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# Optimal Area-Sensitive Bounds for Polytope Approximation

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### Polytope Approximation

#### Problem description:

- Input: convex body K in d-dimensional space and parameter ε
- Output: polytope P which ε-approximates K with a small number of facets (alternatively, vertices)
- Focus on Hausdorff metric in Euclidean spaces of constant dimension *d*
- Assume (without loss of generality) that diam(K) = 1
- Assume the width of K is at least ε. Otherwise, the instance can be reduced (by projection) to a lower dimensional space





- Several algorithms find the "best" polytope for a given input [CI93]
- How good is this best polytope?

#### Nonuniform bounds:

- Hold for  $\varepsilon \leq \varepsilon_0$ , where  $\varepsilon_0$  depends on the input
- Example: Gruber [Gru93] bounds the complexity *n* using the Gaussian curvature  $\kappa$  of the input

$$n = (1/\varepsilon)^{(d-1)/2} \int_{\partial K} \sqrt{\kappa(x)} dx$$

#### Uniform bounds:

- Hold for  $\varepsilon \leq \varepsilon_0$ , where  $\varepsilon_0$  is a constant
- Example: Dudley [Dud74] and Bronshteyn and Ivanov [BI76] bound the maximum number of facets/vertices as a function of  $\varepsilon$ , d, and the diameter of the input

# **Dudley's Approximation**



#### Dudley, 1974:

A convex body K of diameter 1 can be  $\varepsilon$ -approximated by a polytope P with  $O(1/\varepsilon^{(d-1)/2})$  facets.

- Dudley's approximation is the best possible for balls
- It oversamples areas of very high and very low curvatures
- Intuition: A skinny body should be easier to approximate

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- Dudley's approximation is the best possible for balls
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- Intuition: A skinny body should be easier to approximate

#### Better uniform bound for skinny bodies [AFM12]:

A convex body K can be  $\varepsilon$ -approximated by a polytope P with  $O(\sqrt{\operatorname{area}(K)}\log(\operatorname{area}(K)/\varepsilon)/\varepsilon^{(d-1)/2})$  facets.

#### Compared to Dudley's bound:

- Uses area instead of diameter
- Significant improvement for skinny bodies
- Suboptimal by a log factor

# Our Result: Optimal Polytope Approximation

#### Optimal area-based bound:

A convex body K can be  $\varepsilon$ -approximated by a polytope P with  $O(\sqrt{\operatorname{area}(K)}/\varepsilon^{(d-1)/2})$  facets (alternatively, vertices).

Compared to Dudley's bound:

- Uses area instead of diameter
- Bounds match when the body is fat

Compared to our previous bound:

- No log factor: bound is optimal
- Sampling uses Macbeath regions instead of  $\varepsilon$ -nets
- Requires a combination of functional duality and polarity

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### Caps and $\varepsilon$ -Caps



- Cap: intersection of the boundary of *K* and a halfspace *H*
- Width: maximum vertical distance between a point in *C* and  $\partial H$
- $\varepsilon$ -cap: Cap of width  $\varepsilon$
- Base:  $\partial H \cap K$



## Dual Caps and $\varepsilon$ -Dual Caps



- Dual cap: portion of the boundary of *K* visible from a given point
- Width: vertical distance between the point and *K*
- $\varepsilon$ -dual cap: dual cap of width  $\varepsilon$
- Dual of an  $\varepsilon$ -cap
- Base: see figure

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- $\varepsilon$ -caps and  $\varepsilon$ -dual caps are defined in terms of vertical distances
- When slopes are bounded, vertical distances approximate Euclidean distances
- We partition *K* into 2*d* regions with bounded slopes
- Each region is extended and then cropped vertically to handle boundary conditions

# Approximation by Stabbing $\varepsilon$ -Dual Caps



 A set of points N stabs all ε-dual caps if every ε-dual cap D has D ∩ N ≠ Ø

#### Lemma:

If a set *N* of points stabs all  $\varepsilon$ -dual caps, then the polytope defined by tangent hyperplanes constructed at the points of *N* is an  $\varepsilon$ -approximation to (the bottom portion of) *K*.

- We divide the ε-dual caps in two categories: large and small
- We stab each category separetely

# Stabbing Large $\varepsilon$ -Dual Caps



Large  $\varepsilon$ -dual cap D:

 $\operatorname{area}(D) \geq \sqrt{\operatorname{area}(K)} \cdot \varepsilon^{(d-1)/2}$ 

Simple solution [AFM12]:

- Use *ε*-nets
- A random point on the boundary of *K* is likely to stab *D*
- Dual caps have bounded VC-dimension
- Introduces a log factor

Better sampling:

- Use Macbeath regions
- Avoids the log factor

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#### Macbeath Regions



- Given:
  - K: convex body
  - v: parameter 0 < v < vol(K)
- There exists [Mac52]:
  - Set  $\mathcal{M}$  of disjoint convex bodies inside K
  - Each  $M \in \mathcal{M}$  has  $vol(M) = \Theta(v)$
  - Every cap C with vol(C) = v contains a region  $M \in \mathcal{M}$
  - Also, a constant factor scaling of M contains C
- Macbeath regions: convex bodies  $M \in \mathcal{M}$

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### From Caps to Dual Caps



- Macbeath regions are suited to caps, not dual caps
- We can associate large ε-dual caps with large ε-caps
- We stab large ε-caps using Macbeath regions

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### Small $\varepsilon$ -Dual Caps



Small  $\varepsilon$ -dual cap D:

 $\operatorname{area}(D) < \sqrt{\operatorname{area}(K)} \cdot \varepsilon^{(d-1)/2}$ 

- Area can be as small as  $\varepsilon^{d-1}$
- Hard to stab directly by random sampling
- Key insight: In the dual body, small ε-dual caps become large caps

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### Functional Duality and Polarity

#### Functional duality

Maps point  $(a_1, \ldots, a_d)$  to hyperplane  $x_d = a_1 x_1 + \cdots + a_{d-1} x_{d-1} - a_d$ 

- Widely used in computational geometry
- Preserves vertical distances

#### Polarity

Maps point  $(a_1, \ldots, a_d)$  to hyperplane  $a_1x_1 + \cdots + a_dx_d = 1$ 

- Widely used in convex and combinatorial geometry
- Allows for concepts such as the polar body and Mahler volume



### Polar Body and Mahler Volume



- K: convex body
- Polar body of *K*: convex hull of the polar of the suporting hyperplanes of *K*
- Mahler volume of *K*: product of the volume of *K* and the volume of *polar*(*K*)
- The Mahler volume of *K* is bounded below by a constant [Kup08]

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### Stabbing Small $\varepsilon$ -Dual Caps



#### Lemma:

The base of an  $\varepsilon$ -dual cap in the primal is the polar of the base of the corresponding cap in the dual, scaled by a factor of  $\varepsilon$ .

- By Mahler volume considerations, small ε-dual caps in the primal correspond to large ε-caps in the dual
- We stab such caps in the dual using Macbeath regions

## Conclusion and Open Problems

Our results:

- We obtain optimal area-sensitive bounds for polytope approximation
- We use Macbeath regions instead of  $\varepsilon$ -nets for sampling

Open problems:

- Our proofs are existential (a log factor approximation can be built using [Cl93]). How can the construction be made efficient?
- Our results only hold for the whole convex body. Can they be extended to patches? (Related results in [AFM12].)

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Sculpture by Antony Gormley.

# Thank you!