

# Complexity Results on Untangling Red-Blue Matchings

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**Bastien Rivier** – Université Clermont Auvergne and LIMOS, France

## Introduction

Matching

Flips

NP-Hard

$\mathbf{d}(\cdot), \mathbf{D}(\cdot)$

$\mathbf{D}(n) = O(n^3)$

History

Convex

Red-on-a-Line

Table

NP-Hard

$\mathbf{d}(n) \leq$

$\mathbf{d}(n) \geq$

$\mathbf{D}(n) \leq$

$\mathbf{D}(n) \geq$

Conclusion

# Section 1

## Introduction

# Crossing-Free Matchings

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### Matching

Flips

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Convex

Red-on-a-Line

Table

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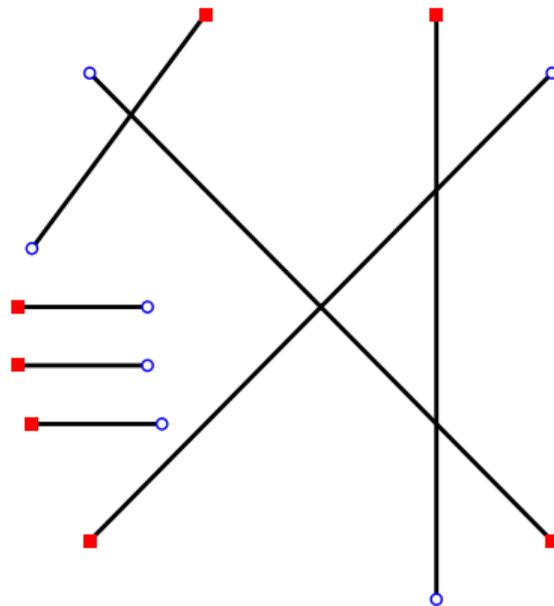
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## Conclusion

- $R$ : Set of  $n$  red points
- $B$ : Set of  $n$  blue points
- **Question 1:** Can we match  $R$  to  $B$  using **non-crossing** line segments?
- **Answer:** Yes, and we can compute in  $O(n \log n)$  time [HS92]



# Crossing-Free Matchings

## Introduction

### Matching

Flips

NP-Hard

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History

Convex

Red-on-a-Line

Table

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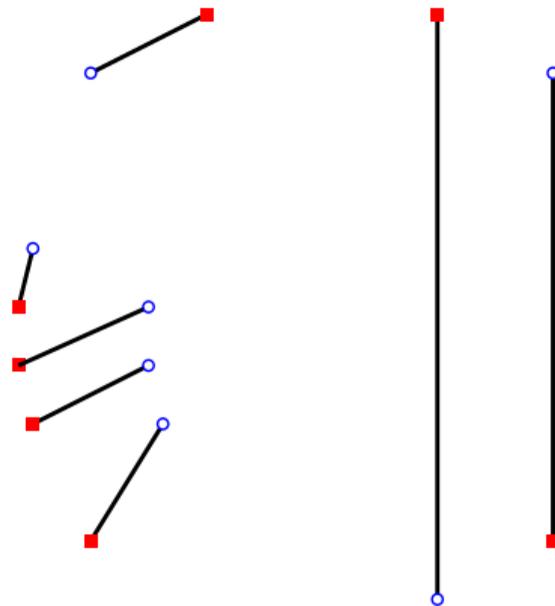
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### Matching

#### Flips

### NP-Hard

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### Convex

### Red-on-a-Line

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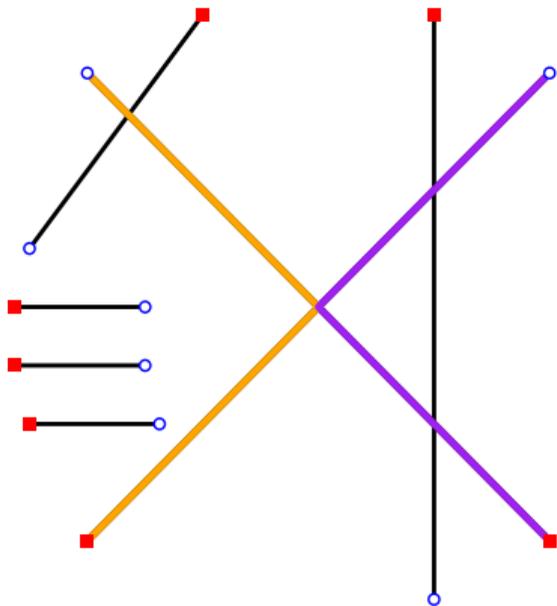
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- A **flip** replaces a crossing pair of segments by non-crossing segments
- **Question 2:** Will **flipping** always lead to a **crossing-free** matching?
  - **Answer:** Yes, the total **Euclidean length decreases** and there are *only*  $n!$  possible matchings
- **Question 3:** How many flips?
- **Answer:** Hard to say...

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### Matching

#### Flips

### NP-Hard

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### Convex

### Red-on-a-Line

### Table

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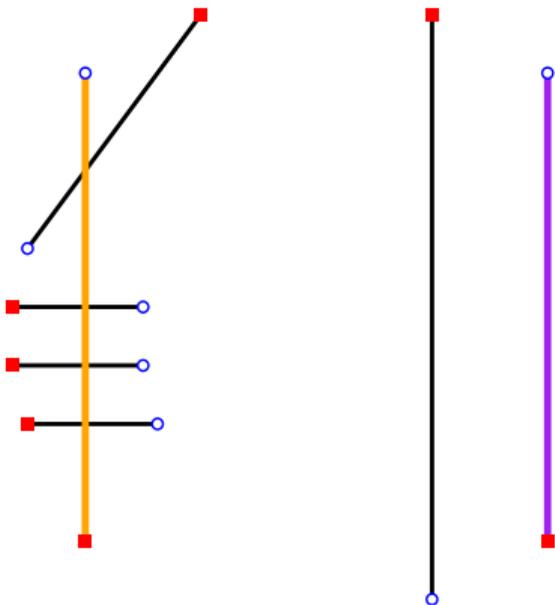
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### Matching

#### Flips

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### Convex

### Red-on-a-Line

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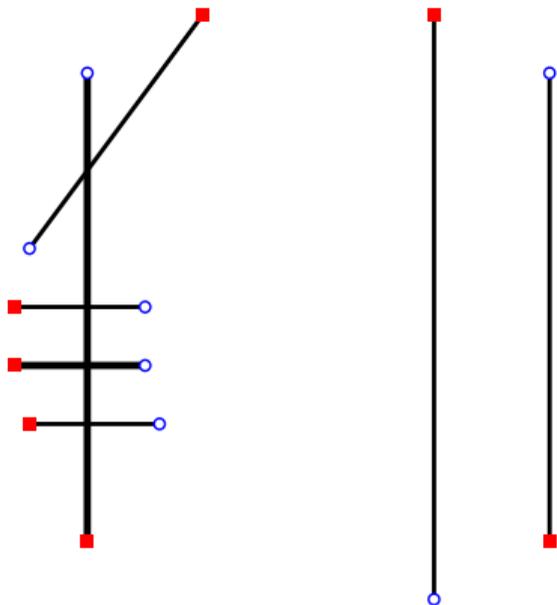
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### Matching

#### Flips

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### Convex

### Red-on-a-Line

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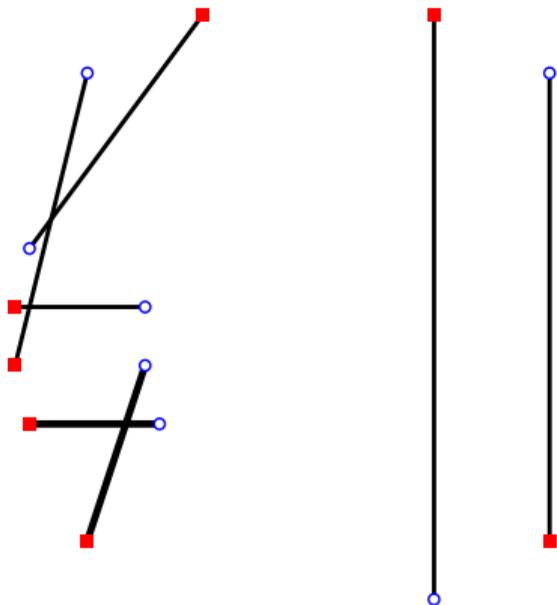
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#### Flips

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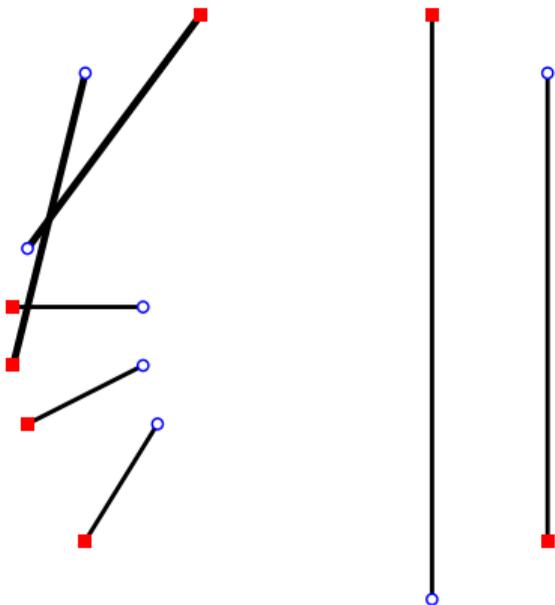
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### Matching

### Flips

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### Convex

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### Table

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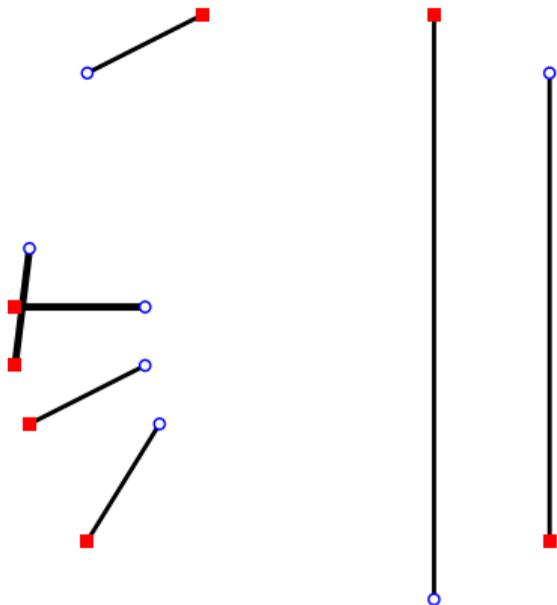
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### Matching

### Flips

### NP-Hard

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### History

### Convex

### Red-on-a-Line

### Table

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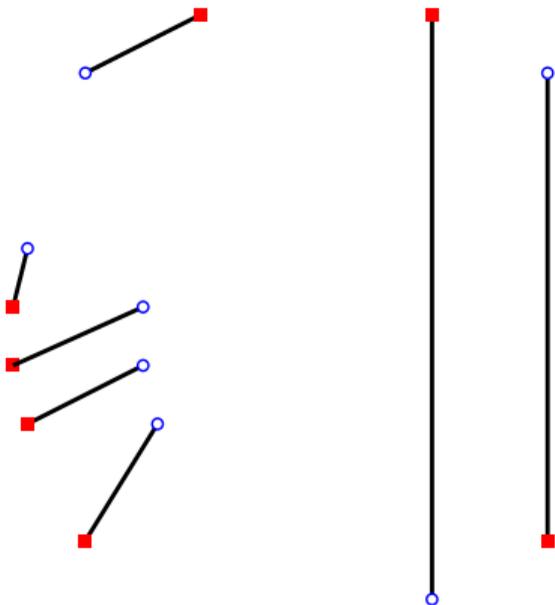
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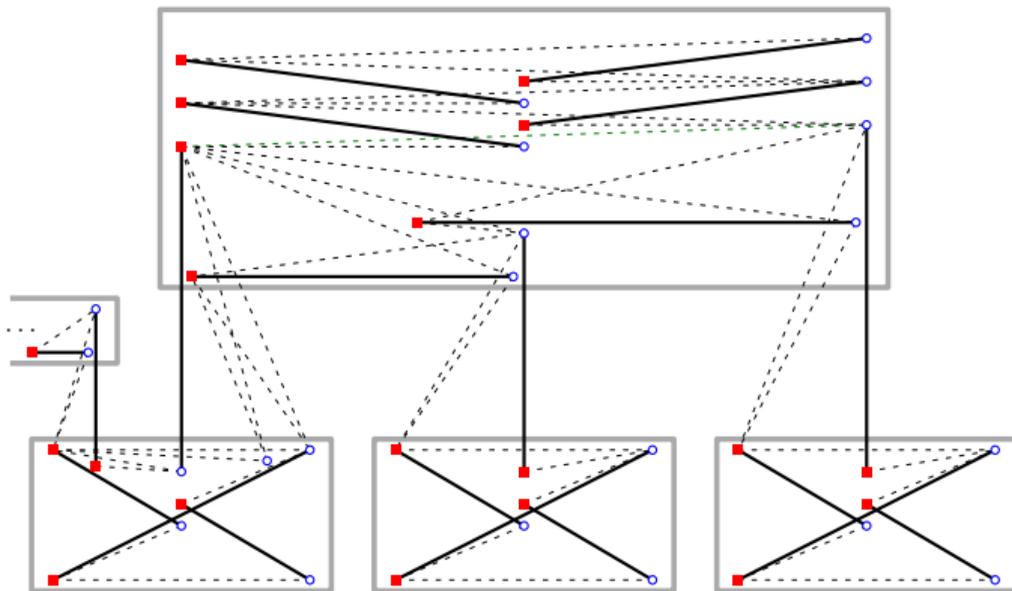
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# NP-Hardness

- We show that finding the **minimum number of flips** is **NP-hard**
- In fact, even a constant-factor **approximation** is **NP-hard**



