

# Logical Characterization of Weighted Pebble Walking Automata

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**CSL-LICS 2014**

**Vienna - July 15, 2014**

# Equivalence between automata and logic

- ▶ Well-known and studied model of computation: NFA over words
- ▶ Existing extensions over trees, grids, graphs...
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- ▶ Droste-Gastin: weighted automata vs restricted weighted MSO

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- ▶ Engelfriet-Hoogeboom: **pebble walking** automata vs **FO<sub>posTC</sub>**
- ▶ Droste-Gastin: **weighted** automata vs restricted **weighted** MSO
  
- ▶ Aim: **extend Engelfriet-Hoogeboom result to the **quantitative** setting, relating **weighted pebble walking** automata with **weighted FO<sub>posTC</sub>****

# Graphs as a general model

**Words:**  $D = \{\rightarrow\}$

*computations of sequential programs*

$a \rightarrow a \rightarrow b \rightarrow a \rightarrow a \rightarrow a \rightarrow a \rightarrow b \rightarrow a \rightarrow a \rightarrow b \rightarrow a \rightarrow b \rightarrow b$

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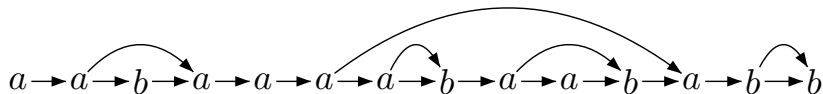
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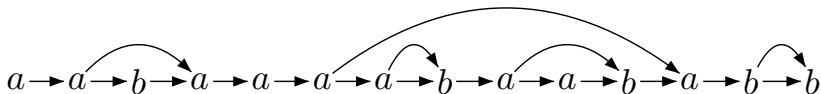
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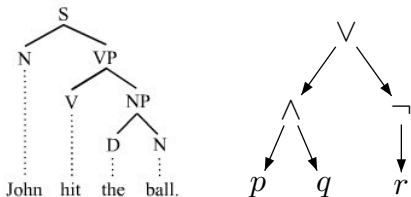
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*computations of recursive programs, XML documents*



**Ranked trees:**  $D = \{\downarrow_1, \downarrow_2\}$

*expressions, formulae, parse trees*



# Graphs as a general model

## Definition: directed graphs

$G = (V, (E_d)_{d \in D}, \lambda, \iota)$  where

- ▶  $V$  is a nonempty and finite set of vertices;
- ▶ for all edge label  $d \in D$ ,  $E_d \subseteq V \times V$  is an *irreflexive relation*, describing the  $d$ -edges of the graph, which is *deterministic and codeterministic*;
- ▶  $\lambda: V \rightarrow A$  is a vertex-labeling function;
- ▶  $\iota \in V$  is an initial vertex.

For all edge label  $d$ , we consider its reverse  $d^{-1}$  letting  $E_{d^{-1}} = (E_d)^{-1}$



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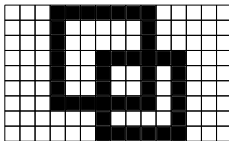
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**Grids:**  $D = \{\rightarrow, \uparrow\}$

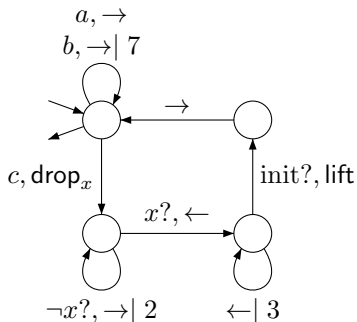
*pictures*



# Weighted pebble walking automata

## Definition:

- ▶ Finite number of states, initial and final states
- ▶ Ability to navigate in the graph (using the deterministic edge labels)
- ▶ Bounded supply of pebbles able to mark temporarily a position
- ▶ Pebbles are treated with a stack policy: first pebble to lift is the last dropped pebble
- ▶ Transitions equipped with weights in a complete semiring  $(\mathbf{S}, +, \times, 0, 1)$



Examples of complete semirings:

$(\{0, 1\}, \vee, \wedge, 0, 1)$

$(\mathbb{R}^+ \cup \{+\infty\}, +, \times, 0, 1)$

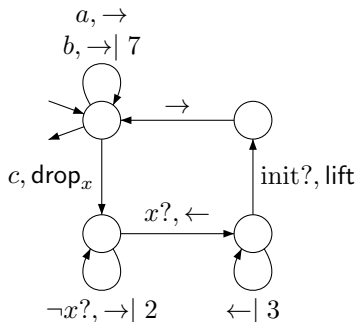
$(\mathbb{Z} \cup \{+\infty, -\infty\}, \min, +, +\infty, 0)$

