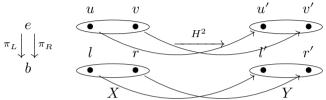
First, create a preimage for u'.

Show that  $H \colon X \to Y \in \widehat{D}$  is sent to an isomorphism:



Then, create a preimage for v'.

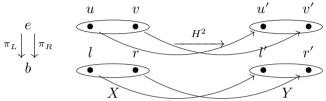
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We thus get an isomorphism.

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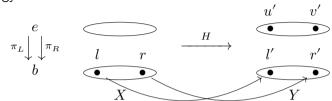
Then, create a preimage for v'.

We used a "greedy strategy": add/merge when required and possible.

#### Proposition

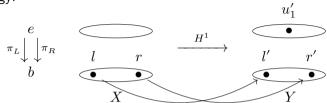
The greedy strategy can decide whether  $H^{\perp}$  is an isomorphism for finite  $H \colon X \to Y \in \widehat{D}$ .

Another strategy:



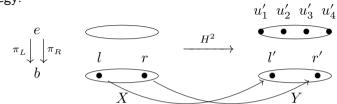
with  $l'=\pi_l(u')=\pi_l(v')$  and  $r'=\pi_r(u')=\pi_r(v')$ 

Another strategy:



First, merge u' and v', since they lift the same morphism.

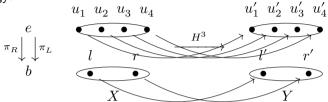
Another strategy:



Then, create all the possible liftings in Y.

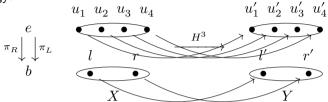
$$u_1' = (l',r') \qquad u_2' = (l',l') \qquad u_3' = (r',r') \qquad u_4' = (r',l')$$

Another strategy:



Then, create all the possible liftings in X.

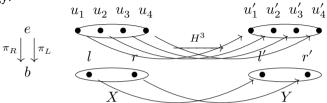
Another strategy:



Then, create all the possible liftings in X.

We thus get an isomorphism.

Another strategy:



Then, create all the possible liftings in X.

We used an "exhaustive strategy": add/merge whenever possible.

#### Proposition

The exhaustive strategy can decide whether  $H^{\perp}$  is an isomorphism for finite  $H \colon X \to Y \in \widehat{D}$ .

Winning the game can answer positively whether a morphism is sent to an isomorphism.

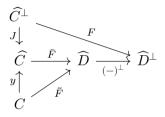
However,

- > greedy strategies can be too stupid and miss some winnable games
- exhaustive strategies might not terminate

Future work: characterize the categories D and sets  $O^D$  for which these strategies terminate.

In any case: one can enter "manual mode" and provide a winning play.

Recall the definition of F:

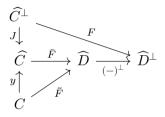


Proposition The functor  $\overline{F} \colon \widehat{C} \to \widehat{D}$  preserves colimits.

#### Proof.

$$\bar{F}(\operatorname{colim}_i X_i) \simeq \int^{c \in C_0} \tilde{F}(c) \otimes (\operatorname{colim}_i X_i)(c)$$

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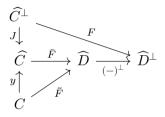


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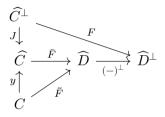


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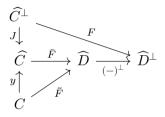


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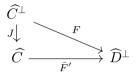
Recall the definition of F:



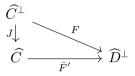
# Proposition The functor $\overline{F} \colon \widehat{C} \to \widehat{D}$ preserves colimits.