Introduction

Matching

Flips

NP-Hard

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$D(n) = O(n^3)$

History

Convex

Red-on-a-Line

Table

NP-Hard

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Conclusion

# Little d and Big D

Introduction

Matching

Flips

NP-Hard

$d(\cdot), D(\cdot)$

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History

Convex

Red-on-a-Line

Table

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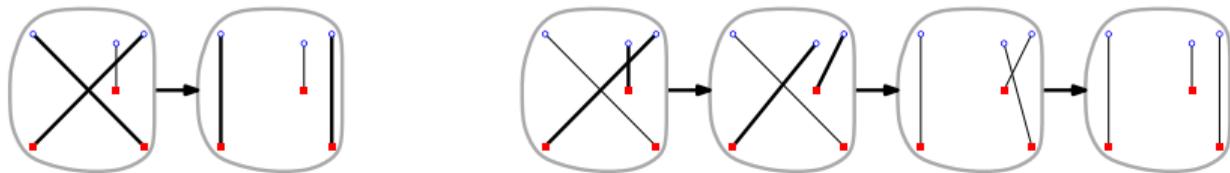
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Conclusion

- Some untangle sequences are shorter than others



- $d(n)$ : length of the **shortest** untangle sequence (worst-case)
- $D(n)$ : length of the **longest** untangle sequence
- Clearly:  $d(n) \leq D(n)$

# Cubic Upper Bound for $\mathcal{D}(n)$ [BM16, vL81]

Introduction

Matching

Flips

NP-Hard

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History

Convex

Red-on-a-Line

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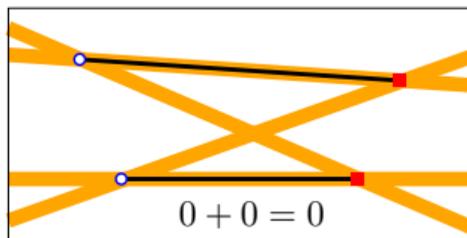
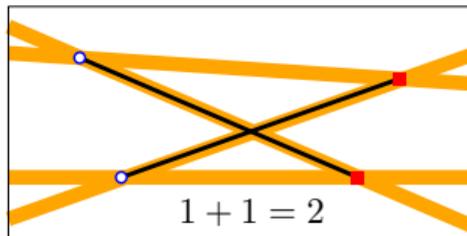
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Conclusion

- Consider  $n^2$  lines by red-blue pairs
- Potential of segment  $s$ :  
number of **lines properly crossing**  $s$
- Potential of matching:  
**sum** of the segment potentials
- Initial potential  $\leq n(n-1)^2$
- Flip reduces potential by at least 2
- Hence,

$$\mathcal{D}(n) \leq \frac{n(n-1)^2}{2} = \binom{n}{2} (n-1)$$



# History of Bounds

shortest flip sequence  
 $\mathbf{d}(n)$

longest flip sequence  
 $\mathbf{D}(n)$

}  
 $n!$

Introduction

Matching

Flips

NP-Hard

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History

Convex

Red-on-a-Line

Table

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Introduction

Matching

Flips

NP-Hard

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Convex

Red-on-a-Line

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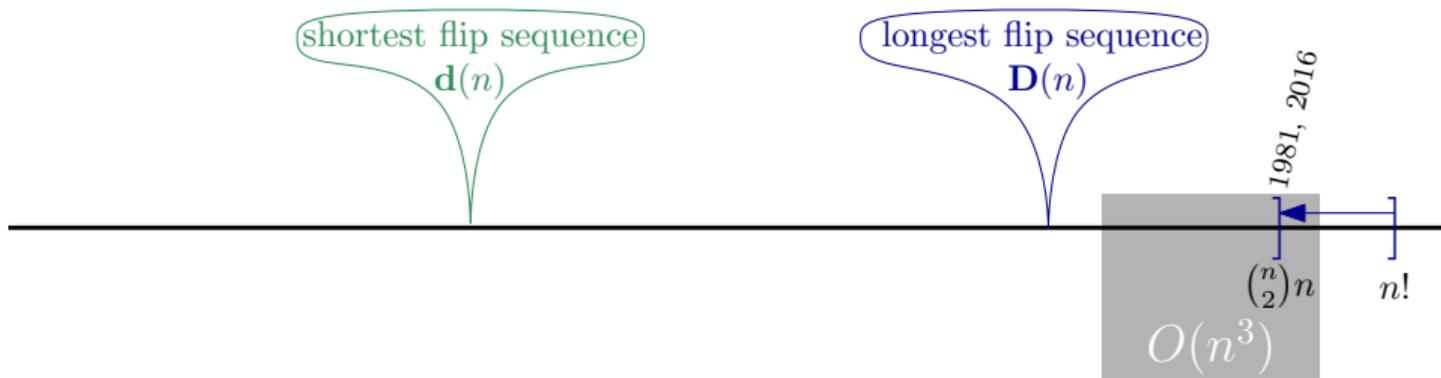
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Introduction

Matching

Flips

NP-Hard

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History

Convex

Red-on-a-Line

Table

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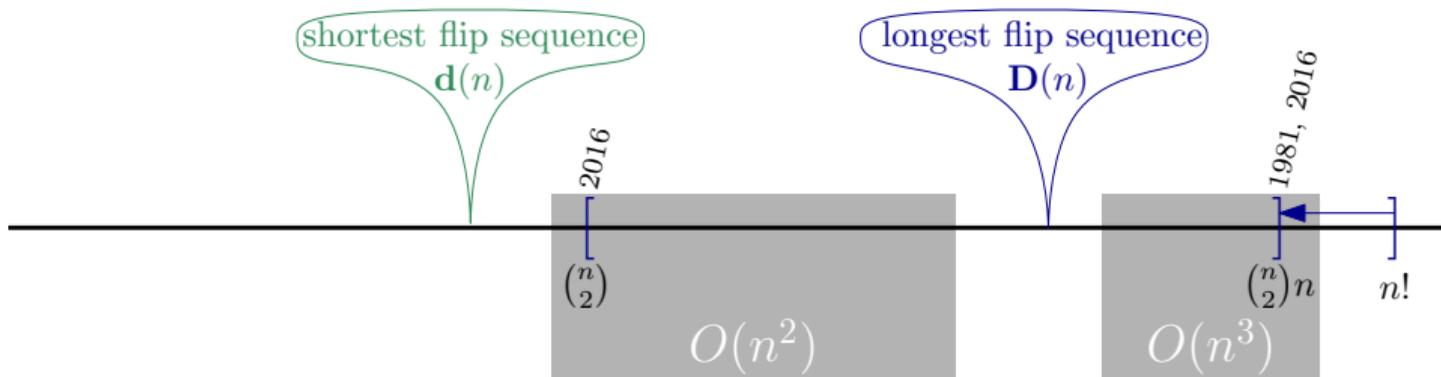
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Introduction

Matching

Flips

NP-Hard

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History

Convex

Red-on-a-Line

Table

NP-Hard

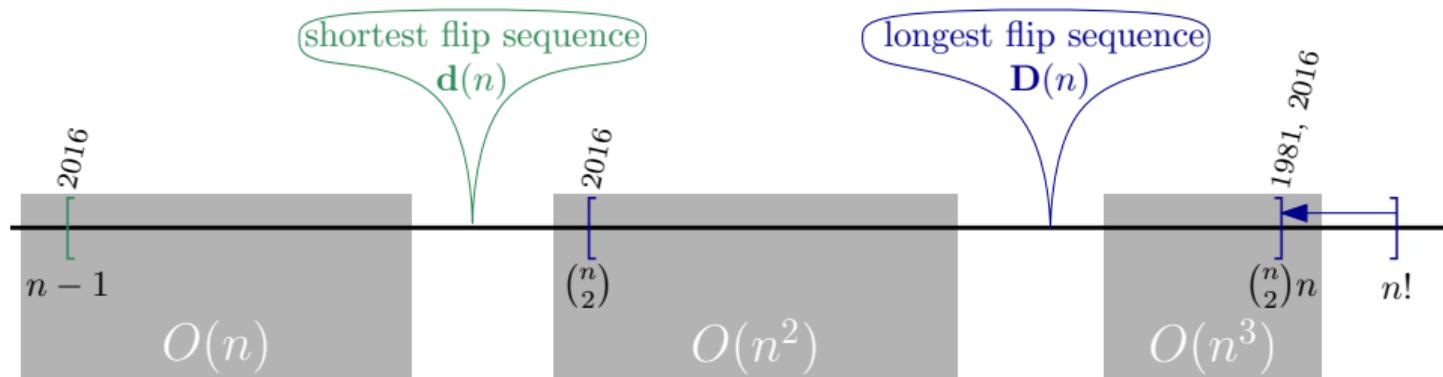
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Conclusion



2016  
 $n - 1$

$O(n)$

shortest flip sequence  
 $\mathbf{d}(n)$

2016  
 $\binom{n}{2}$

$O(n^2)$

longest flip sequence  
 $\mathbf{D}(n)$

1981, 2016  
 $\binom{n}{2}n$

$O(n^3)$

$n!$

# History of Bounds

Introduction

Matching

Flips

NP-Hard

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History

Convex

Red-on-a-Line

Table

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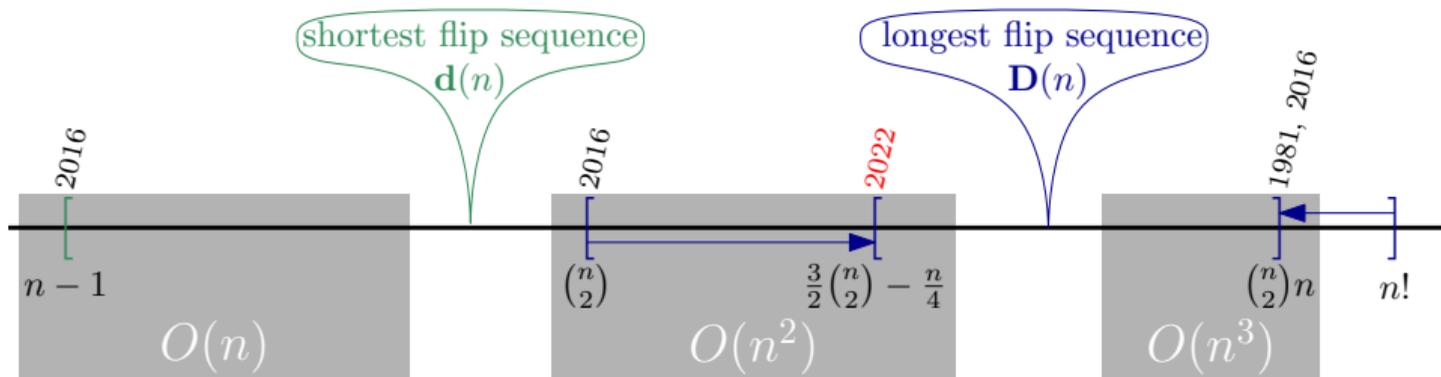
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Introduction

Matching

Flips

NP-Hard

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History

Convex

Red-on-a-Line

Table

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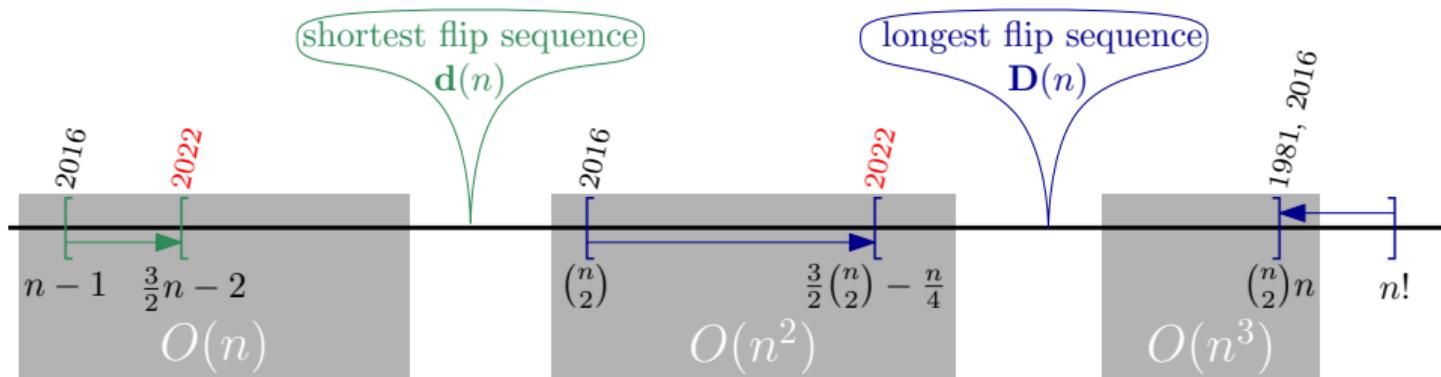
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# Convex Case