$(\mathbb{Z} \cup \{+\infty, -\infty\}, \max, +, -\infty, 0)$

$([0, 1], \min, \max, 1, 0)$

$(2^{\Sigma^*}, \cup, \cdot, \emptyset, \{\varepsilon\})$

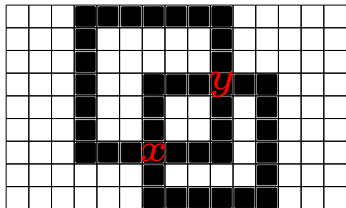
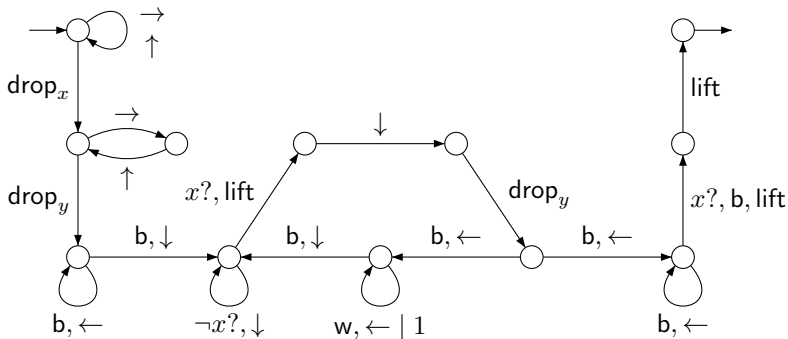
# Weighted pebble walking automata



## Definition: Semantics over $(\mathbf{S}, +, \times, 0, 1)$

- ▶ Configurations over a graph  $G$ :  $(G, q, \pi, v)$  with state  $q$ , stack  $\pi$  of pebble positions and current vertex  $v$
- ▶ Weight of a run: **multiplication** of the weights of transitions
- ▶ Semantics  $\llbracket \mathcal{A} \rrbracket(G)$ : **sum** of weights of accepting runs over  $G$

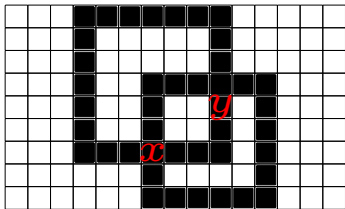
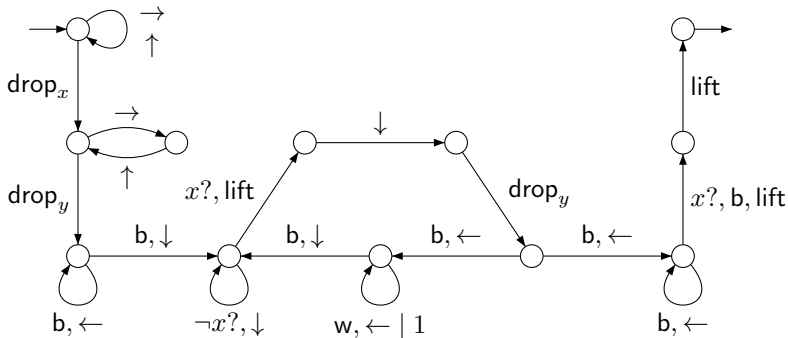
# Example of weighted pebble walking automaton



computes the biggest size of frames  
(empty black square)