#### Proof.

$$\bar{F}(\operatorname{colim}_i X_i) \simeq \operatorname{colim}_i (\int^{c \in C_0} \tilde{F}(c) \otimes X_i(c)) \simeq \operatorname{colim}_i \bar{F}(X_i)$$



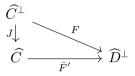
Knowing that  $\bar{F}' \mathrel{\hat{=}} (-)^{\perp} \circ \bar{F} \text{ is preserving colimits, when } F \text{ is?}$ 



Proposition (A-R) The colimits in  $\widehat{C}^{\perp}$  are the reflection of the ones computed in  $\widehat{C}$ :

$$\operatorname{colim}_i^{\widehat{C}^\perp}A_i\simeq (\operatorname{colim}_i^{\widehat{C}}J(A_i))^\perp$$

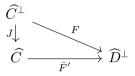
$$\eta\colon\operatorname{colim}_i^{\widehat{C}}JA_i\to J(\operatorname{colim}_i^{\widehat{C}^\perp}A_i)$$



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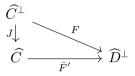
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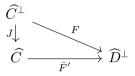
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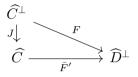
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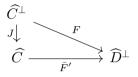
$$\operatorname{colim}_i^{\widehat{C}^{\bot}}A_i\simeq (\operatorname{colim}_i^{\widehat{C}}J(A_i))^{\bot}$$

$$\bar{F}'\eta\colon\operatorname{colim}_{i}^{\widehat{D}^{\bot}}(FA_{i})\to F(\operatorname{colim}_{i}^{\widehat{C}^{\bot}}A_{i})$$



#### Proposition

The functor  $F \colon \widehat{C}^{\perp} \to \widehat{D}^{\perp}$  preserves colimits (and is a left adjoint) if and only if  $\overline{F'}\eta_{\operatorname{colim}_{i}^{\widehat{C}}JA_{i}}$  is an isomorphism for all diagrams  $i \mapsto A_{i}$  in  $\widehat{C}^{\perp}$ .



#### Proposition

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#### Corollary

If  $\overline{F'}\eta$  is an isomorphism, then F preserves colimits (and is a left adjoint).

Suppose now that, for every orthogonality morphism  $G \in O^C$ ,  $\overline{F}(G)$  is an isomorphism.

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we correct that using a pushout:

$$B \xrightarrow{G} X'$$

$$G \uparrow \qquad \uparrow$$

$$A \xrightarrow{H} X$$

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...and we obtain the pushout

$$\begin{array}{c} \bar{F}B & - \cdots \rightarrow \bar{F}X' \\ (G) \uparrow & \uparrow \\ \bar{F}A & \xrightarrow{} \bar{F}(H) \end{array} \end{array}$$

 $\overline{F}$ 

where  $\bar{F}(G)$  is an isomorphism. Thus,  $\bar{F}X\simeq\bar{F}X'.$ 

Suppose now that, for every orthogonality morphism G,  $\overline{F}(G)$  is an isomorphism.

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we correct that using a coequalizer:

$$B \xrightarrow{\bar{H}_1} X \dashrightarrow X'$$

Suppose now that, for every orthogonality morphism G,  $\overline{F}(G)$  is an isomorphism.

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...and we obtain the coequalizer:

$$\bar{F}B \xrightarrow[\bar{F}(\bar{H}_1)]{\bar{F}(\bar{H}_2)} \bar{F}X \dashrightarrow \bar{F}X'$$

with  $\bar{F}(\bar{H}_1)\circ\bar{F}(G)=\bar{F}(\bar{H}_2)\circ\bar{F}(G)$ , thus  $\bar{F}(\bar{H}_1)=\bar{F}(\bar{H}_2)$  and  $\bar{F}X\simeq\bar{F}X'$ 

### Thus, $\bar{F}\eta_X$ is a transfinite composition of isomorphism

$$\bar{F}X=\bar{F}X_{0} \stackrel{\sim}{\longrightarrow} \bar{F}X_{1} \stackrel{\sim}{\longrightarrow} \bar{F}X_{2} \stackrel{\sim}{\longrightarrow} \cdots \stackrel{\sim}{\longrightarrow} \bar{F}X^{\perp}$$

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Theorem

If, for all  $G \in O^C$ ,  $\overline{F}(G)$  is an isomorphism, then  $\overline{F}\eta$  is an isomorphism.

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If, for all  $G \in O^C$ ,  $\overline{F}(G)$  is an isomorphism, then  $\overline{F}\eta$  is an isomorphism.

#### Corollary

With the same hypothesis, F preserves colimits and is a left adjoint.

# The end

Thank you!

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