Introduction

Matching

Flips

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History

Convex

Red-on-a-Line

Table

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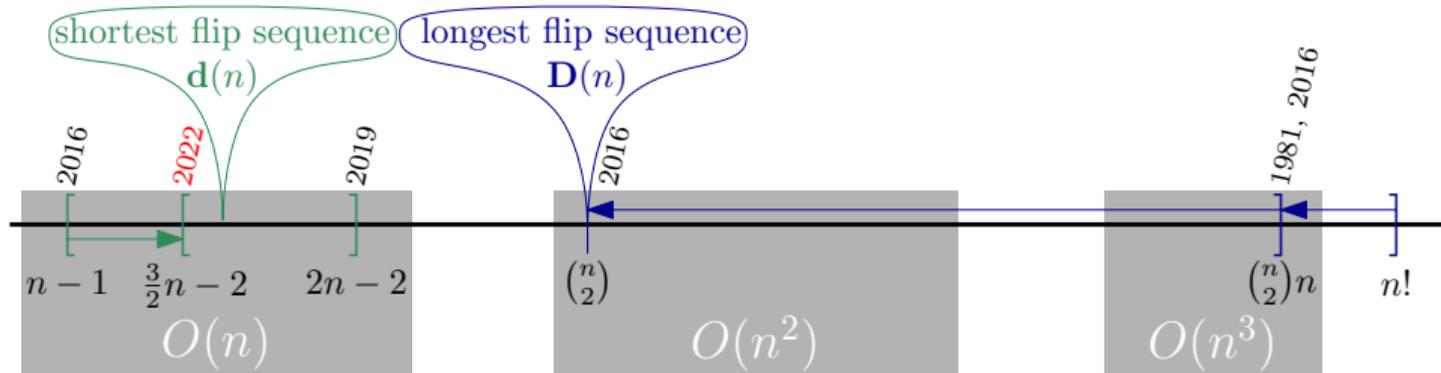
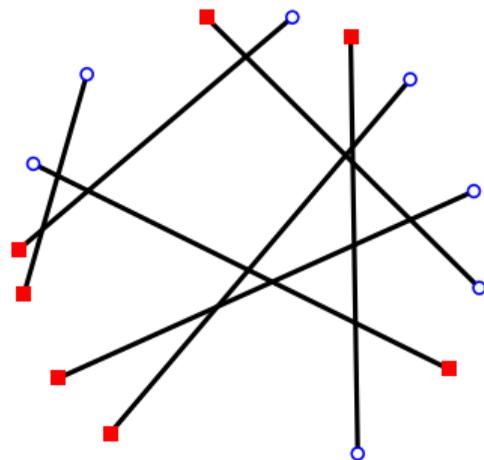
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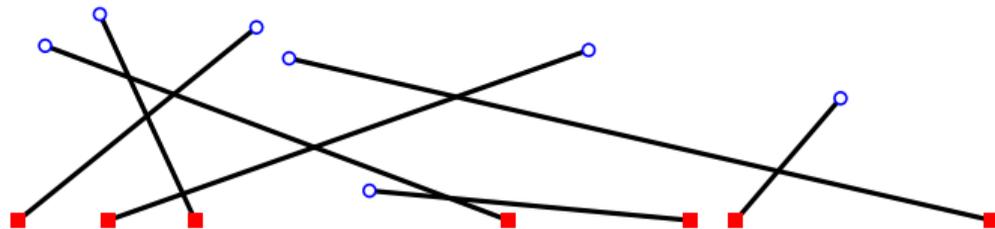
Conclusion

- General bounds have large gaps
- What if the points are in convex position?
  - Number of crossings decreases at each flip
  - Tight bounds for  $D(n)$
  - Almost tight bounds for  $d(n)$



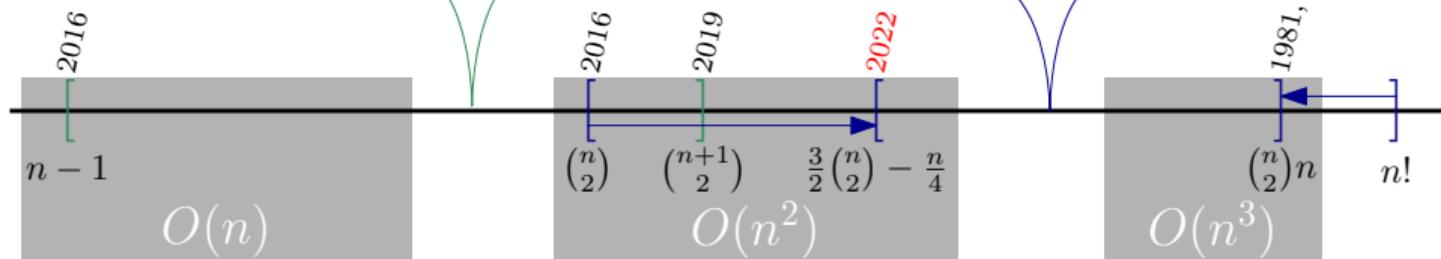
# Red-on-a-Line Case

- What if the red points are colinear?



shortest flip sequence  
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longest flip sequence  
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Introduction

Matching

Flips

NP-Hard

$d(\cdot), D(\cdot)$

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Convex

Red-on-a-Line

Table

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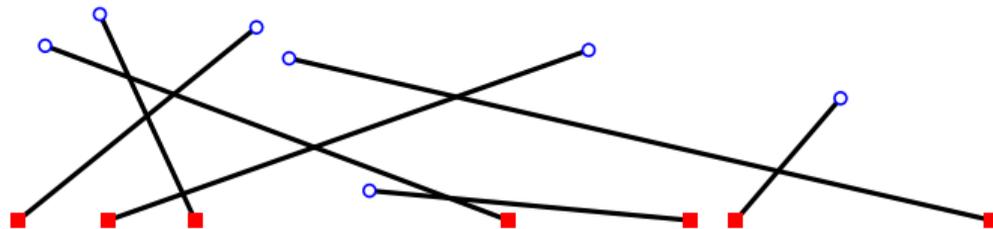
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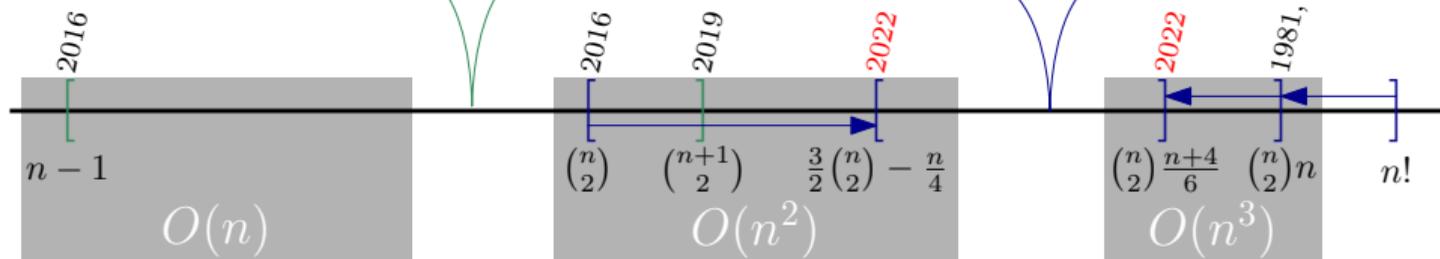
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Introduction

Matching

Flips

NP-Hard

$d(\cdot), D(\cdot)$

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Convex

Red-on-a-Line

Table

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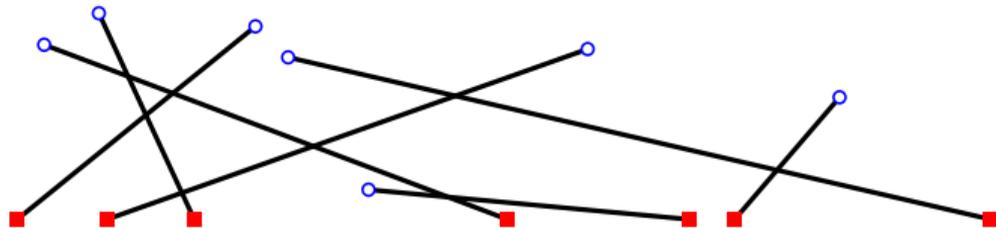
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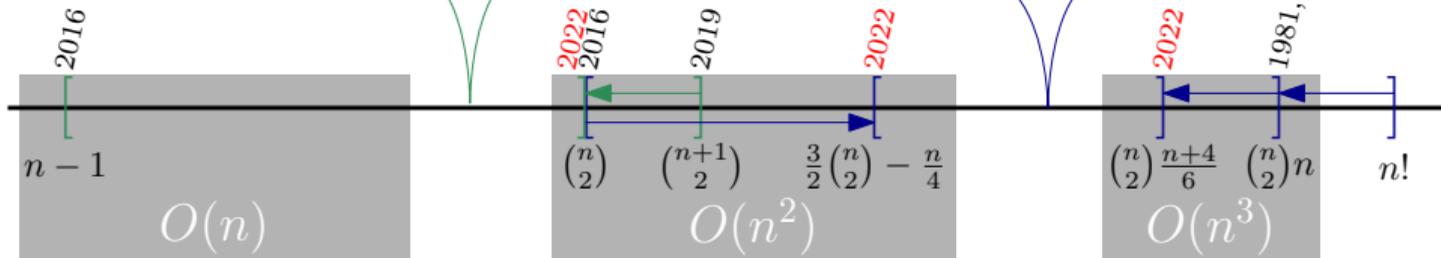
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Introduction

Matching

Flips

NP-Hard

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Convex

Red-on-a-Line

Table

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Conclusion

# State of the Art Bounds

## Introduction

Matching

Flips

NP-Hard

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History

Convex

Red-on-a-Line

Table

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## Conclusion

$d(n)$ bounds	lower	upper
general	$\frac{3}{2}n - 2$ , <b>new</b>	$\binom{n}{2}(n - 1)$ , [BM16, vL81]
convex	$\frac{3}{2}n - 2$ , <b>new</b>	$2n - 2$ , [BMS19]
red-on-a-line	$n - 1$ , [BM16]	$\binom{n}{2}$ , <b>new</b>
$D(n)$ bounds	lower	upper
general	$\frac{3}{2}\binom{n}{2} - \frac{n}{4}$ , <b>new</b>	$\binom{n}{2}(n - 1)$ , [BM16, vL81]
convex	$\binom{n}{2}$ , [BM16]	$\binom{n}{2}$ , [BMS19]
red-on-a-line	$\frac{3}{2}\binom{n}{2} - \frac{n}{4}$ , <b>new</b>	$\binom{n}{2} \frac{n+4}{6}$ , <b>new</b>

Introduction

NP-Hard

RPM 3SAT

Variable

OR

Clause

Padding

Everything

$\mathbf{d}(n) \leq$

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Conclusion

## Section 2

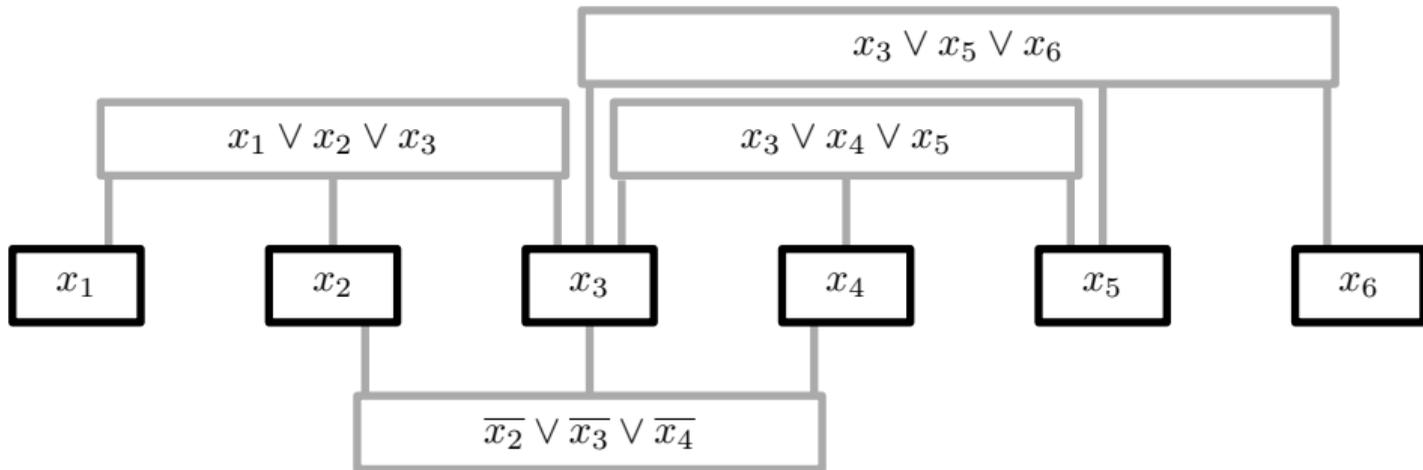
### NP-Hard

**Problem:**

Input: Matching  $M$ , integer  $k$

Output: Is there an untangle sequence of length at most  $k$ ?