$(\mathbb{N} \cup \{-\infty\}, \max, +, -\infty, 0)$

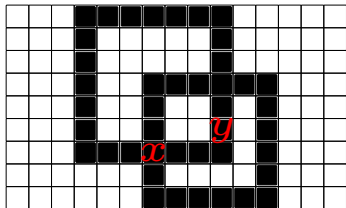
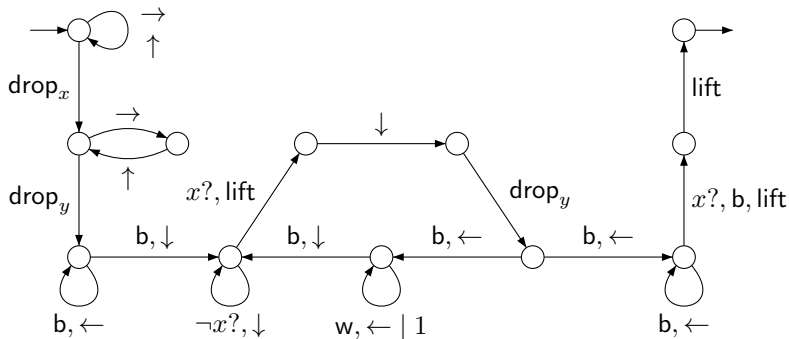
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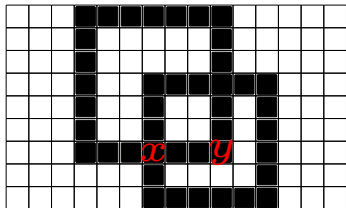
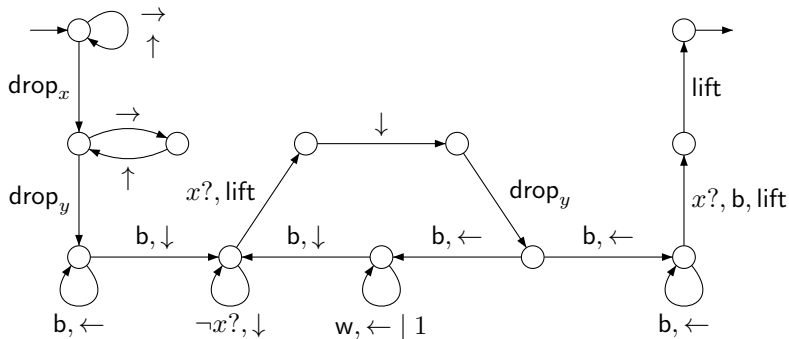
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# Logical characterization

Classical weighted automata are **one-way** (sometimes branching) and **without pebbles**

Logical characterization for them in terms of a restricted weighted MSO logic:

- ▶ over words [Droste and Gastin, 2009]
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Restricted weighted MSO does not even contain full weighted FO a priori

Theorem: Our contribution

Weighted pebble walking automata over graphs (wPWA) = wFOTC

# Weighted first-order logic

## Definition:

Classical first-order logic

$$\varphi ::= \top \mid (x = y) \mid \text{init}(x) \mid P_a(x) \mid R_d(x, y) \mid R_d^+(x, y) \mid \neg\varphi \mid \varphi \vee \varphi \mid \exists x \varphi$$

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Weighted first-order logic over graphs with weights in a semiring  $(\mathbf{S}, +, \times, 0, 1)$

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Semantics over a graph  $G$  and a valuation  $\sigma$  of free variables

$$\llbracket \varphi ? \Phi_1 : \Phi_2 \rrbracket(G, \sigma) = \begin{cases} \llbracket \Phi_1 \rrbracket(G, \sigma) & \text{if } G, \sigma \models \varphi \\ \llbracket \Phi_2 \rrbracket(G, \sigma) & \text{otherwise} \end{cases}$$

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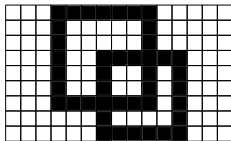
Examples in  $(\mathbb{N} \cup \{-\infty\}, \max, +, -\infty, 0)$

$$\Phi_b = \bigotimes_x P_b(x) ? 1 : 0$$

$$\Phi_w = \bigotimes_x P_w(x) ? 1 : 0$$

$$\Phi_b \oplus \Phi_w$$

## Transitive closure in graphs

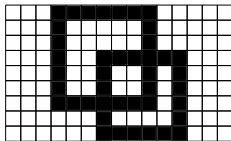


Binary predicate  $R_{\uparrow}(x, y) = \exists z[R_{\rightarrow}(x, z) \wedge R_{\uparrow}(z, y)]$

Transitive Closure  $TC_{x,y}R_{\uparrow}(x, y)$

test if **square** (not doable in FO)

# Transitive closure in graphs



Binary predicate  $R_\gamma(x, y) = \exists z[R_\rightarrow(x, z) \wedge R_\uparrow(z, y)]$

Transitive Closure  $\text{TC}_{x,y} R_\gamma(x, y)$

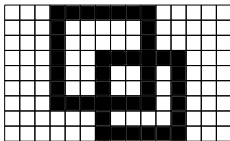
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**Weighted** transitive closure: semiring  $(\mathbb{N} \cup \{-\infty\}, \text{max}, +, -\infty, 0)$

$$\text{TC}_{x,y}[R_\gamma(x, y) ? 1 : -\infty]$$

**verifies** that it is a square **and computes** the length of its diagonal

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Semantics given in a complete semiring  $(\mathbf{S}, +, \times, 0, 1)$

$$\llbracket [TC_{x,y}\Phi](x', y') \rrbracket (G, \sigma) = \sum_{\substack{v_0, v_1, \dots, v_m \ (m > 0) \\ \sigma(x') = v_0, \sigma(y') = v_m}} \prod_{0 \leq k \leq m-1} \llbracket \Phi \rrbracket (G, \sigma[x \mapsto v_k, y \mapsto v_{k+1}])$$

sum along  
sequences of stop-vertices

multiplication along  
the sequence



# Bounding the Transitive Closure

- ▶ A necessary restriction to obtain a fragment of logic expressively equivalent to wPWA
- ▶ But not so restrictive in most of the cases!

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## Definition: Logic wFOTC

$$\Phi ::= s \mid \varphi ? \Phi : \Phi \mid \Phi \oplus \Phi \mid \Phi \otimes \Phi \mid \bigoplus_x \Phi \mid \bigotimes_x \Phi \mid \text{TC}_{x,y}^N \Phi$$

with  $s \in \mathbf{S}$ ,  $\varphi \in \text{FO}$ ,  $x, y \in \text{Var}$  and  $N \in \mathbb{N} \setminus \{0\}$ .

Comparison with restricted wMSO:

- ▶ unrestricted use of  $\bigoplus_x$  and  $\bigotimes_x$ , presence of  $\text{TC}_{x,y}$ , absence of  $\bigoplus_x$

# Contribution

Theorem:

*over searchable graphs:* wFOTC  $\rightarrow$  weighted pebble walking automata

*over zonable graphs:* weighted pebble walking automata  $\rightarrow$  wFOTC

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*over zonable graphs:* weighted pebble walking automata  $\rightarrow$  wFOTC

$\implies$  (un)decidability and complexity results over automata transfer to wFOTC

# Translation of $wFOTC$ in $wPWA$

Definition: Hypothesis: **searchable** graphs

- ▶ linear order  $\leq$  on vertices with  $\iota$  (initial vertex) as minimal element
- ▶ existence of a guide: walking automaton  $\mathcal{A}_G$  computing  $\leq$

*All previously classes of graphs are searchable*

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Inductive translation:

$\Phi \oplus \Psi$       disjoint union of automata

$\Phi \otimes \Psi$

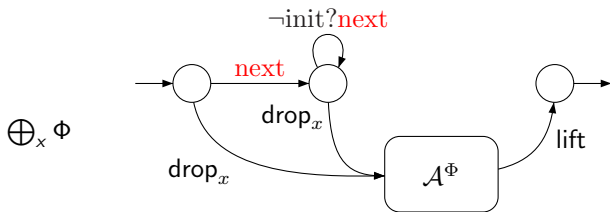


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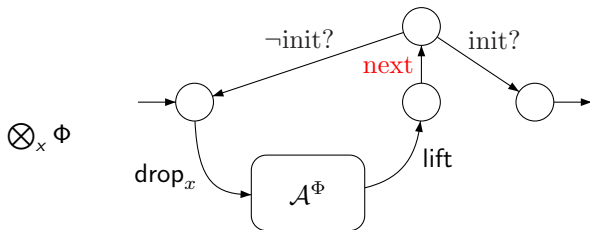


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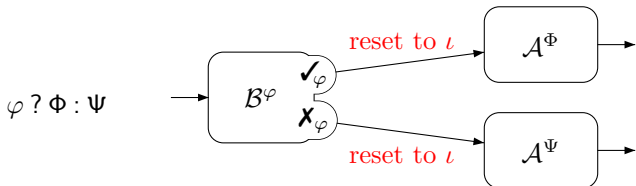


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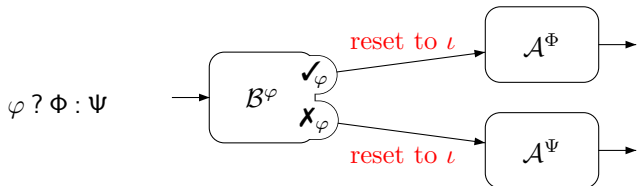
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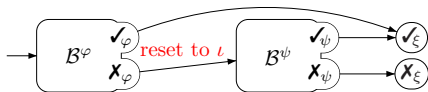
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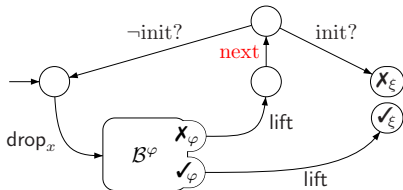