- Variation of 3-SAT [DBK12]:
  - Clauses are **all positive** or **all negative**
  - **Planar** orthogonal drawing



# Variable Gadget

Introduction

NP-Hard

RPM 3SAT

Variable

OR

Clause

Padding

Everything

$\mathbf{d}(n) \leq$

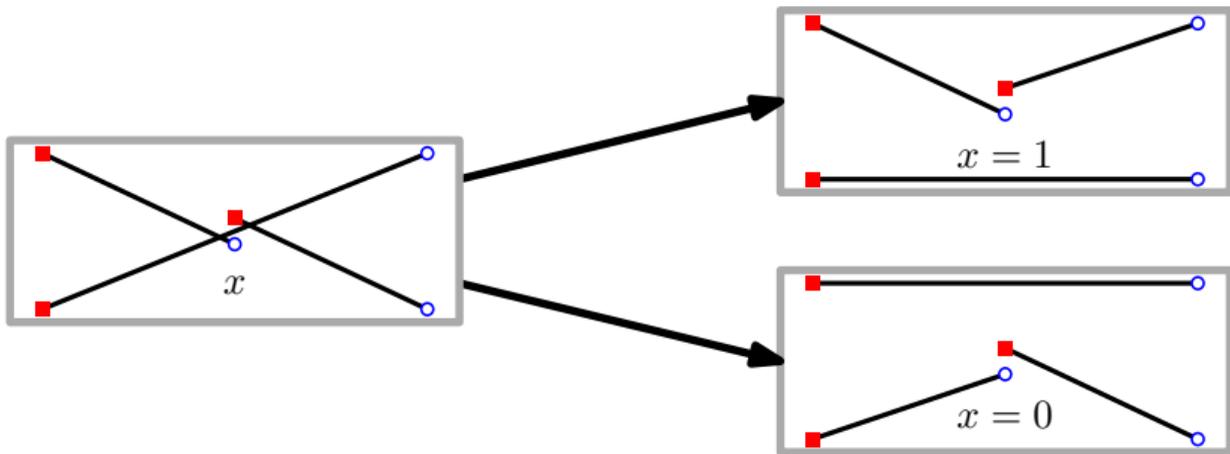
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Conclusion

- Variable gadgets can be flipped to be *true* or *false*



# OR Gadget

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NP-Hard

RPM 3SAT

Variable

OR

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Padding

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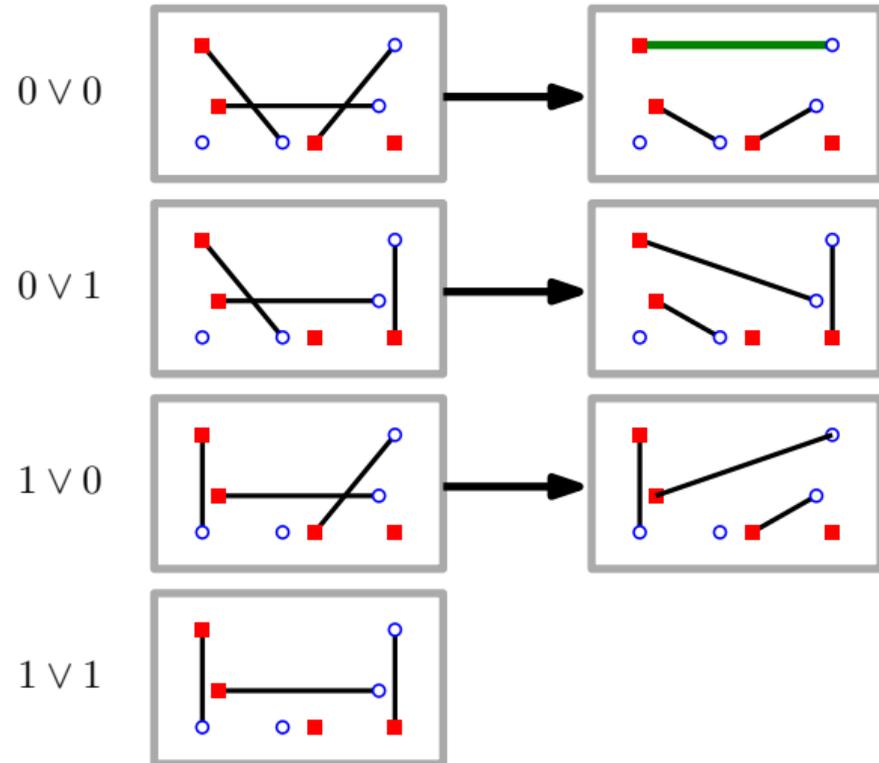
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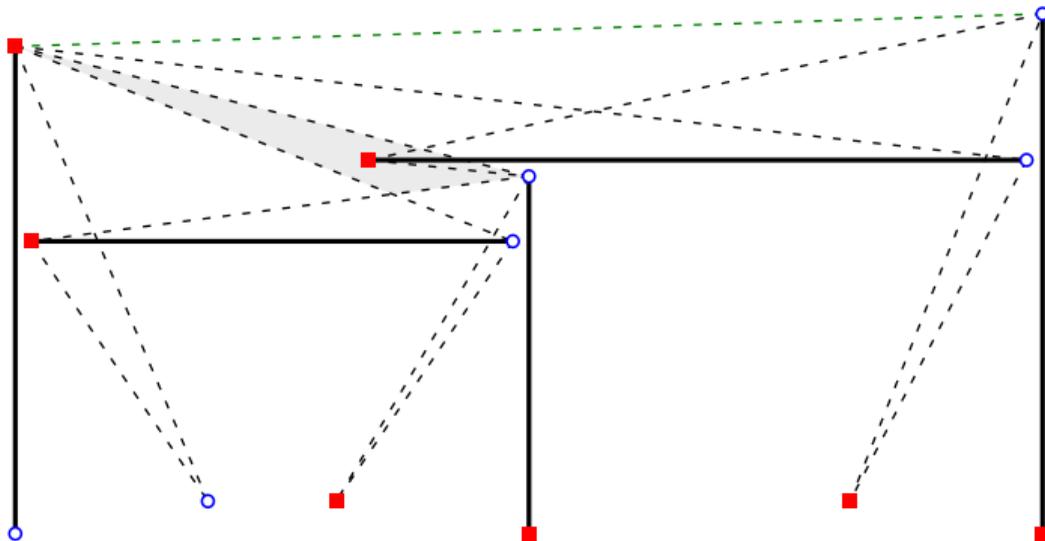
Conclusion

- Used to build clauses



# Clause Gadget

- Clause gadgets are 2 OR gadgets to have 3 inputs
- Clause gadgets connect to variable gadgets



Introduction

NP-Hard

RPM 3SAT

Variable

OR

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Padding

Everything

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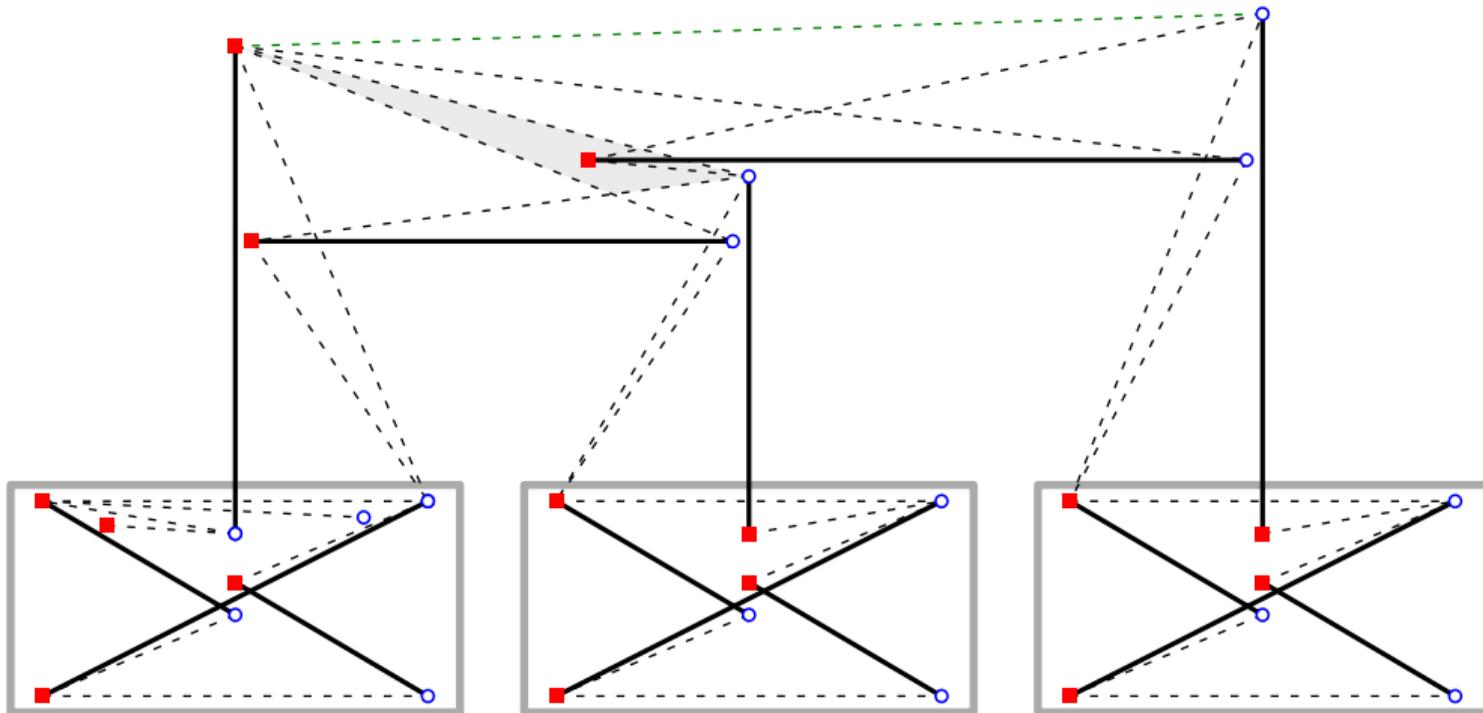
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Introduction

NP-Hard

RPM 3SAT

Variable

OR

Clause

Padding

Everything

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# Padding Gadget

Introduction

NP-Hard

RPM 3SAT

Variable

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Padding

Everything

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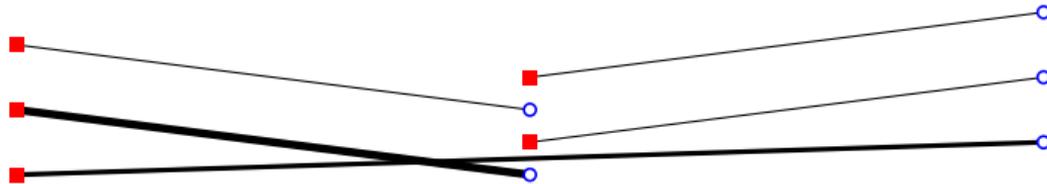
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Conclusion

- Padding gadgets serve to **increase the number of flips**
- Each clause has a padding gadget
- If the **clause is not satisfied**, the **padding gadget is flipped**



# Padding Gadget

Introduction

NP-Hard

RPM 3SAT

Variable

OR

Clause

Padding

Everything

$d(n) \leq$

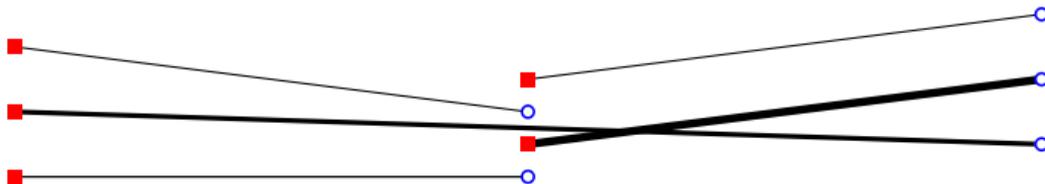
$d(n) \geq$

$D(n) \leq$

$D(n) \geq$

Conclusion

- Padding gadgets serve to **increase the number of flips**
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- If the **clause is not satisfied**, the **padding** gadget is **flipped**



# Padding Gadget

Introduction

NP-Hard

RPM 3SAT

Variable

OR

Clause

Padding

Everything

$d(n) \leq$

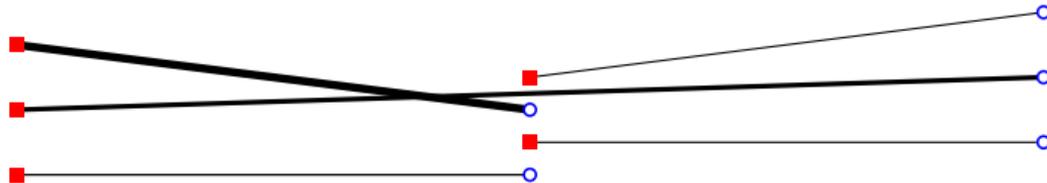
$d(n) \geq$

$D(n) \leq$

$D(n) \geq$

Conclusion

- Padding gadgets serve to **increase the number of flips**
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# Padding Gadget

Introduction

NP-Hard

RPM 3SAT

Variable

OR

Clause

Padding

Everything

$d(n) \leq$

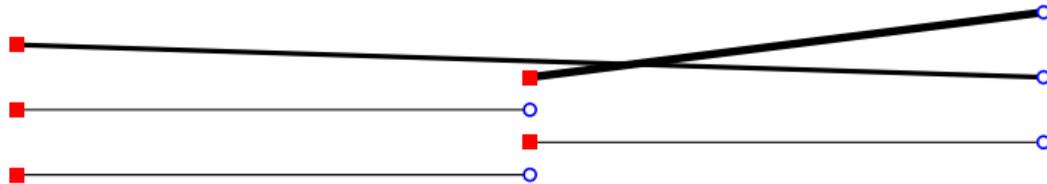
$d(n) \geq$

$D(n) \leq$

$D(n) \geq$

Conclusion

- Padding gadgets serve to **increase the number of flips**
- Each clause has a padding gadget
- If the **clause is not satisfied**, the **padding** gadget is **flipped**



# Padding Gadget

Introduction

NP-Hard

RPM 3SAT

Variable

OR

Clause

Padding

Everything

$d(n) \leq$

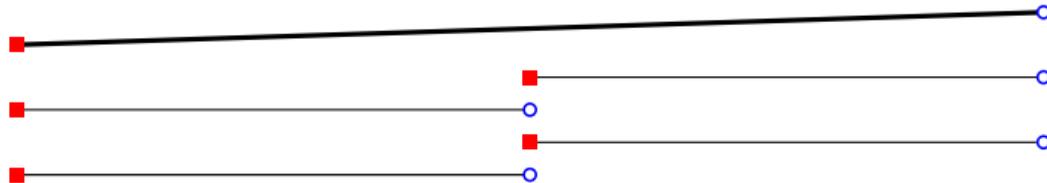
$d(n) \geq$

$D(n) \leq$

$D(n) \geq$

Conclusion

- Padding gadgets serve to **increase the number of flips**
- Each clause has a padding gadget
- If the **clause is not satisfied**, the **padding** gadget is **flipped**