Boolean fragment: **linear size automata** (pebble and navigation)

disjunction  $\xi = \varphi \vee \psi$



existential quantification  $\xi = \exists x \varphi$



## Translation of wFOTC in wPWA

Case of a formula  $[\text{TC}_{x,y}^N \Phi(x, y)] \underbrace{(x', y')}_{\text{fresh free variables}}$  with  $\mathcal{A}$  a wPWA for  $\Phi$ : construction of a wPWA  $\mathcal{A}'$  with two more layers of pebbles that does the following

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1. **search** free variable  $x'$ , and drop pebble  $x$
2. guess a sequence of moves of length  $\leq N$ , follow it, and drop pebble  $y$  (*then flush the sequence to save memory*)
3. **reset to  $\iota$**  and simulate  $\mathcal{A}$
4. **search** pebble  $y$
5. guess sequence  $\pi$  of moves of length  $\leq N$ , follow it, check that it holds  $x$
6. lift pebbles  $y$  and  $x$  (hence returning to the vertex of  $x$ )
7. follow  $\pi^R$  to reach back the vertex that held  $y$ , and drop pebble  $x$
8. if  $y'$  is held by the current vertex, enter a final state
9. in every case, go back to step 2

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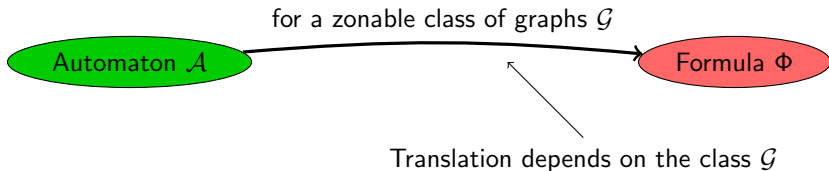
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2. guess a sequence  $\pi$  of moves of length  $\leq N$ , follow it, and drop pebble  $y$  (*then flush the sequence to save memory*)
  - ▶ test that  $\pi$  is minimal amongst all sequences going from  $x$  to  $y$
3. **reset to  $\iota$**  and simulate  $\mathcal{A}$
4. **search** pebble  $y$
5. guess sequence  $\pi$  of moves of length  $\leq N$ , follow it, check that it holds  $x$ 
  - ▶ test that  $\pi$  is minimal amongst all sequences going  $q$  from  $y$  to  $x$
6. lift pebbles  $y$  and  $x$  (hence returning to the vertex of  $x$ )
7. follow  $\pi^R$  to reach back the vertex that held  $y$ , and drop pebble  $x$
8. if  $y'$  is held by the current vertex, enter a final state
9. in every case, go back to step 2

# Translation of wPWA in wFOTC

## Theorem:

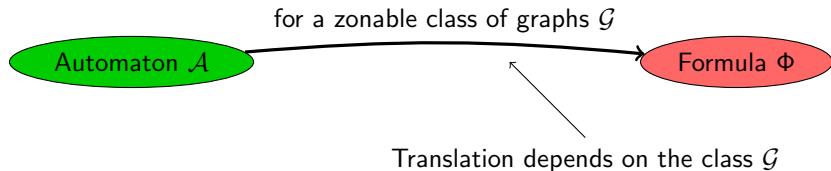
Let  $\mathcal{G}$  be a **zonable** class of graphs. Then, for every wPWA  $\mathcal{A}$ , we can construct a formula  $\Phi$  of wFOTC such that for every graph  $G \in \mathcal{G}$ , and valuation  $\sigma$  of free variables,  $\llbracket \mathcal{A} \rrbracket(G, \sigma) = \llbracket \Phi \rrbracket(G, \sigma)$ .



# Translation of wPWA in wFOTC

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Proof in two steps:

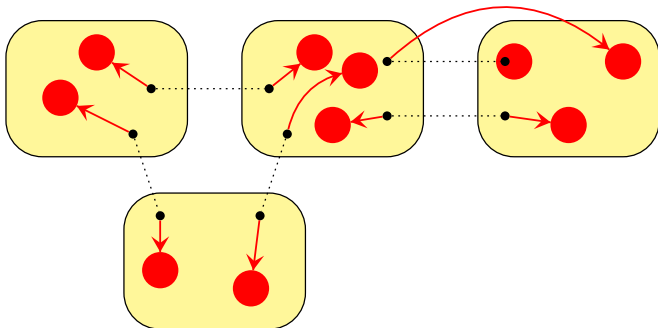
- ▶ For the considered class of graphs, prove the **zonability**;
- ▶ **Generic** translation of automata into formulae for zonable class of graphs



# Zonable classes of graphs

A zoning of a graph  $G$  with parameter  $N$ :

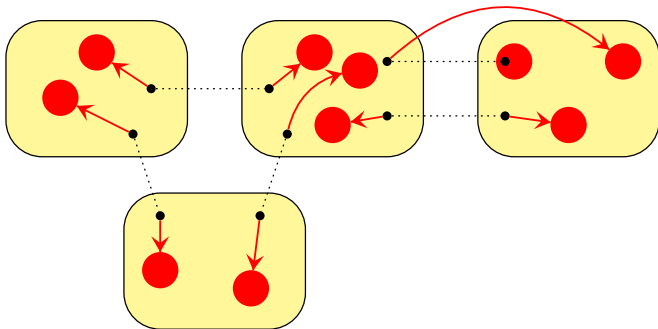
- ▶ an equivalence relation  $\sim$ , decomposing a graph into *zones* of diameter bounded by a constant  $M$ ;
- ▶ set  $\mathcal{W}$  of wires = (directed) edges relating different zones;
- ▶ an injective encoding function  $enc: \mathcal{W} \times \{0, \dots, N-1\} \rightarrow V$



# Zonable classes of graphs

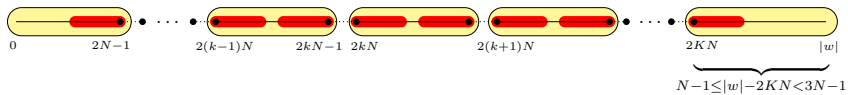
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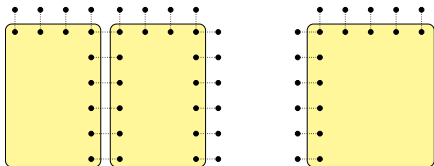
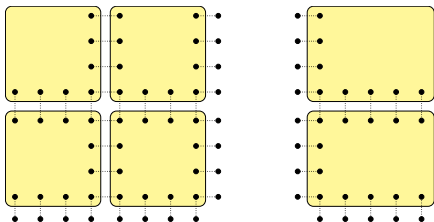
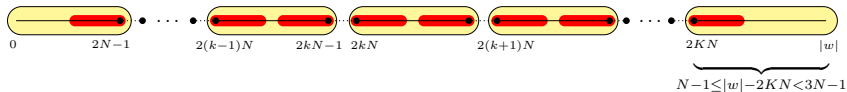


**and**  $\sim$  and  $enc$  must be expressible by some formulae  $zone(z, z')$  and  $enc_n(z, z', x)$  (for  $n \in \{0, \dots, N-1\}$ ) in wFOTC

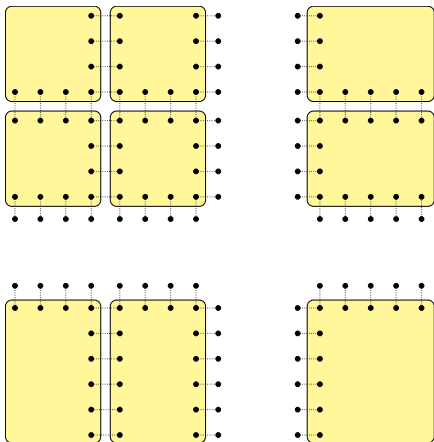
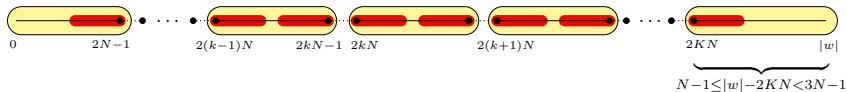
# Examples



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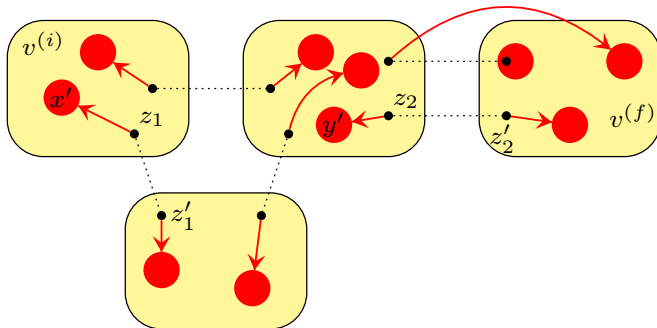
# Examples



but also trees, nested words, Mazurkiewicz traces, rings...