# All Gadgets Together

Introduction

NP-Hard

RPM 3SAT

Variable

OR

Clause

Padding

Everything

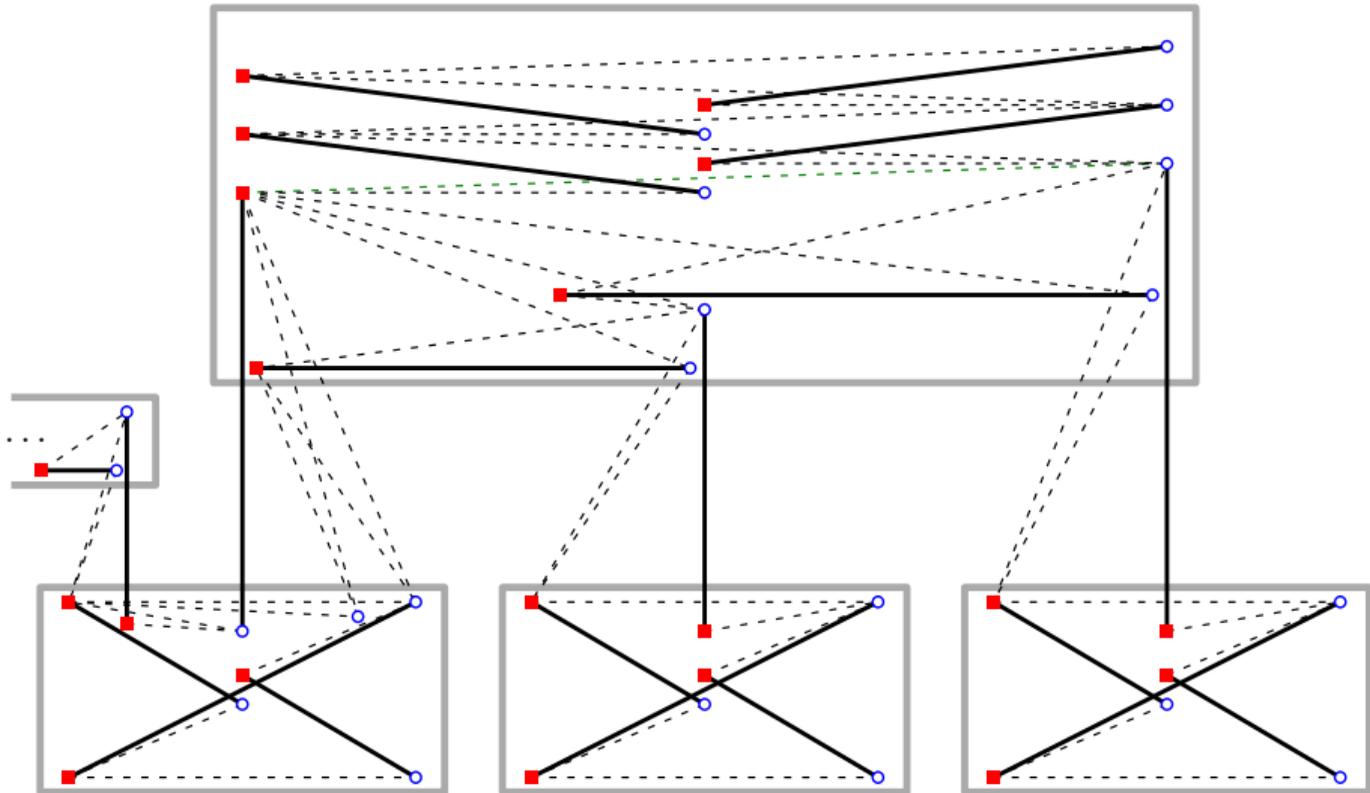
$d(n) \leq$

$d(n) \geq$

$D(n) \leq$

$D(n) \geq$

Conclusion



Introduction

NP-Hard

$\mathbf{d}(n) \leq$

States

Algorithm

Analysis

$\mathbf{d}(n) \geq$

$\mathbf{D}(n) \leq$

$\mathbf{D}(n) \geq$

Conclusion

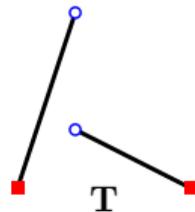
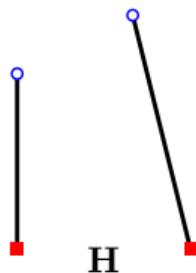
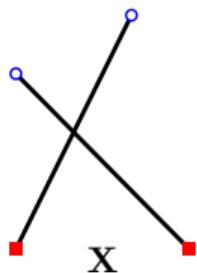
## Section 3

$$\mathbf{d}(n) \leq \binom{n}{2}$$

for the red-on-a-line case

# X, H, and T States

- Each pair of segments defines a state:



**Convex** case:

- No **T** states
- Every flip increases  $|\mathbf{H}|$
- Hence at most  $\binom{n}{2}$  flips

What if the points are **not** in **convex** position?

Introduction

NP-Hard

$d(n) \leq$

States

Algorithm

Analysis

$d(n) \geq$

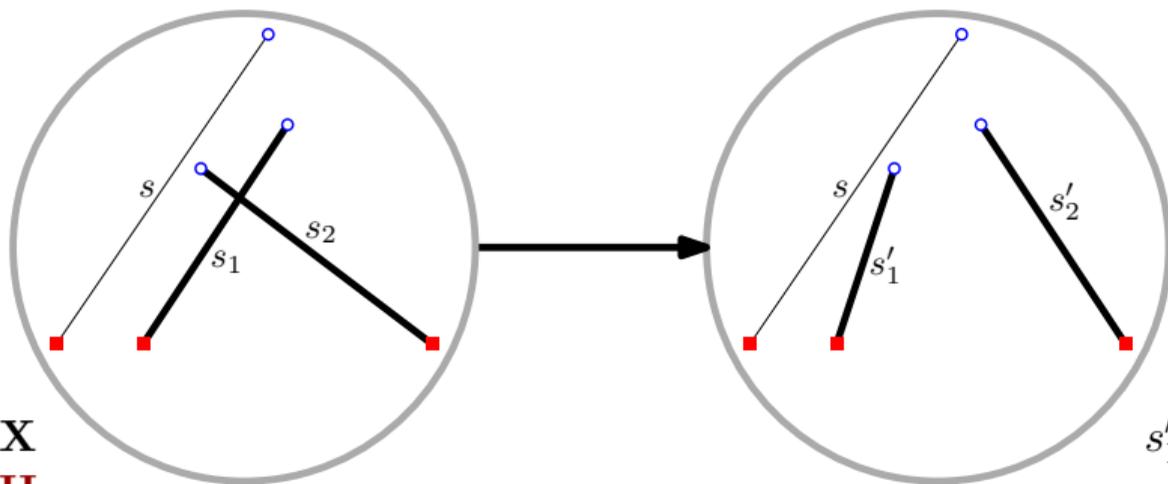
$D(n) \leq$

$D(n) \geq$

Conclusion

# $|\mathbf{H}|$ May Not Increase

In general,  $|\mathbf{H}|$  may **not** increase:



$s_1, s_2$	$\mathbf{X}$
$s, s_1$	$\mathbf{H}$
$s, s_2$	$\mathbf{T}$

$s'_1, s'_2$	$\mathbf{H}$
$s, s'_1$	$\mathbf{T}$
$s, s'_2$	$\mathbf{T}$

- Multiple copies of  $s$  would make  $|\mathbf{H}|$  decrease
- $|\mathbf{H}|$  decreases if the upper cone is empty

Introduction

NP-Hard

$d(n) \leq$

States

Algorithm

Analysis

$d(n) \geq$

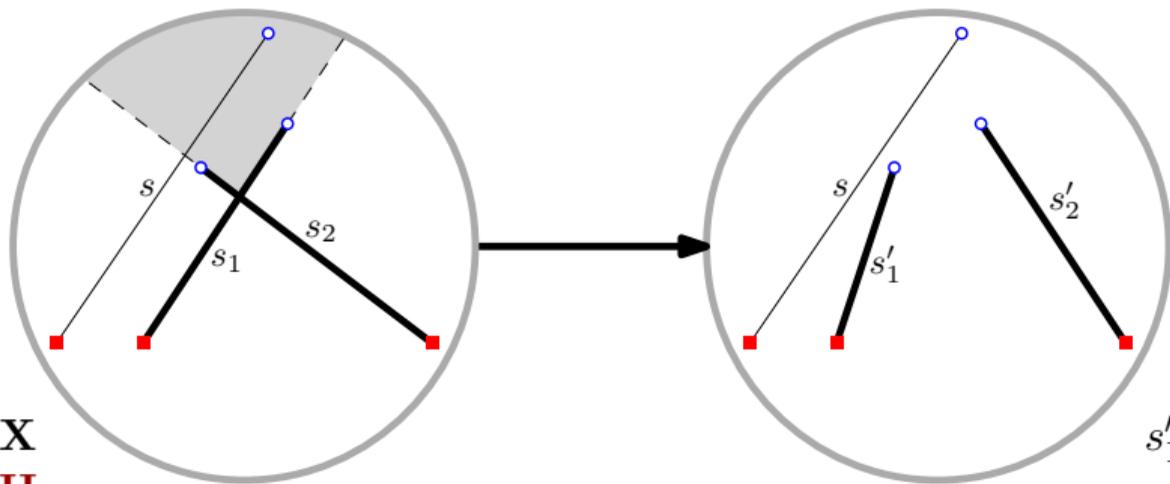
$D(n) \leq$

$D(n) \geq$

Conclusion

# $|\mathbf{H}|$ May Not Increase

In general,  $|\mathbf{H}|$  may **not** increase:



$$\begin{array}{l|l} s_1, s_2 & \mathbf{X} \\ s, s_1 & \mathbf{H} \\ s, s_2 & \mathbf{T} \end{array}$$

$$\begin{array}{l|l} s'_1, s'_2 & \mathbf{H} \\ s, s'_1 & \mathbf{T} \\ s, s'_2 & \mathbf{T} \end{array}$$

- Multiple copies of  $s$  would make  $|\mathbf{H}|$  decrease
- $|\mathbf{H}|$  decreases if the upper cone is empty

Introduction

NP-Hard

$d(n) \leq$

States

Algorithm

Analysis

$d(n) \geq$

$D(n) \leq$

$D(n) \geq$

Conclusion

# Algorithm for Red-on-a-Line

Introduction

NP-Hard

$d(n) \leq$

States

Algorithm

Analysis

$d(n) \geq$

$D(n) \leq$

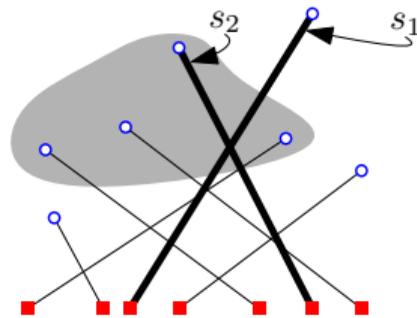
$D(n) \geq$

Conclusion

- To bound  $d(n)$  we can **choose** segments to **flip**
- **Top segment**: segment with the topmost blue point

## Algorithm for Red-on-a-Line

- Always flip top segment  $s_1$  with top segment  $s_2$  among segments that cross  $s_1$
- If  $s_1$  has no crossing, solve both sides of  $s_1$  recursively



- Since the line containing  $s_1$  crosses no segment, **both sides are independent**

# Algorithm for Red-on-a-Line

Introduction

NP-Hard

$d(n) \leq$

States

Algorithm

Analysis

$d(n) \geq$

$D(n) \leq$

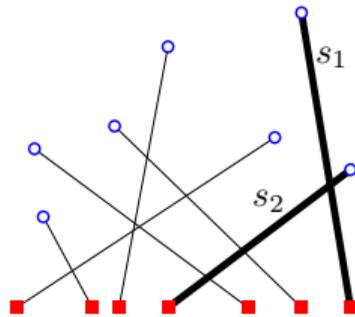
$D(n) \geq$

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Introduction

NP-Hard

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States

Algorithm

Analysis

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$D(n) \leq$

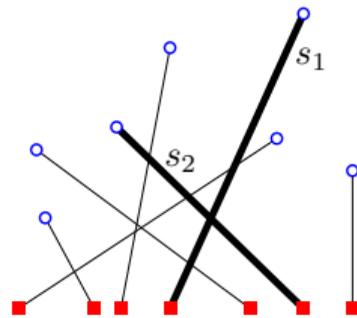
$D(n) \geq$

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Introduction

NP-Hard

$d(n) \leq$

States

Algorithm

Analysis

$d(n) \geq$

$D(n) \leq$

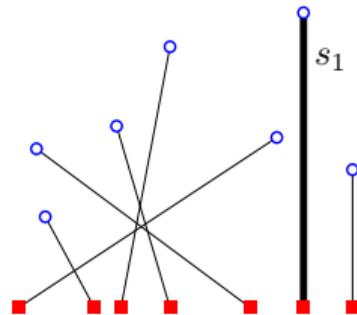
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- To bound  $d(n)$  we can **choose** segments to **flip**
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## Algorithm for Red-on-a-Line

- Always flip top segment  $s_1$  with top segment  $s_2$  among segments that cross  $s_1$
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# Algorithm for Red-on-a-Line

Introduction

NP-Hard

$d(n) \leq$

States

Algorithm

Analysis

$d(n) \geq$

$D(n) \leq$

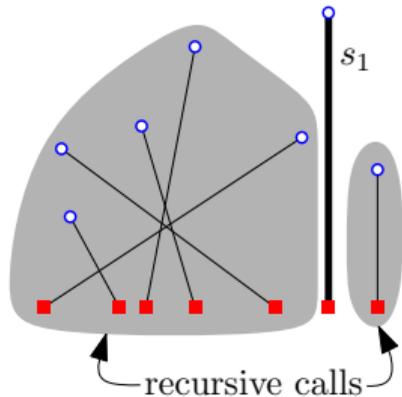
$D(n) \geq$

Conclusion

- To bound  $d(n)$  we can **choose** segments to **flip**
- **Top segment**: segment with the topmost blue point

## Algorithm for Red-on-a-Line

- Always flip top segment  $s_1$  with top segment  $s_2$  among segments that cross  $s_1$
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- Since the line containing  $s_1$  crosses no segment, **both sides are independent**

# Analysis of the Number of Flips

Introduction

NP-Hard

$d(n) \leq$

States

Algorithm

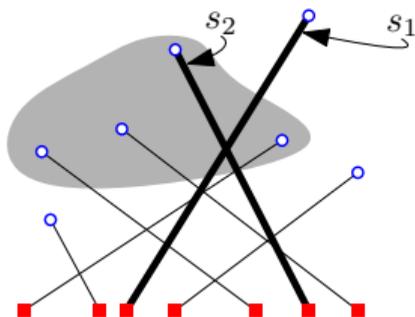
Analysis

$d(n) \geq$

$D(n) \leq$

$D(n) \geq$

Conclusion



Lemma:

Flipping the top segments  $s_1, s_2$  increases the number of **H** pairs

- We do not count the **H** pairs between different recursive calls
- Total number of pairs:  $\binom{n}{2}$
- Hence,

$$d(n) \leq \binom{n}{2}$$

Introduction

NP-Hard

$\mathbf{d}(n) \leq$

$\mathbf{d}(n) \geq$

Fence

$\mathbf{D}(n) \leq$

$\mathbf{D}(n) \geq$

Conclusion

## Section 4

$$\mathbf{d}(n) \geq \frac{3}{2}n - 2$$

for the convex case

# Fence

Introduction

NP-Hard

$d(n) \leq$

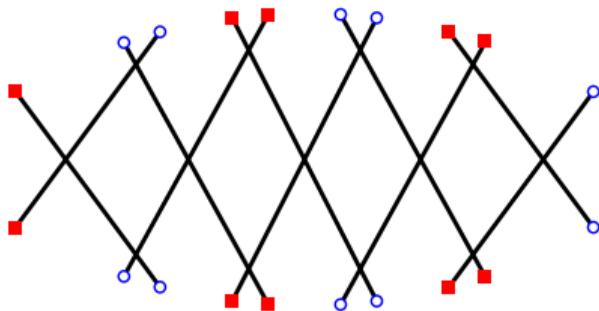
$d(n) \geq$

Fence

$D(n) \leq$

$D(n) \geq$

Conclusion



10 segments, 13 crossings

- Fence with  $n$  segments has

$$\frac{3}{2}n - 2 \text{ crossings}$$

**Lemma:**

Every flip starting from a fence reduces the number of crossings by 1

# Fence

Introduction

NP-Hard

$d(n) \leq$

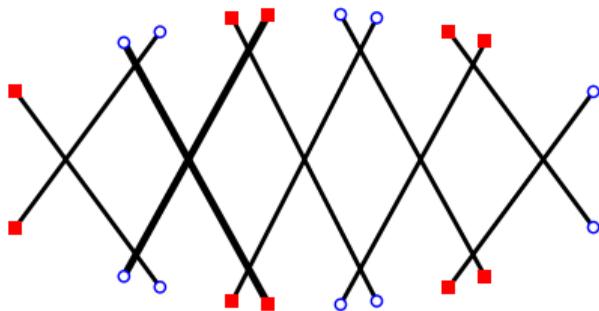
$d(n) \geq$

Fence

$D(n) \leq$

$D(n) \geq$

Conclusion



10 segments, 13 crossings

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**Lemma:**

Every flip starting from a fence reduces the number of crossings by 1

# Fence

Introduction

NP-Hard

$d(n) \leq$

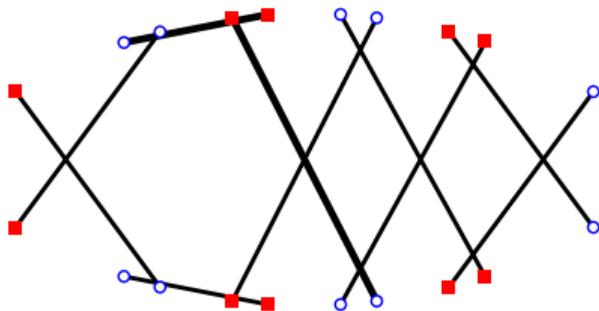
$d(n) \geq$

Fence

$D(n) \leq$

$D(n) \geq$

Conclusion



10 segments, 12 crossings

- Fence with  $n$  segments has

$$\frac{3}{2}n - 2 \text{ crossings}$$

**Lemma:**

Every flip starting from a fence reduces the number of crossings by 1

# Fence

Introduction

NP-Hard

$d(n) \leq$

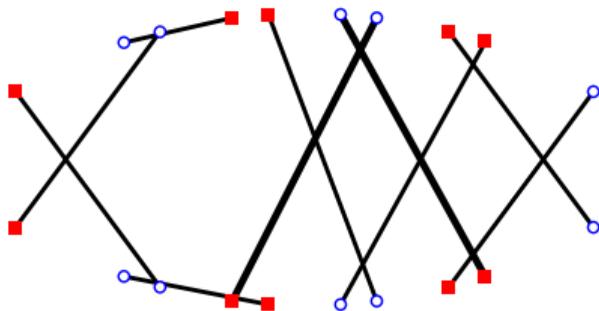
$d(n) \geq$

Fence

$D(n) \leq$

$D(n) \geq$

Conclusion



10 segments, 11 crossings

- Fence with  $n$  segments has

$$\frac{3}{2}n - 2 \text{ crossings}$$

**Lemma:**

Every flip starting from a fence reduces the number of crossings by 1

# Fence

Introduction

NP-Hard

$d(n) \leq$

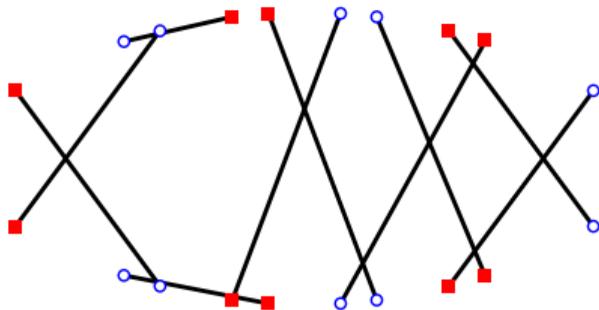
$d(n) \geq$

Fence

$D(n) \leq$

$D(n) \geq$

Conclusion



10 segments, 10 crossings

- Fence with  $n$  segments has

$$\frac{3}{2}n - 2 \text{ crossings}$$

**Lemma:**

Every flip starting from a fence reduces the number of crossings by 1

Introduction

NP-Hard

$\mathbf{d}(n) \leq$

$\mathbf{d}(n) \geq$

**$\mathbf{D}(n) \leq$**

Observers

Potential

Sum

$\mathbf{D}(n) \geq$

Conclusion

## Section 5

$$\mathbf{D}(n) \leq \binom{n}{2} \frac{n+4}{6}$$

for the red-on-a-line case

# $k$ -crossings and $k$ -observed crossings

Introduction

NP-Hard

$\mathbf{d}(n) \leq$

$\mathbf{d}(n) \geq$

$\mathbf{D}(n) \leq$

Observers

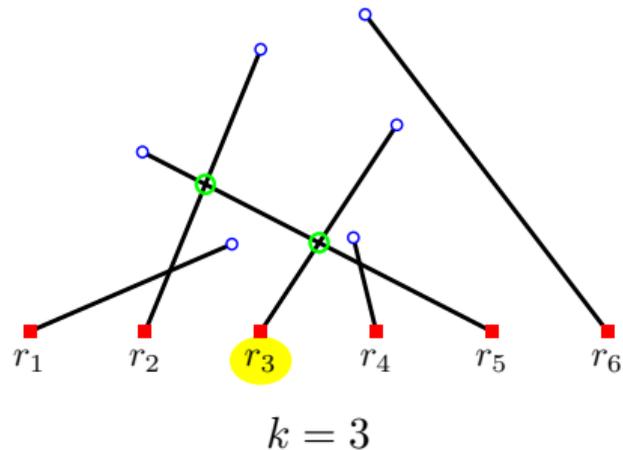
Potential

Sum

$\mathbf{D}(n) \geq$

Conclusion

- Red points numbered from left to right  $r_1, \dots, r_n$  and consider  $r_k$
- **$k$ -pair**: Pair of segments with red points  $r_i, r_j$  and  $i \leq k \leq j$
- Project blue points from  $r_k$
- **$k$ -observed crossing**: projected segments cross
- crossing  $k$ -pairs are  $k$ -observed crossing



# $k$ -crossings and $k$ -observed crossings

Introduction

NP-Hard

$\mathbf{d}(n) \leq$

$\mathbf{d}(n) \geq$

$\mathbf{D}(n) \leq$

Observers

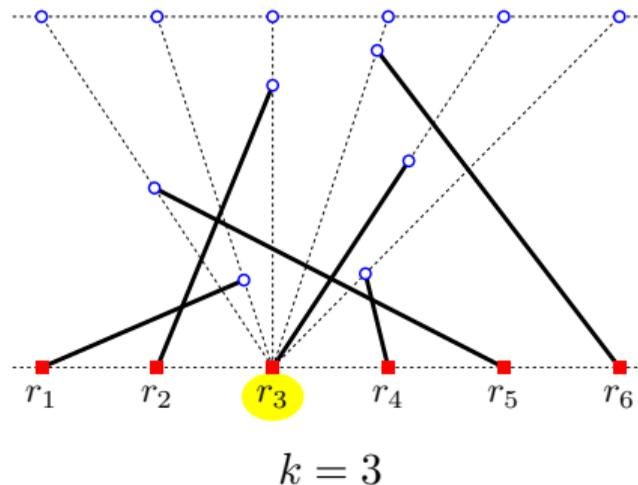
Potential

Sum

$\mathbf{D}(n) \geq$

Conclusion

- Red points numbered from left to right  $r_1, \dots, r_n$  and consider  $r_k$
- **$k$ -pair**: Pair of segments with red points  $r_i, r_j$  and  $i \leq k \leq j$
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- crossing  $k$ -pairs are  $k$ -observed crossing



# $k$ -crossings and $k$ -observed crossings

Introduction

NP-Hard

$\mathbf{d}(n) \leq$

$\mathbf{d}(n) \geq$

$\mathbf{D}(n) \leq$

Observers

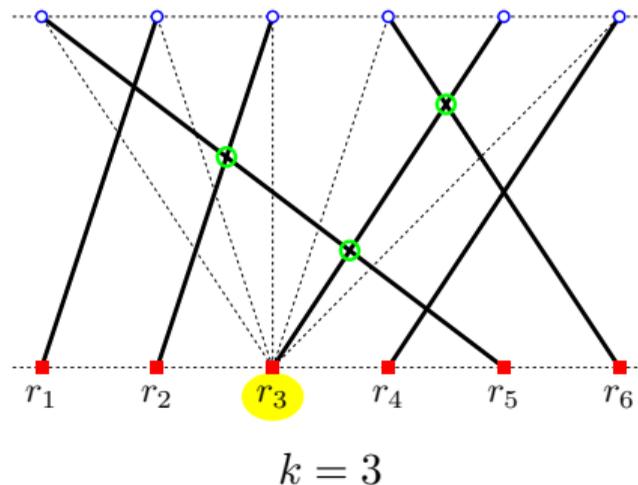
Potential

Sum

$\mathbf{D}(n) \geq$

Conclusion

- Red points numbered from left to right  $r_1, \dots, r_n$  and consider  $r_k$
- **$k$ -pair**: Pair of segments with red points  $r_i, r_j$  and  $i \leq k \leq j$
- Project blue points from  $r_k$
- **$k$ -observed crossing**: projected segments cross
- crossing  $k$ -pairs are  $k$ -observed crossing



# Potential

Introduction

NP-Hard

$d(n) \leq$

$d(n) \geq$

$D(n) \leq$

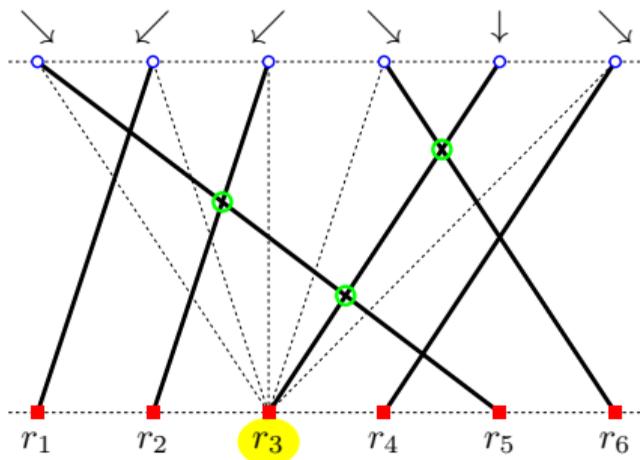
Observers

Potential

Sum

$D(n) \geq$

Conclusion



- $\Phi_k$ : Number of  $k$ -pairs forming  $k$ -observed crossings
- By number of pairs  $i, j$  with  $i \neq j$  and  $1 \leq i \leq k \leq j \leq n$ :

$$\begin{aligned}\Phi_k &\leq k(n - k + 1) - 1 \\ &= k(n + 1) - k^2 - 1\end{aligned}$$

Lemma:

$\Phi_k$  decreases for each flipped  $k$ -crossing

- $\Phi$ : Sum of  $\Phi_k$

$$\Phi = \sum_{k=1}^n \Phi_k \leq \sum_{k=1}^n (k(n+1) - k^2 - 1) = (n+1) \sum_{k=1}^n k - \sum_{k=1}^n k^2 - n = \binom{n}{2} \frac{n+4}{3}$$

- $\Phi$  decreases by at least 2 for each flip  
(1 unit for  $k$  corresponding to each red point of the flip)
- Hence, for red-on-a line  $\mathbf{D}(n) \leq \binom{n}{2} \frac{n+4}{6}$
- Compare to  $\mathbf{D}(n) \leq \binom{n}{2}(n-1)$  in general