# Translation in a zonable class of graphs

- ▶ External (bounded) transitive closure jumping from zone to zone: state at the wires encoded using *enc*;
- ▶ Internal (bounded) transitive closures to compute the weights of the infinite set of runs restricted to a zone: computation by McNaughton-Yamada algorithm, state directly encoded in the formulae.



# Translation in a zonable class of graphs

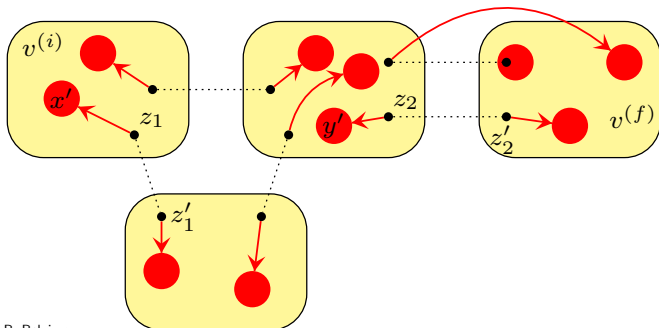
Weight of the runs from  $z_i$  in state  $q_i$  to  $z_f$  in state  $q_f$ :

$$\bigoplus_{x', y'} \left[ \bigoplus_{z_1, z'_1} \bigoplus_{q_1 \in Q} \text{enc}_{q_1}(z_1, z'_1, x') \otimes \Phi_{q_i, q_1}(z_i, z_1) \right] \otimes [\text{TC}_{y_1, y_2}^{3M} \Psi](x', y')$$

$$\otimes \bigoplus_{z_2, z'_2} \bigoplus_{q_2, q'_2 \in Q} \left[ \text{enc}_{q_2}(z_2, z'_2, y') \otimes \text{tr}_{q_2, q'_2}(z_2, z'_2) \otimes \Phi_{q'_2, q_f}(z'_2, z_f) \right]$$

with  $\Psi(y_1, y_2)$  the formula

$$\bigoplus_{z_1, z'_1, z_2, z'_2} \bigoplus_{q_1, q'_1, q_2 \in Q} \left[ \text{enc}_{q_1}(z_1, z'_1, y_1) \otimes \text{tr}_{q_1, q'_1}(z_1, z'_1) \otimes \text{enc}_{q_2}(z_2, z'_2, y_2) \otimes \Phi_{q'_1, q_2}(z'_1, z_2) \right]$$



# Translation in a zonable class of graphs

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with  $\Psi(y_1, y_2)$  the formula

$$\bigoplus_{\substack{z_1, z'_1, \\ z_2, z'_2}} \bigoplus_{\substack{q_1, q'_1, \\ q_2 \in Q}} \left[ \text{enc}_{q_1}(z_1, z'_1, y_1) \otimes \text{tr}_{q_1, q'_1}(z_1, z'_1) \otimes \text{enc}_{q_2}(z_2, z'_2, y_2) \otimes \Phi_{q'_1, q_2}(z'_1, z_2) \right]$$

$\Phi_{q, q'}(x, x')$  formula computing the weight of the runs from  $x$  in  $q$  to  $x'$  in  $q'$ , staying in the zone containing both  $x$  and  $x'$

- ▶ built by McNaughton-Yamada algorithm, with cascade of **bounded** transitive closures (**since zones have bounded diameter**)



# Conclusion and Perspectives

- ▶ Expressive equivalence between **weighted pebble walking automata** and **weighted first-order logic with bounded transitive closure**, over arbitrary complete semirings
- ▶ Additional reasonable requirements on the classes of graphs (searchable and zonable), met by usual examples of graphs (words, nested words, trees, grids, Mazurkiewicz traces, rings...)
- ▶ Interesting special case: a logic for **graph-to-word transducers** (non-commutative semiring of languages over an alphabet  $\Sigma$ )

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Thank you!

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