Introduction

NP-Hard

$\mathbf{d}(n) \leq$

$\mathbf{d}(n) \geq$

$\mathbf{D}(n) \leq$

$\mathbf{D}(n) \geq$

Butterfly

Conclusion

## Section 6

$$\mathbf{D}(n) \geq \frac{3}{2} \binom{n}{2} - \frac{n}{4}$$

for the red-on-a-line case

# Butterfly

Introduction

NP-Hard

$\mathbf{d}(n) \leq$

$\mathbf{d}(n) \geq$

$\mathbf{D}(n) \leq$

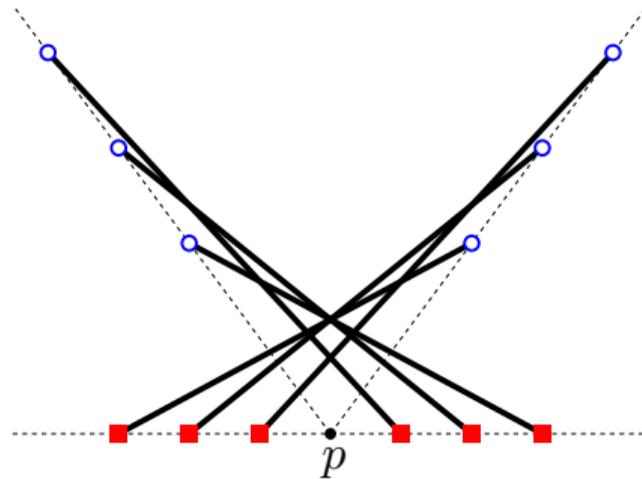
$\mathbf{D}(n) \geq$

Butterfly

Conclusion

$$\mathbf{D}(n) \geq \frac{3}{2} \binom{n}{2} - \frac{n}{4}$$

- Example with  $n = 6$  and 21 flips



# Butterfly

Introduction

NP-Hard

$\mathbf{d}(n) \leq$

$\mathbf{d}(n) \geq$

$\mathbf{D}(n) \leq$

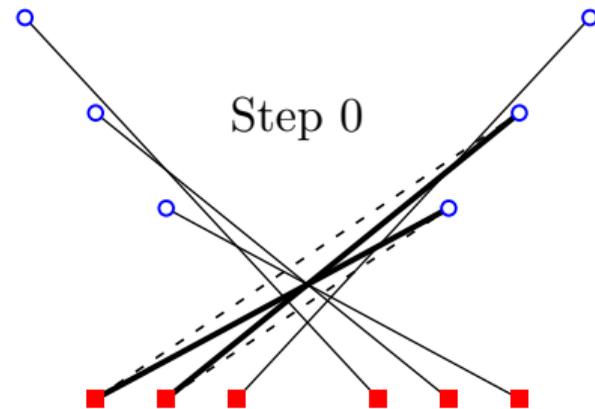
$\mathbf{D}(n) \geq$

Butterfly

Conclusion

$$\mathbf{D}(n) \geq \frac{3}{2} \binom{n}{2} - \frac{n}{4}$$

- Example with  $n = 6$  and 21 flips



# Butterfly

Introduction

NP-Hard

$\mathbf{d}(n) \leq$

$\mathbf{d}(n) \geq$

$\mathbf{D}(n) \leq$

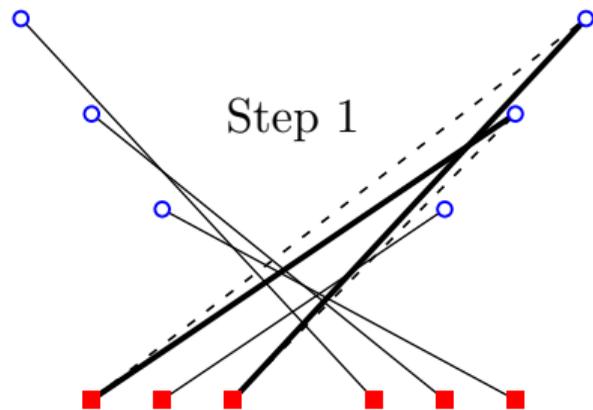
$\mathbf{D}(n) \geq$

Butterfly

Conclusion

$$\mathbf{D}(n) \geq \frac{3}{2} \binom{n}{2} - \frac{n}{4}$$

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# Butterfly

Introduction

NP-Hard

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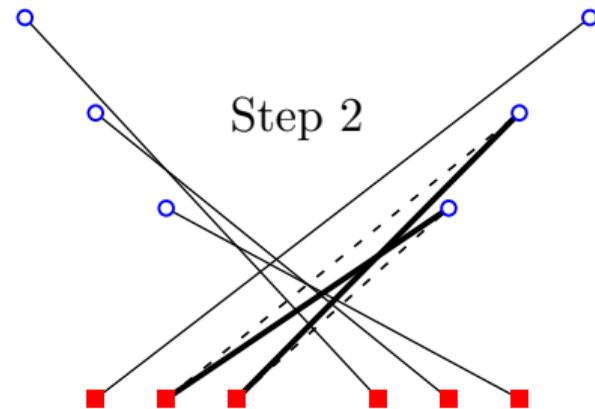
$\mathbf{D}(n) \geq$

Butterfly

Conclusion

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# Butterfly

Introduction

NP-Hard

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$\mathbf{d}(n) \geq$

$\mathbf{D}(n) \leq$

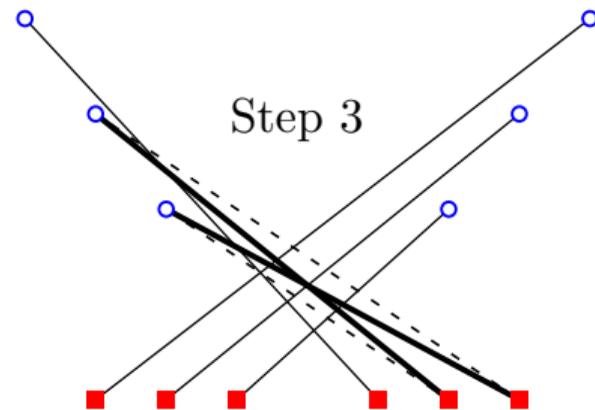
$\mathbf{D}(n) \geq$

Butterfly

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# Butterfly

Introduction

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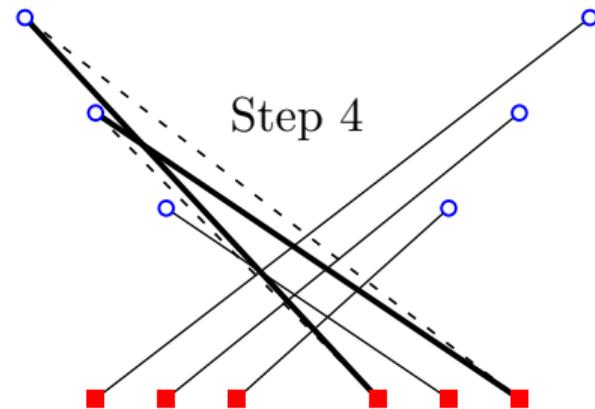
$\mathbf{D}(n) \geq$

Butterfly

Conclusion

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# Butterfly

Introduction

NP-Hard

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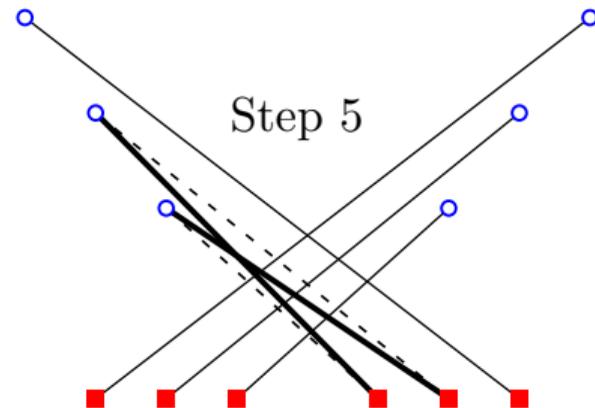
$\mathbf{D}(n) \geq$

Butterfly

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# Butterfly

Introduction

NP-Hard

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$\mathbf{d}(n) \geq$

$\mathbf{D}(n) \leq$

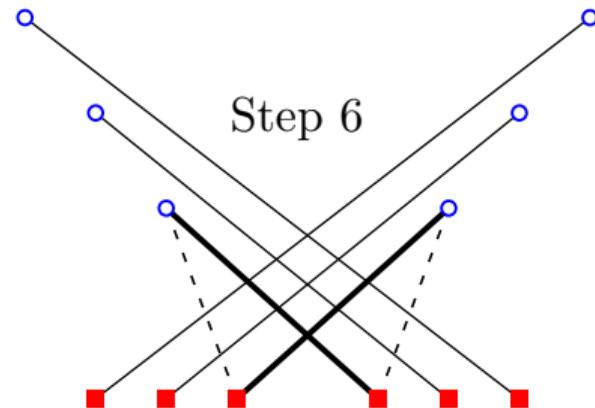
$\mathbf{D}(n) \geq$

Butterfly

Conclusion

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- Example with  $n = 6$  and 21 flips



# Butterfly

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$\mathbf{d}(n) \leq$

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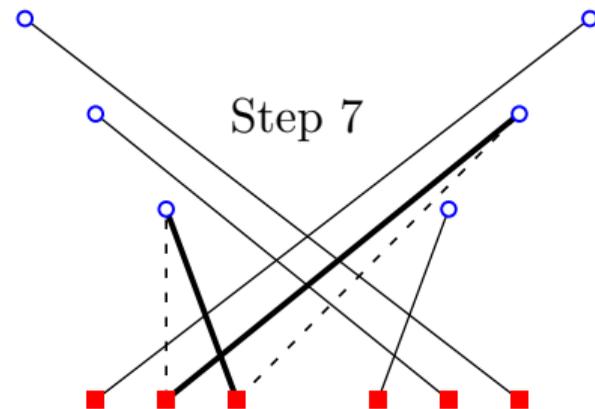
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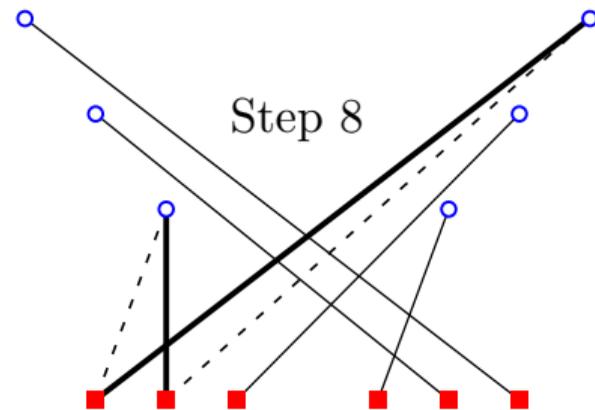
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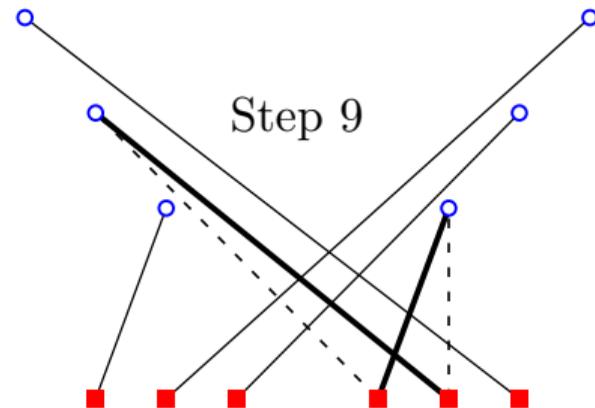
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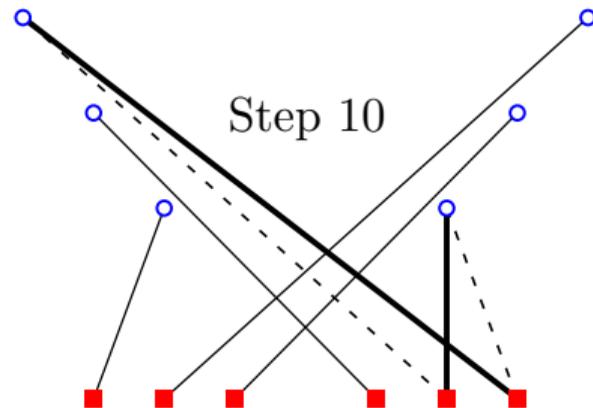
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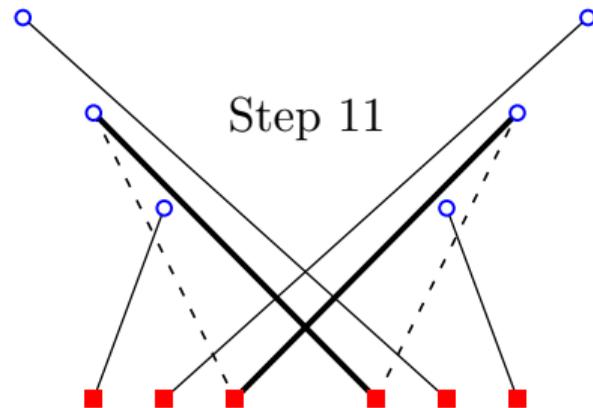
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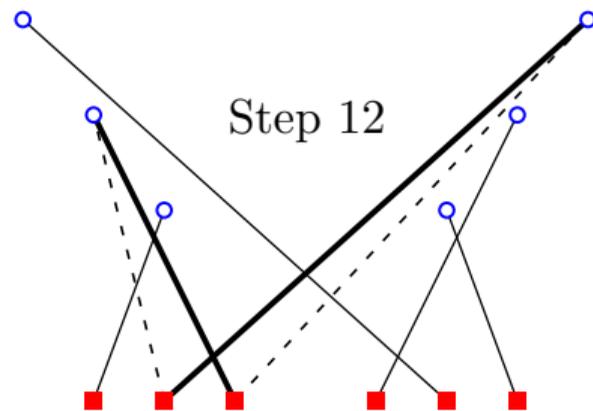
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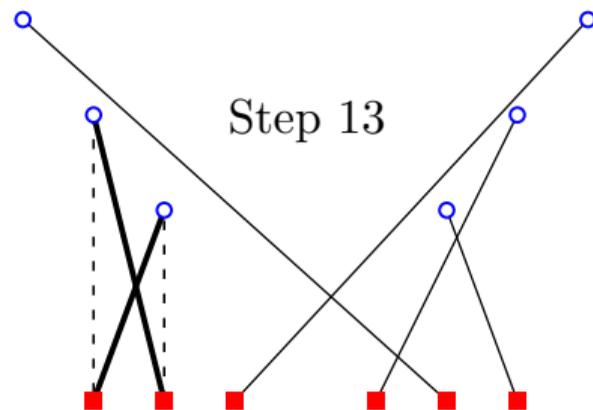
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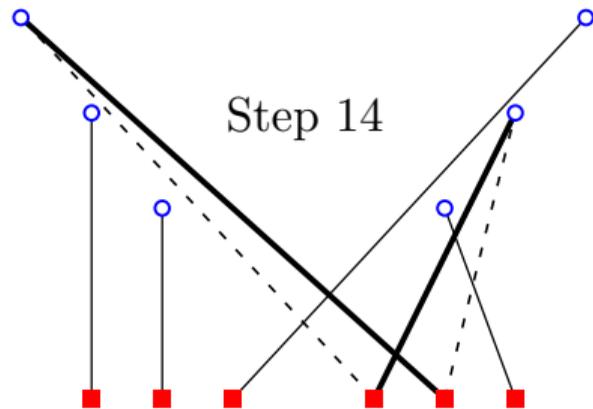
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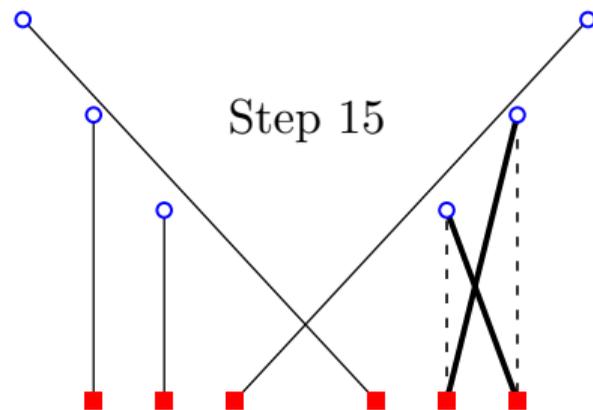
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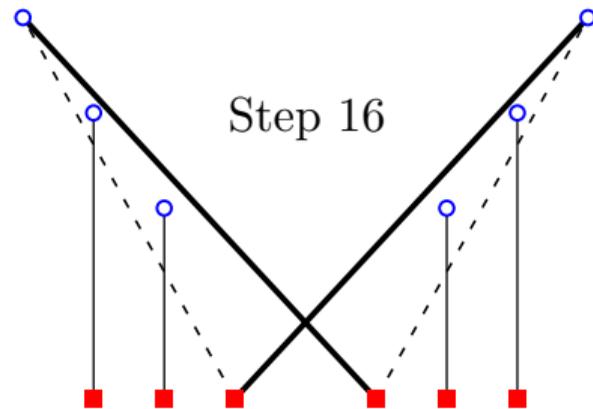
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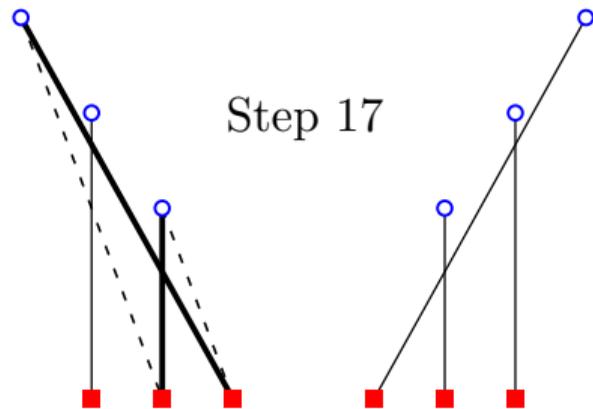
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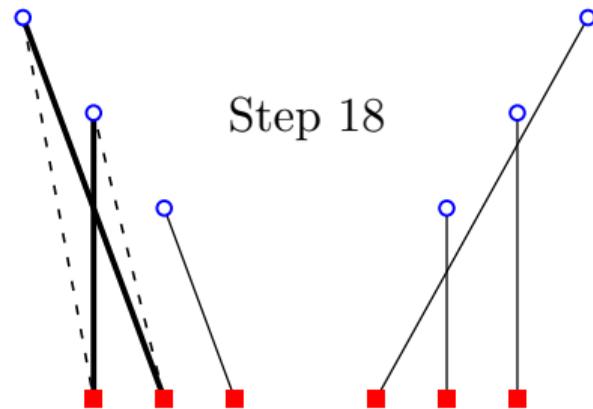
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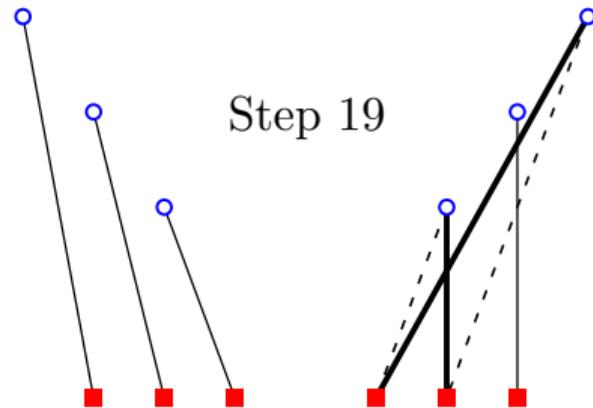
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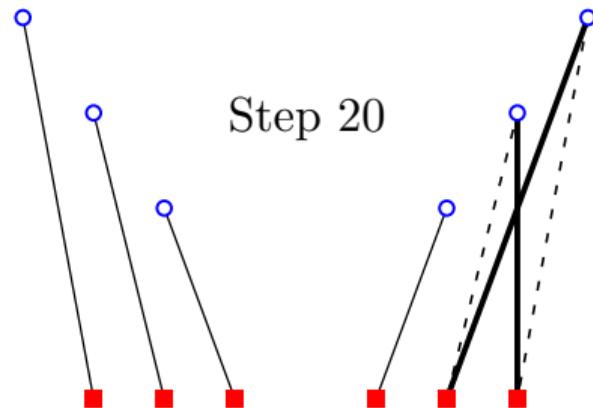
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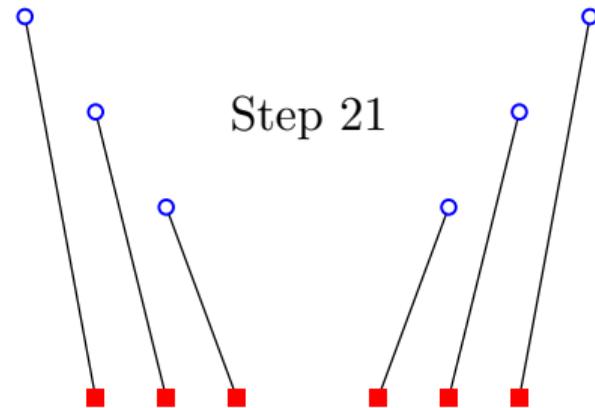
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Introduction

NP-Hard

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$\mathbf{d}(n) \geq$

$\mathbf{D}(n) \leq$

$\mathbf{D}(n) \geq$

Conclusion

NP-Hard

Bounds

References

Thanks

Section 7

Conclusion

# Other NP-Complete Problems?

Introduction

NP-Hard

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$d(n) \geq$

$D(n) \leq$

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Conclusion

NP-Hard

Bounds

References

Thanks

- 1 The shortest flip sequence...
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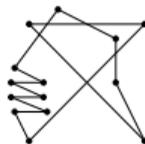
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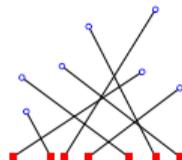
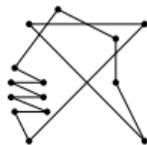
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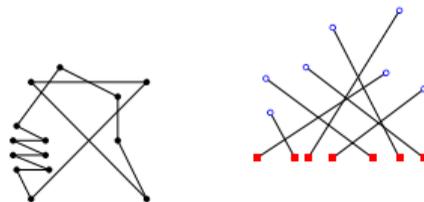
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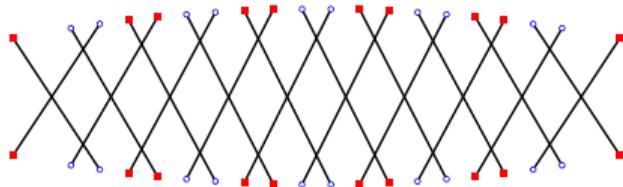
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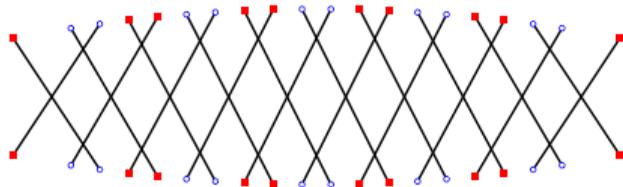
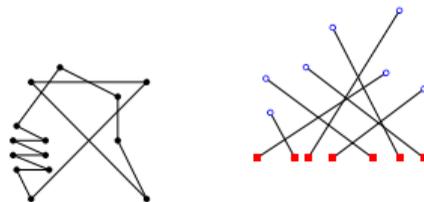
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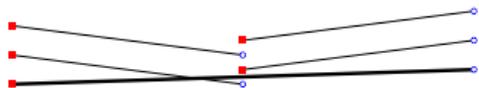
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# Better Bounds?



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NP-Hard

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NP-Hard

Bounds

References

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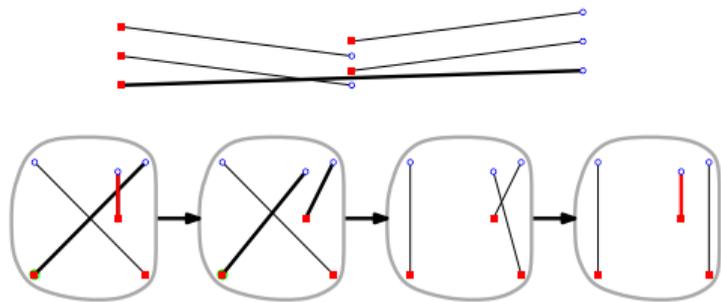
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Bounds

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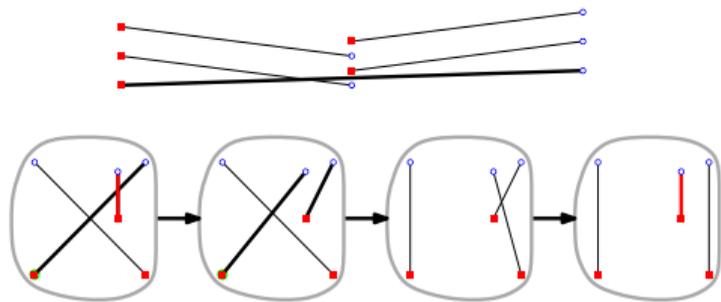
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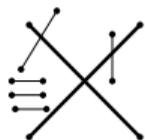
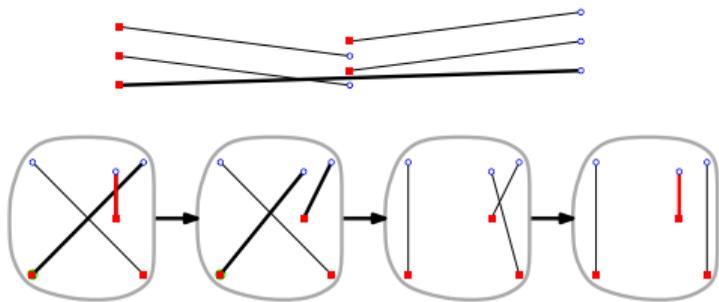
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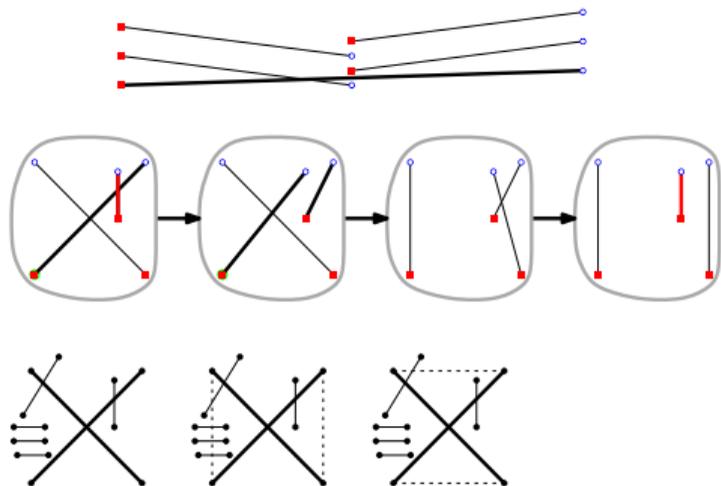
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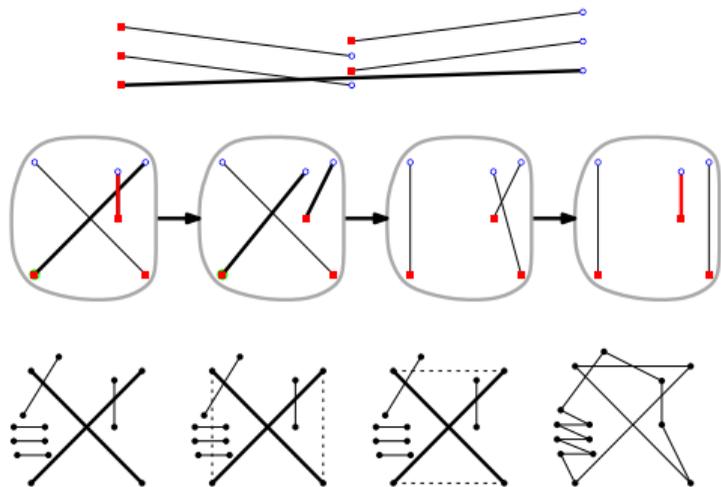
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Bounds

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Introduction

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Conclusion

NP-Hard

Bounds

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Photo by Gilbert Garcin